

# A *QCTO-SI* Method for the Design of Enhancing Lenses for Linear Antenna Arrays

M. Salucci, G. Oliveri, N. Anselmi, G. Gottardi, and A. Massa

## Abstract

In this work a novel material-by-design (*MbD*) strategy is proposed to address the problem of enhancing the radiation performance (in terms of directivity and side-lobe level) of existing linear phased arrays. Thanks to the integration of the quasi-conformal transformation optics (*QCTO*) technique with a customized source inversion (*SI*) strategy, the proposed approach enables the synthesis of meta-material lenses with reduced anisotropy indexes that are able to significantly enhance the radiation characteristics of linear antenna arrays, letting them mimic the performance of larger apertures. To prove the effectiveness of the *MbD* methodology, some numerical benchmarks are reported and discussed.

# 1 Half-Cosine Profile - $h' = 4.0 \ [\lambda]$ , $l' = 1.0 \ [\lambda]$ , $t' = 9.0 \ [\lambda]$ , $N = 15$ -

## Analysis vs. $w'$

### 1.1 Step 1: Expanding the physical array ( $N = 15$ , $L = 7.0 \ [\lambda]$ )

#### Input Parameters

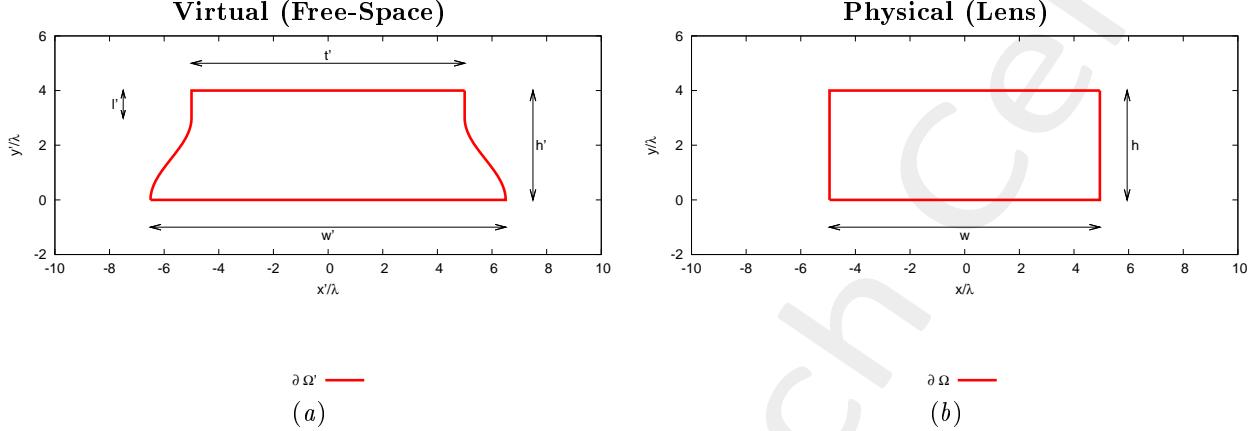


Figure 1: Transformation regions. The lower side of both virtual and physical boundaries are supposed to be PEC.

- Virtual Geometry

# Test Case	$h' \ [\lambda]$	$l' \ [\lambda]$	$t' \ [\lambda]$	$w' \ [\lambda]$
1	4.0	1.0	9.0	<b>9.9</b>
2	4.0	1.0	9.0	<b>10.6</b>
3	4.0	1.0	9.0	<b>11.3</b>
4	4.0	1.0	9.0	<b>11.9</b>
5	4.0	1.0	9.0	<b>12.5</b>

Table I: Considered virtual geometries. The values of  $w'$  have been empirically determined in order to achieve an aperture of the virtual array ( $L'$ ) equal to a multiple of  $\lambda/2$ . It is imposed that  $h = h'$ , while  $w$  is not controlled by the user.

- Physical Array

- Number of elements, spacing, aperture:  $N = 15$ ,  $d = \frac{\lambda}{2}$ ,  $L = 7.0 \ [\lambda]$ ;
- Positions:  $x_n \in [-L/2, L/2]$ ,  $y_n = \frac{\lambda}{4}$ ,  $n = 1, \dots, N$ ;
- Steering angle:  $\phi_s = 90.0 \ [deg]$ ;
- Excitations:  $I_n = 1.0$ ,  $\varphi_n = \frac{-2\pi}{\lambda}x_n \sin(\phi_s + 90)$ ;  $n = 1, \dots, N$ ;

- QCTO

- Discretization cell dimension:  $0.15 \ [\lambda]$  ( $0.01 \ [\lambda]$  for source mapping);

### 1.1.1 Results

#### Transformation grids

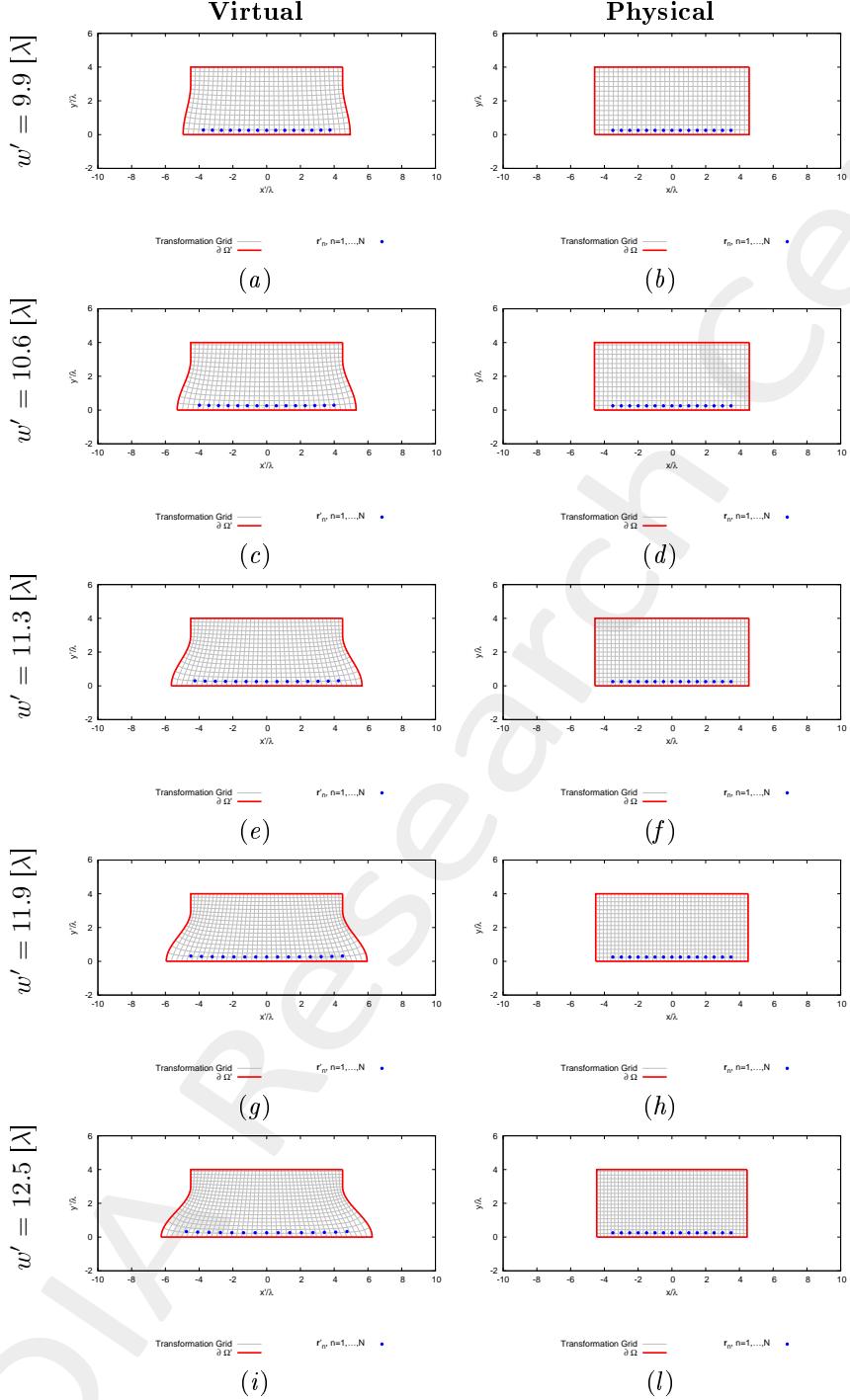


Figure 2: Transformation grids for different values of  $w'$ . Physical geometry has been shifted on  $y$  by  $h/2 = 2.0 [\lambda]$ .

### Resulting aperture of the virtual array ( $L'$ ) - for step 2

- The aperture of the virtual array ( $L'$ ) is computed after mapping the physical array into the virtual space;
- The resulting number of equi-spaced elements is computed as

$$N' = \text{round} \left( \frac{L'}{0.5} + 1 \right)$$

# Test Case	Virtual Geometry				Virtual Array	
	$h' [\lambda]$	$l' [\lambda]$	$t' [\lambda]$	$w' [\lambda]$	$L' [\lambda]$	$N'$
1	4.0	1.0	9.0	<b>9.9</b>	7.50	<b>16</b>
2	4.0	1.0	9.0	<b>10.6</b>	7.97	<b>17</b>
3	4.0	1.0	9.0	<b>11.3</b>	8.50	<b>18</b>
4	4.0	1.0	9.0	<b>11.9</b>	8.98	<b>19</b>
5	4.0	1.0	9.0	<b>12.5</b>	9.51	<b>20</b>

Table II: Resulting aperture and number of equi-spaced elements of the virtual array after expanding the physical array.

## 1.2 Step 2: Compressing the virtual array ( $N' > N$ , $L' > L$ [ $\lambda$ ])

### Input Parameters

- **Virtual Array**

- Number of elements, spacing, aperture:  $N' = \{16; 17; 18; 19; 20\}$ ,  $d' = \frac{\lambda}{2}$ ,  $L' = \{7.5; 8.0; 8.5; 9.0; 9.5\}$  [ $\lambda$ ];
- Positions:  $x'_n \in [-L'/2, L'/2]$ ,  $y'_n = \lambda/4$ ,  $n = 1, \dots, N'$ ;
- Steering angle:  $\phi_s = 90.0$  [deg];
- Excitations:  $I'_n = 1.0$ ,  $\varphi'_n = \frac{-2\pi}{\lambda}x_n \sin(\phi_s + 90)$ ;  $n = 1, \dots, N'$ ;

- **Virtual Geometry:** same of step 1;

- **QCTO:** same of step 1.

### 1.2.1 Results of the Transformation

#### Transformation grids

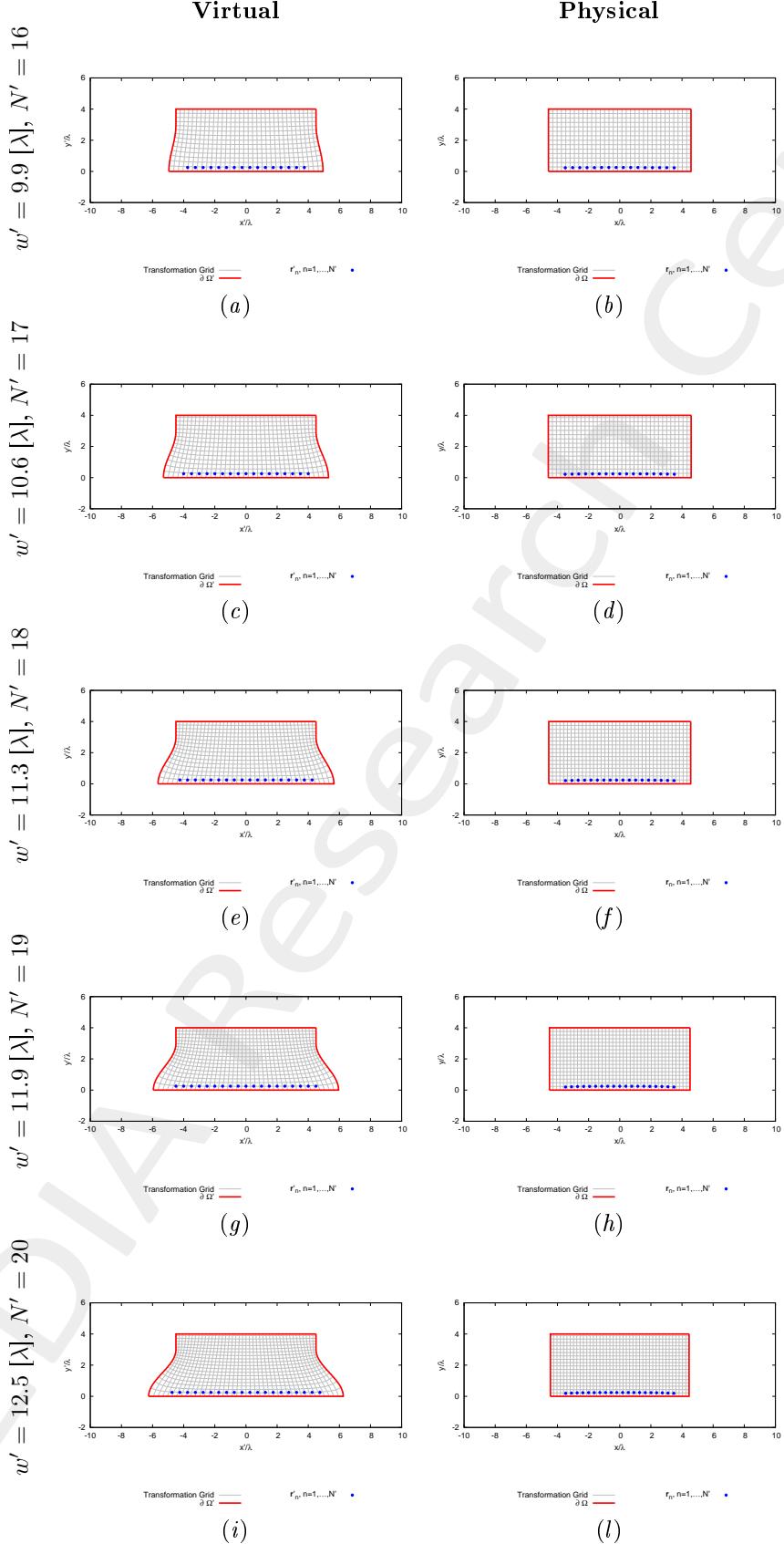


Figure 3: Transformation grids for different values of  $w'$ . Physical geometry has been shifted on  $y$  by  $h/2 = 2.0 [\lambda]$ .

**Lens Permittivity -  $w' = 9.9 [\lambda]$**

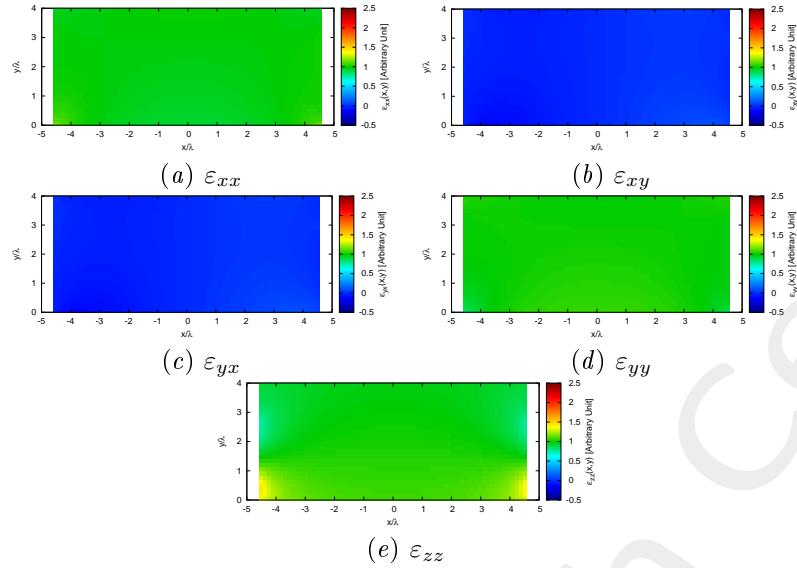


Figure 4: Components of the relative permittivity tensor of the lens.

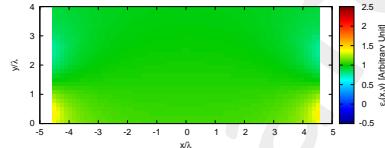


Figure 5: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 10.6 [\lambda]$**

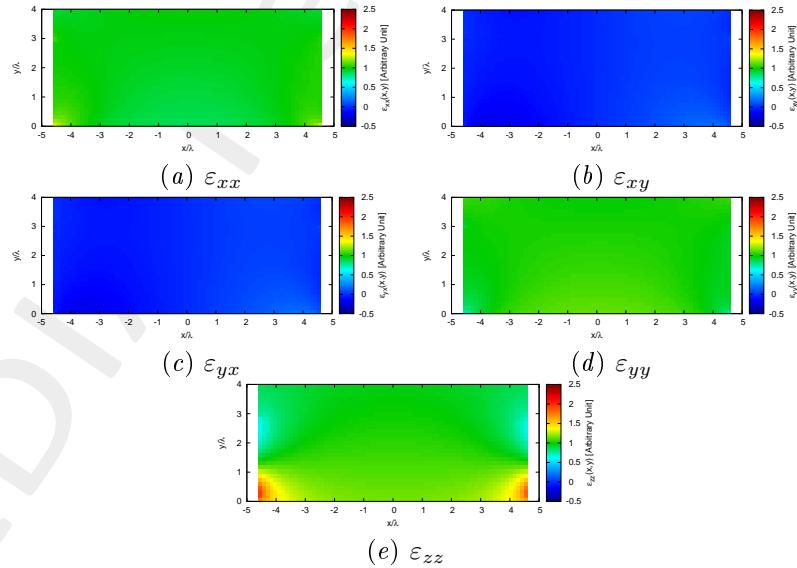


Figure 6: Components of the relative permittivity tensor of the lens.

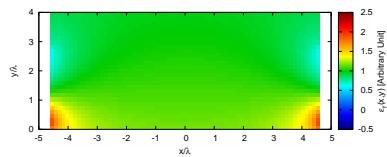


Figure 7: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 11.3 [\lambda]$**

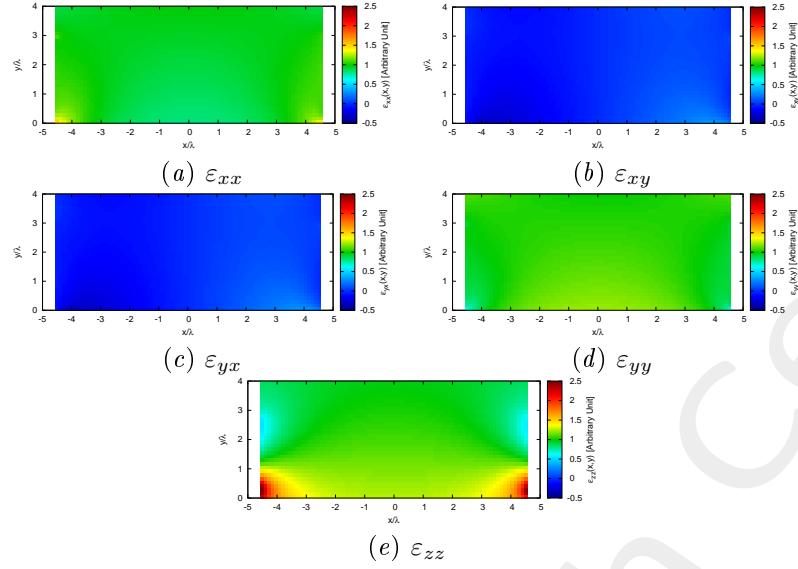


Figure 8: Components of the relative permittivity tensor of the lens.

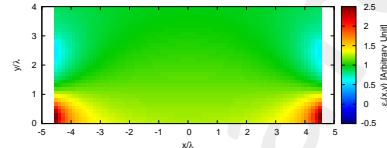


Figure 9: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 11.9 [\lambda]$**

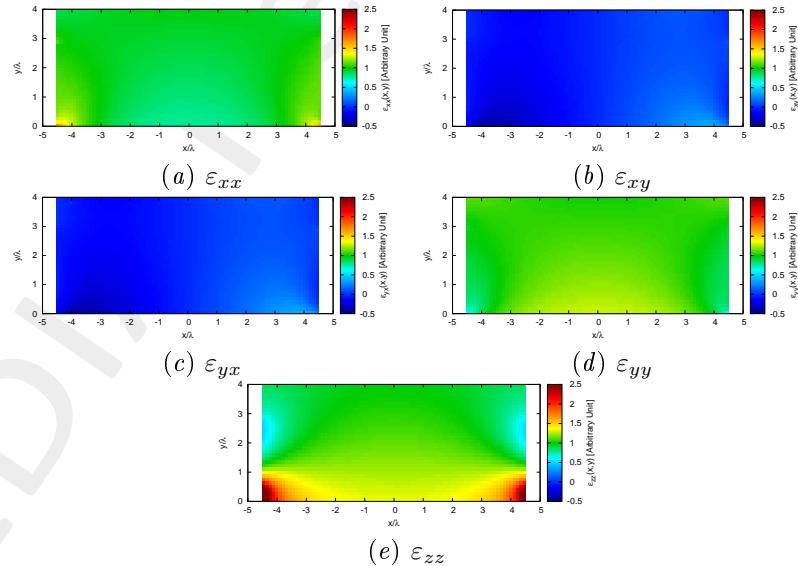


Figure 10: Components of the relative permittivity tensor of the lens.

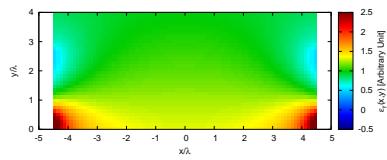


Figure 11: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 12.5 [\lambda]$**

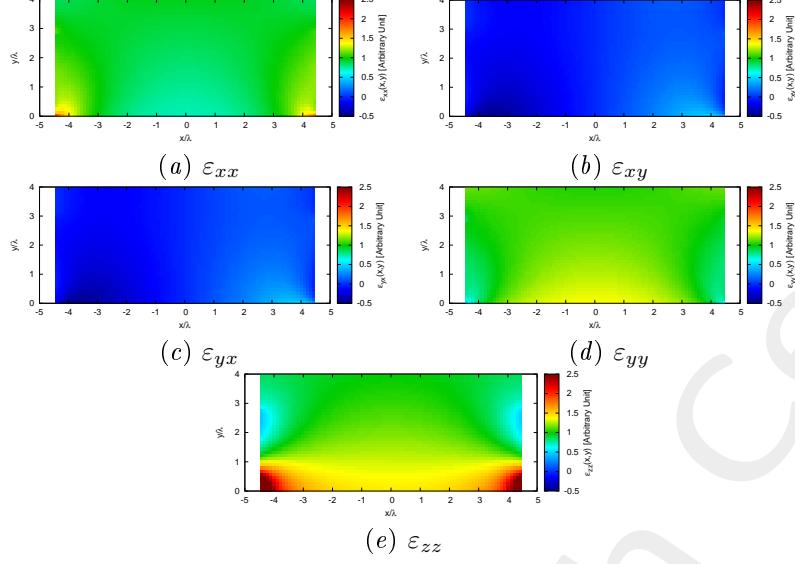


Figure 12: Components of the relative permittivity tensor of the lens.

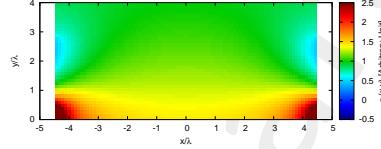


Figure 13: Isotropic approximate permittivity distribution of the lens.

### Physical Lens Parameters

Parameter	$w' = 9.9 [\lambda]$	$w' = 10.6 [\lambda]$	$w' = 11.3 [\lambda]$	$w' = 11.9 [\lambda]$	$w' = 12.5 [\lambda]$
Height, $h [\lambda]$	4.00	4.00	4.00	4.00	4.00
Width, $w [\lambda]$	9.16	9.17	9.12	9.02	8.91
Anisotropic Permittivity Range	$[-0.11, 1.44]$	$[-0.20, 1.95]$	$[-0.30, 2.65]$	$[-0.39, 3.48]$	$[-0.48, 4.53]$
Isotropic Permittivity Range	$[0.00, 1.42]$	$[0.00, 1.90]$	$[0.00, 2.59]$	$[0.00, 3.37]$	$[0.00, 4.33]$
Average Fractional Anisotropy, $\alpha_F$	$5.66 \times 10^{-2}$	$1.01 \times 10^{-1}$	$1.44 \times 10^{-1}$	$1.81 \times 10^{-1}$	$2.15 \times 10^{-1}$
Average Relative Anisotropy, $\alpha_R$	$4.67 \times 10^{-2}$	$8.43 \times 10^{-2}$	$1.23 \times 10^{-1}$	$1.57 \times 10^{-1}$	$1.90 \times 10^{-1}$

Table III: Transformation statistics. Note that we impose  $h = h'$ , while  $w$  is internally chosen by the QCTO software.

### 1.2.2 Far-Field Patterns ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

#### Anisotropic Lens

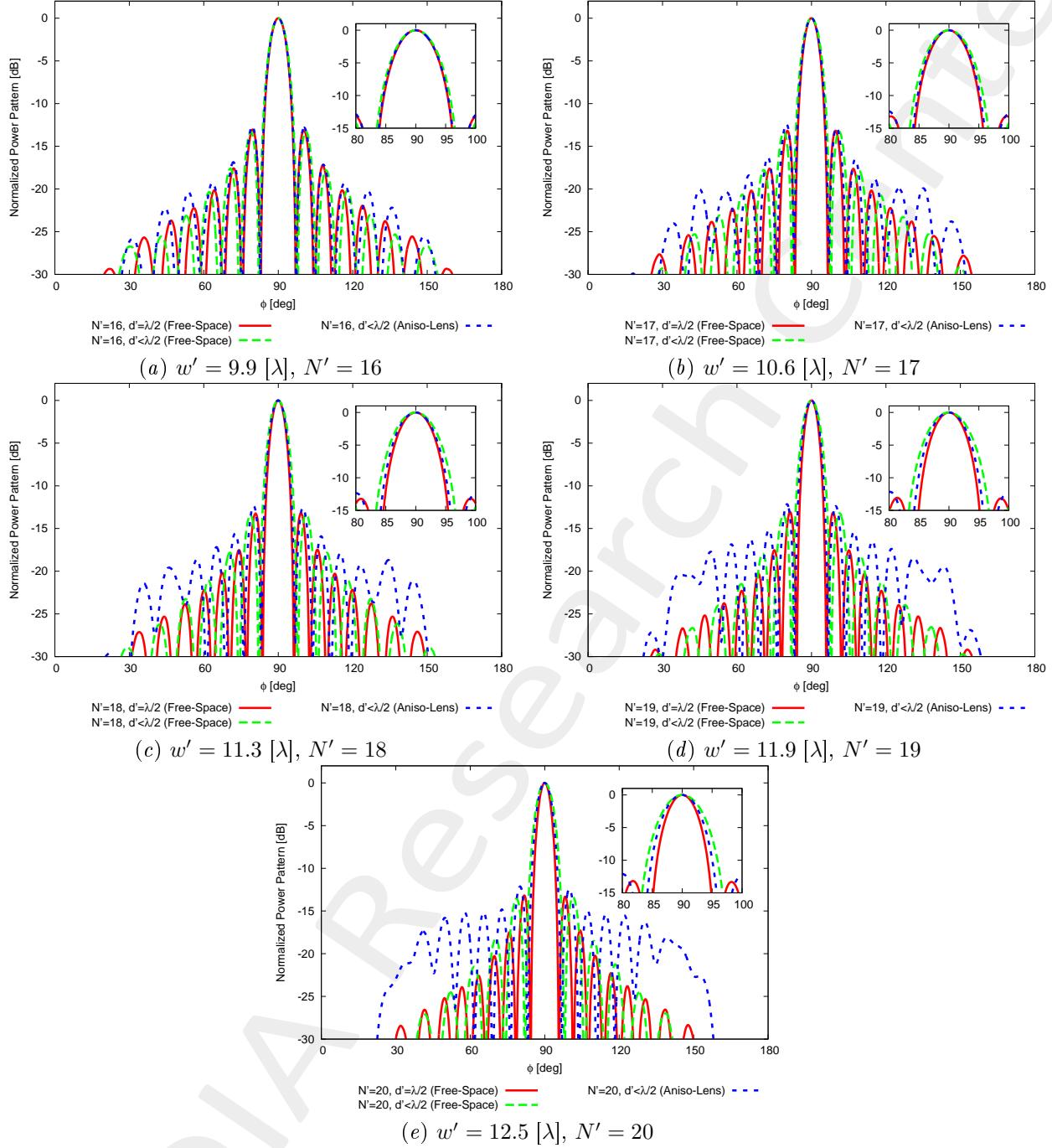


Figure 14: Far field pattern comparison for different values of  $w'$ .

## Isotropic Lens

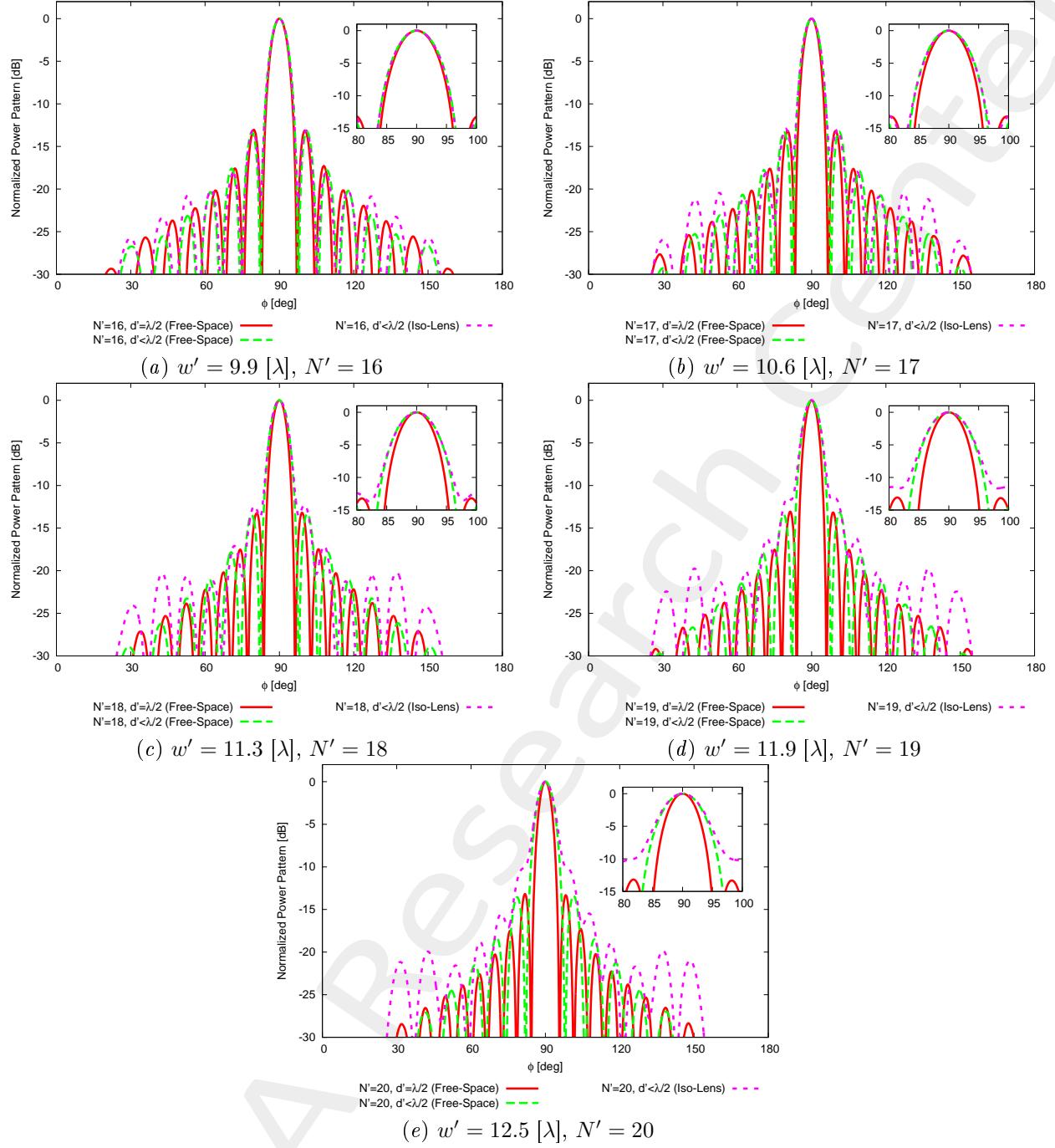


Figure 15: Far field pattern comparison for different values of  $w'$ .

### 1.2.3 Summary ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

$w' = 9.9 [\lambda]$				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens
Number of elements	16		16	
Spacing [ $\lambda$ ]	0.5		< 0.5	
Aperture [ $\lambda$ ]	7.5		6.99	
$D_{\max}$ [dB]	13.97	13.72	13.82	13.70
$SLL$ [dB]	13.11	13.26	12.66	13.11
$FNBW$ [deg]	14.32	15.40	14.50	15.22
$3dB$ Beamwidth [deg]	6.36	6.80	6.46	6.71
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$3.50 \times 10^{-1}$	$3.74 \times 10^{-1}$	$5.77 \times 10^{-1}$
$w' = 10.6 [\lambda]$				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens
Number of elements	17		17	
Spacing [ $\lambda$ ]	0.5		< 0.5	
Aperture [ $\lambda$ ]	8.0		7.01	
$D_{\max}$ [dB]	14.25	13.71	13.88	13.60
$SLL$ [dB]	13.16	13.27	12.41	12.92
$FNBW$ [deg]	13.60	15.58	13.96	15.22
$3dB$ Beamwidth [deg]	5.97	6.83	6.23	6.72
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$5.82 \times 10^{-1}$	$5.41 \times 10^{-1}$	$9.06 \times 10^{-1}$
$w' = 11.3 [\lambda]$				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens
Number of elements	18		18	
Spacing [ $\lambda$ ]	0.5		< 0.5	
Aperture [ $\lambda$ ]	8.5		6.97	
$D_{\max}$ [dB]	14.50	13.68	13.86	13.38
$SLL$ [dB]	13.14	13.33	12.37	12.27
$FNBW$ [deg]	12.79	15.76	13.69	15.58
$3dB$ Beamwidth [deg]	5.64	6.90	6.10	6.84
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.99 \times 10^{-1}$	$5.54 \times 10^{-1}$	1.13
$w' = 11.9 [\lambda]$				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens
Number of elements	19		19	
Spacing [ $\lambda$ ]	0.5		< 0.5	
Aperture [ $\lambda$ ]	9.0		6.96	
$D_{\max}$ [dB]	14.73	13.65	13.76	13.09
$SLL$ [dB]	13.06	13.21	12.16	11.47
$FNBW$ [deg]	12.07	15.76	13.51	16.48
$3dB$ Beamwidth [deg]	5.35	6.97	6.04	7.07
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.89 \times 10^{-1}$	$6.41 \times 10^{-1}$	1.34
$w' = 12.5 [\lambda]$				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens
Number of elements	20		20	
Spacing [ $\lambda$ ]	0.5		< 0.5	
Aperture [ $\lambda$ ]	9.5		6.94	
$D_{\max}$ [dB]	14.96	13.63	13.53	12.73
$SLL$ [dB]	13.18	13.38	12.12	15.46
$FNBW$ [deg]	11.44	15.94	13.60	29.99
$3dB$ Beamwidth [deg]	5.06	7.04	6.08	7.38
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$7.18 \times 10^{-1}$	$8.04 \times 10^{-1}$	1.51

Table IV: Summary for step 2.

### 1.3 Source Inversion (SI)

#### Parameters

- Before SI
  - Number of elements:  $N' = \{16; 17; 18; 19; 20\}$ ,  $d' < \lambda/2$ ;
- After SI
  - Number of elements after SI:  $N = 15$ ,  $d = \frac{\lambda}{2}$ ;
  - Aperture:  $L = 7.0$ ;
- Radius of the observation domain:  $r_{SI} = 50.0 [\lambda]$ ;
- Number of field sampling points:  $n_{SI} = 1000$ .

### 1.3.1 Far-Field Patterns ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

#### Anisotropic Lens

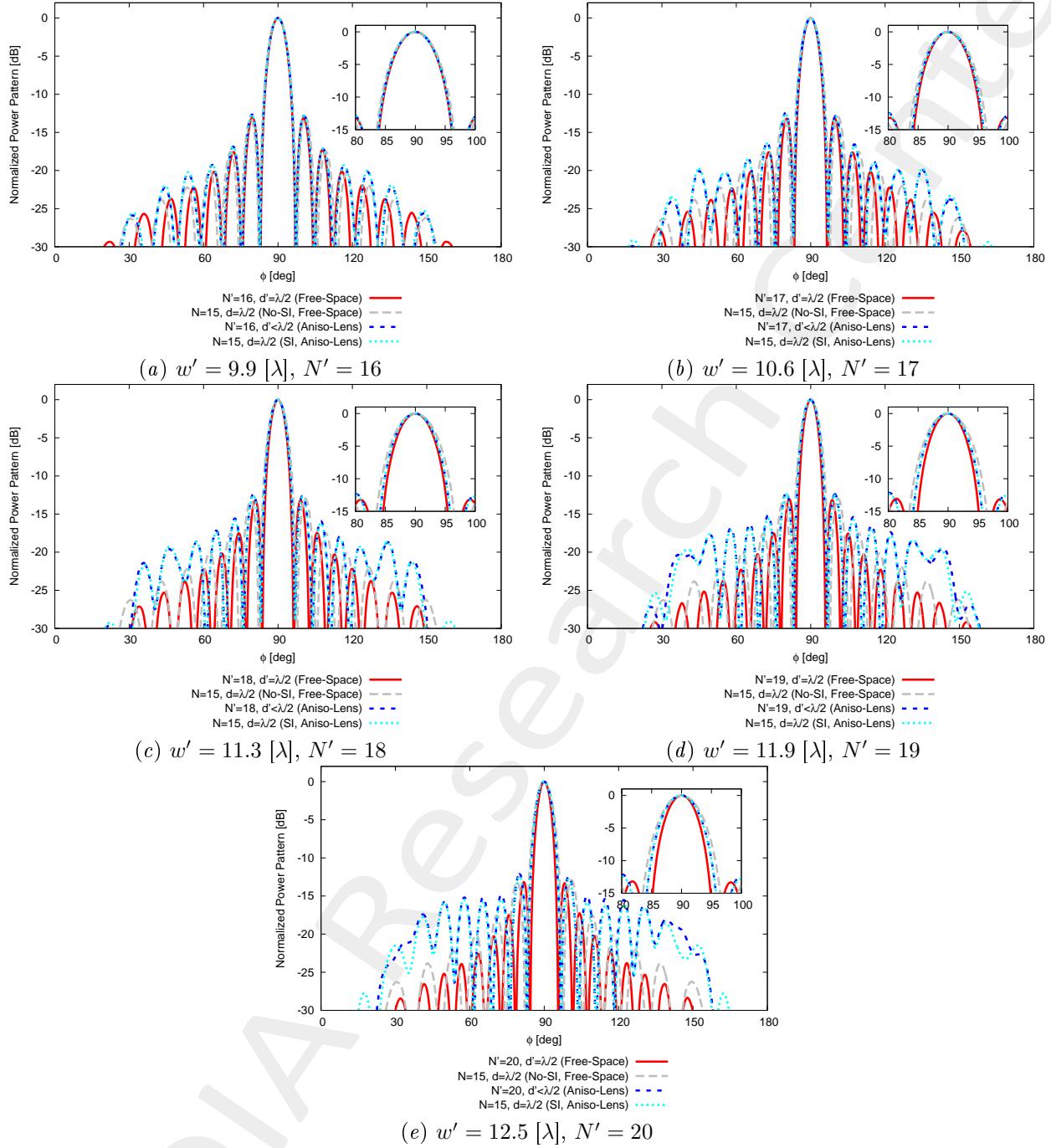


Figure 16:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Far field pattern comparison for different values of  $w'$ .

## Isotropic Lens

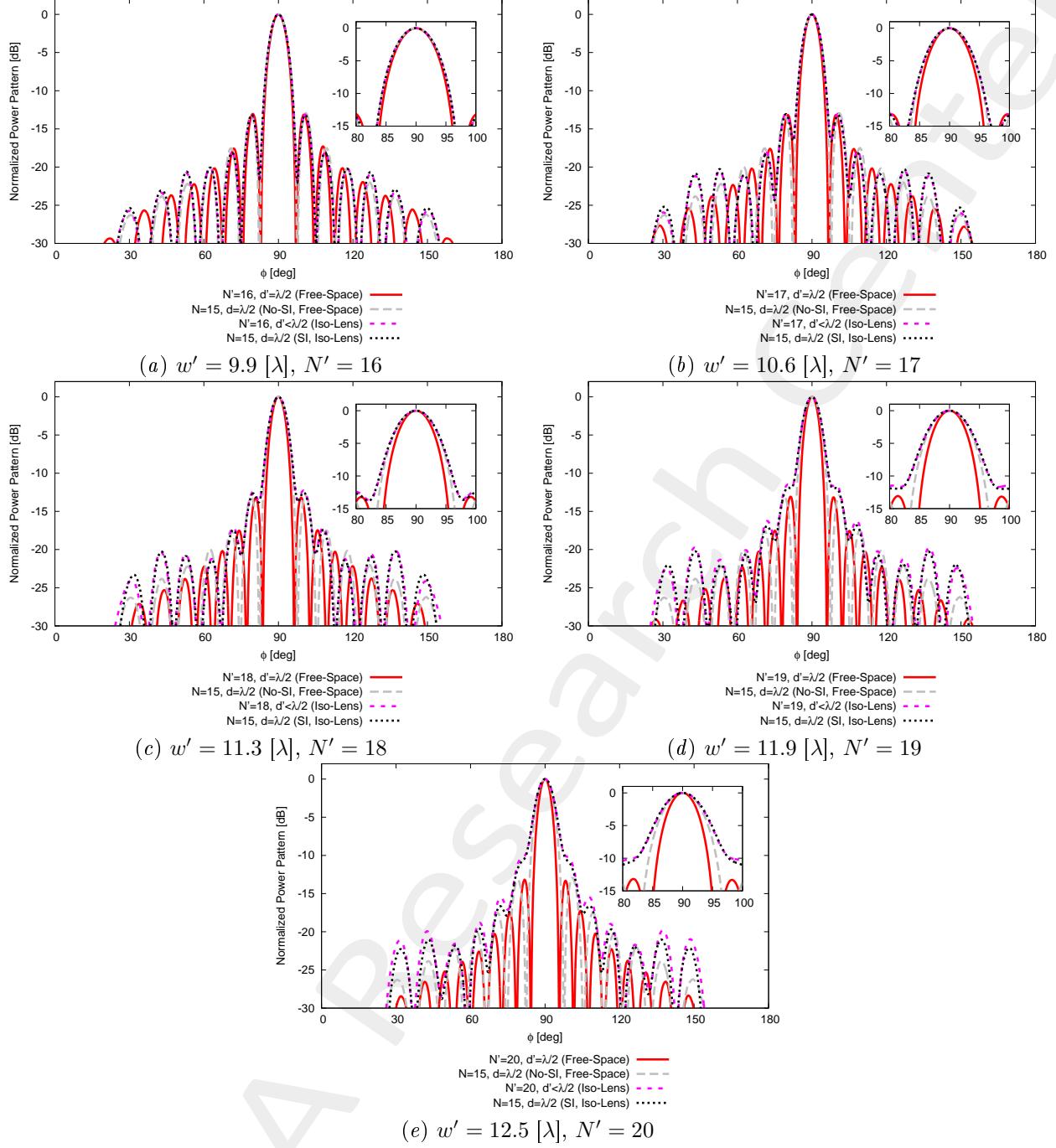


Figure 17:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Far field pattern comparison for different values of  $w'$ .

### 1.3.2 Final Summary ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

$w' = 9.9$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	16		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	7.5		7.0	
$D_{\max}$ [dB]	13.97	13.71	13.81	13.69
$SLL$ [dB]	13.11	13.08	12.67	13.15
$FNBW$ [deg]	14.32	15.31	14.50	15.22
$3dB$ Beamwidth [deg]	6.36	6.76	6.46	6.72
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$3.24 \times 10^{-1}$	$3.75 \times 10^{-1}$	$5.76 \times 10^{-1}$
$w' = 10.6$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	17		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	8.0		7.0	
$D_{\max}$ [dB]	14.25	13.71	13.88	13.59
$SLL$ [dB]	13.16	13.08	12.53	13.07
$FNBW$ [deg]	13.60	15.31	14.05	15.40
$3dB$ Beamwidth [deg]	5.97	6.76	6.24	6.74
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$5.57 \times 10^{-1}$	$5.47 \times 10^{-1}$	$9.13 \times 10^{-1}$
$w' = 11.3$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	18		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	8.5		7.0	
$D_{\max}$ [dB]	14.50	13.71	13.87	13.38
$SLL$ [dB]	13.14	13.08	12.52	12.53
$FNBW$ [deg]	12.79	15.31	13.78	15.94
$3dB$ Beamwidth [deg]	5.64	6.76	6.12	6.88
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.60 \times 10^{-1}$	$5.63 \times 10^{-1}$	1.09
$w' = 11.9$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	19		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	9.0		7.0	
$D_{\max}$ [dB]	14.73	13.71	13.78	13.11
$SLL$ [dB]	13.06	13.08	12.34	11.89
$FNBW$ [deg]	12.07	15.31	13.69	17.02
$3dB$ Beamwidth [deg]	5.35	6.76	6.07	7.16
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.46 \times 10^{-1}$	$6.15 \times 10^{-1}$	1.29
$w' = 12.5$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	20		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	9.5		7.0	
$D_{\max}$ [dB]	14.96	13.71	13.63	12.80
$SLL$ [dB]	13.18	13.08	12.42	16.60
$FNBW$ [deg]	11.44	15.31	13.69	30.70
$3dB$ Beamwidth [deg]	5.06	6.76	6.09	7.50
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.46 \times 10^{-1}$	$7.67 \times 10^{-1}$	1.47

Table V:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Final summary.

### 1.3.3 Final Summary: Performances vs. $w'$ (vs. $N'$ )

Anisotropic Lens -  $\phi_s = 90$  [deg]

This figure compares the pattern characteristics of

1. Original array ( $N = 15$  elements,  $d = \lambda/2$ , Free-Space) - GREY;
2. Target array ( $N' > N$  elements,  $d = \lambda/2$ , Free-Space) - RED;
3. QCTO-SI array ( $N = 15$  elements,  $d = \lambda/2$ , Anisotropic Lens + SI) - CYAN;

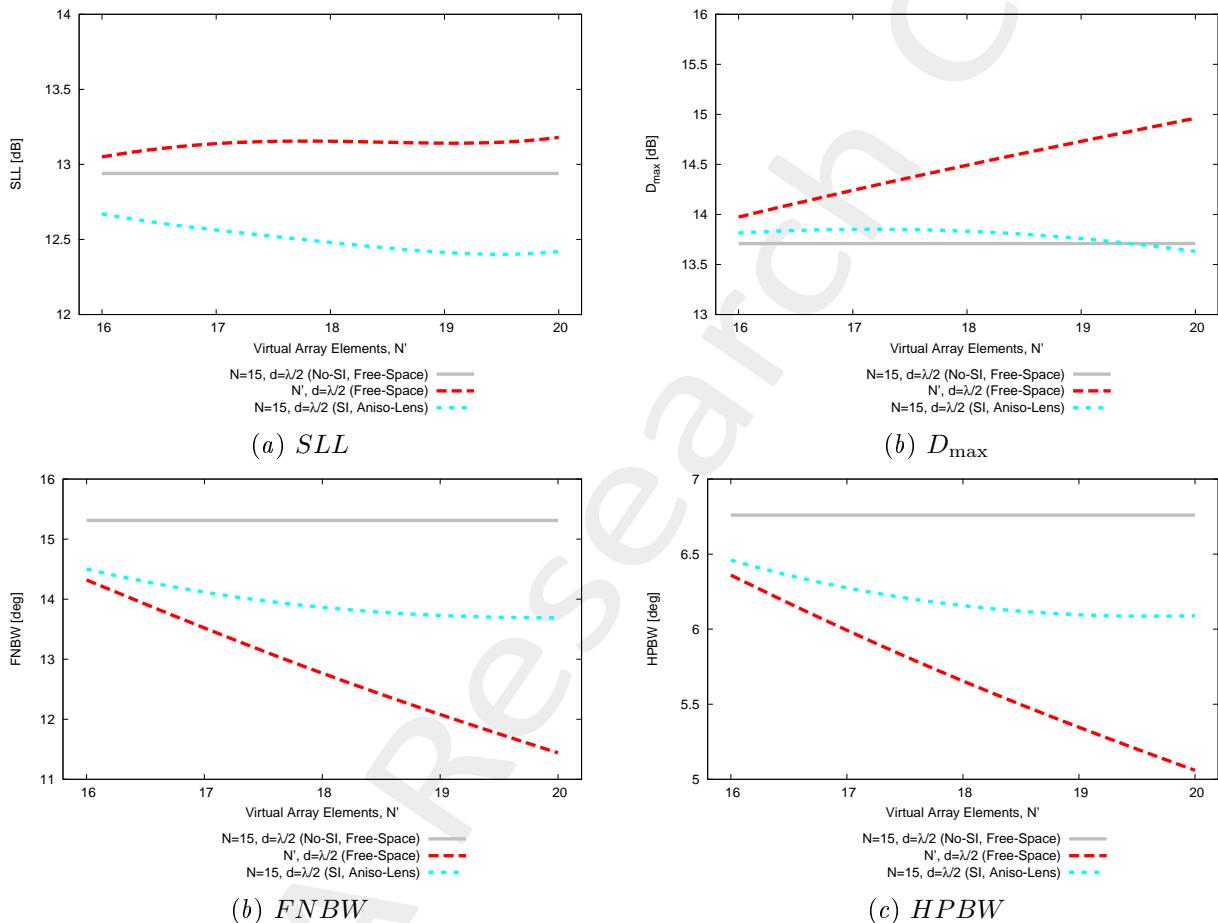


Figure 18: Aniso-Lens,  $f = 600$  [MHz] - Pattern performances vs  $w'$  (vs.  $N'$ ).

## 2 Half-Cosine Profile - $h' = 4.0 [\lambda]$ , $l' = 0.0 [\lambda]$ , $t' = 9.0 [\lambda]$ , $N = 15$ -

### Analysis vs. $w'$

#### What Changed?

With respect to the previous test case, here we change the value of  $l'$

$$l' = 1.0[\lambda] \rightarrow 0.0[\lambda]$$

all other parameters (i.e.,  $h'$ ,  $l'$ ,  $N$ ) are kept.

**NOTE:** The values of  $w'$  must be however re-determined for this new configuration.

#### 2.1 Step 1: Expanding the physical array ( $N = 15$ , $L = 7.0 [\lambda]$ )

##### Input Parameters

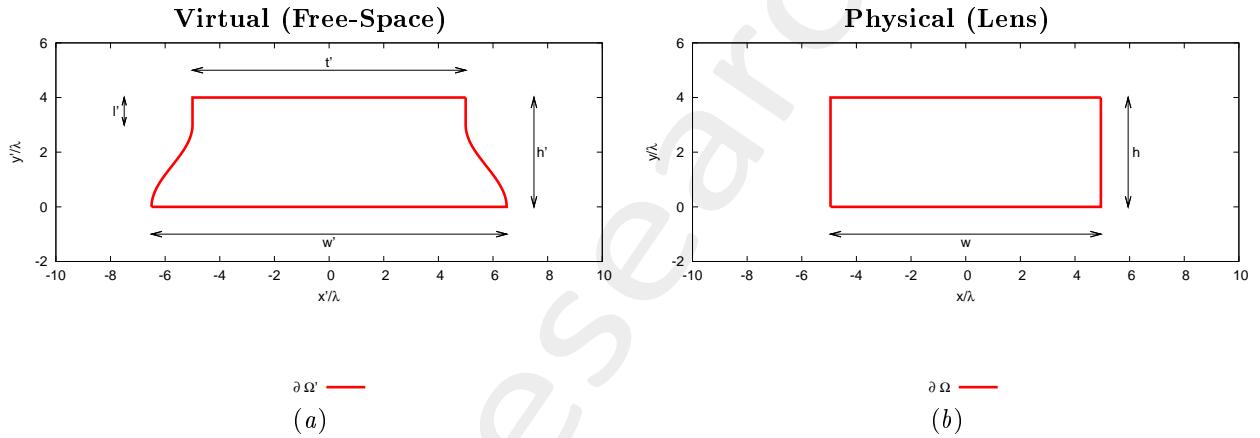


Figure 19: Transformation regions. The lower side of both virtual and physical boundaries are supposed to be PEC.

- **Virtual Geometry**

# Test Case	$h' [\lambda]$	$l' [\lambda]$	$t' [\lambda]$	$w' [\lambda]$
1	4.0	0.0	9.0	<b>10.3</b>
2	4.0	0.0	9.0	<b>11.3</b>
3	4.0	0.0	9.0	<b>12.1</b>
4	4.0	0.0	9.0	<b>12.9</b>
5	4.0	0.0	9.0	<b>13.6</b>

Table VI: Considered virtual geometries. The values of  $w'$  have been empirically determined in order to achieve an aperture of the virtual array ( $L'$ ) equal to a multiple of  $\lambda/2$ . It is imposed that  $h = h'$ , while  $w$  is not controlled by the user.

- **Physical Array**

- Number of elements, spacing, aperture:  $N = 15$ ,  $d = \frac{\lambda}{2}$ ,  $L = 7.0 [\lambda]$ ;
- Positions:  $x_n \in [-L/2, L/2]$ ,  $y_n = \frac{\lambda}{4}$ ,  $n = 1, \dots, N$ ;

- Steering angle:  $\phi_s = 90.0$  [deg];
- Excitations:  $I_n = 1.0$ ,  $\varphi_n = \frac{-2\pi}{\lambda}x_n \sin(\phi_s + 90)$ ;  $n = 1, \dots, N$ ;

- **QCTO**

- Discretization cell dimension: 0.15 [ $\lambda$ ] (0.01 [ $\lambda$ ] for source mapping);

### 2.1.1 Results

#### Transformation grids

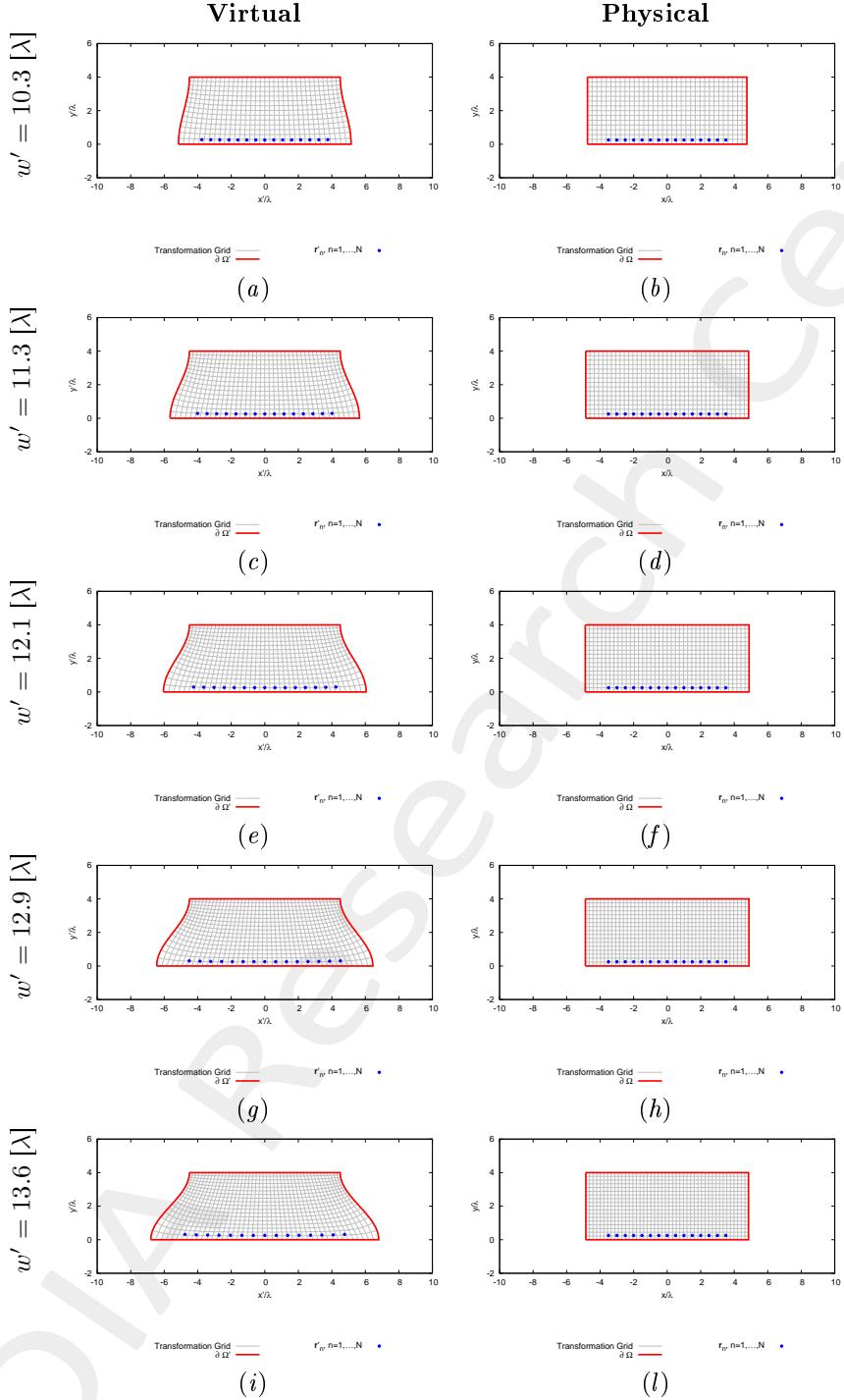


Figure 20: Transformation grids for different values of  $w'$ . Physical geometry has been shifted on  $y$  by  $h/2 = 2.0$  [ $\lambda$ ].

### Resulting aperture of the virtual array ( $L'$ ) - for step 2

- The aperture of the virtual array ( $L'$ ) is computed after mapping the physical array into the virtual space;
- The resulting number of equi-spaced elements is computed as

$$N' = \text{round} \left( \frac{L'}{0.5} + 1 \right)$$

# Test Case	Virtual Geometry				Virtual Array	
	$h' [\lambda]$	$l' [\lambda]$	$t' [\lambda]$	$w' [\lambda]$	$L' [\lambda]$	$N'$
1	4.0	0.0	9.0	<b>10.3</b>	7.52	<b>16</b>
2	4.0	0.0	9.0	<b>11.3</b>	8.02	<b>17</b>
3	4.0	0.0	9.0	<b>12.1</b>	8.49	<b>18</b>
4	4.0	0.0	9.0	<b>12.9</b>	9.01	<b>19</b>
5	4.0	0.0	9.0	<b>13.6</b>	9.52	<b>20</b>

Table VII: Resulting aperture and number of equi-spaced elements of the virtual array after expanding the physical array.

## 2.2 Step 2: Compressing the virtual array ( $N' > N$ , $L' > L$ [ $\lambda$ ])

### Input Parameters

- **Virtual Array**

- Number of elements, spacing, aperture:  $N' = \{16; 17; 18; 19; 20\}$ ,  $d' = \frac{\lambda}{2}$ ,  $L' = \{7.5; 8.0; 8.5; 9.0; 9.5\}$  [ $\lambda$ ];
- Positions:  $x'_n \in [-L'/2, L'/2]$ ,  $y'_n = \lambda/4$ ,  $n = 1, \dots, N'$ ;
- Steering angle:  $\phi_s = 90.0$  [deg];
- Excitations:  $I'_n = 1.0$ ,  $\varphi'_n = \frac{-2\pi}{\lambda}x_n \sin(\phi_s + 90)$ ;  $n = 1, \dots, N'$ ;

- **Virtual Geometry:** same of step 1;

- **QCTO:** same of step 1.

### 2.2.1 Results of the Transformation

#### Transformation grids

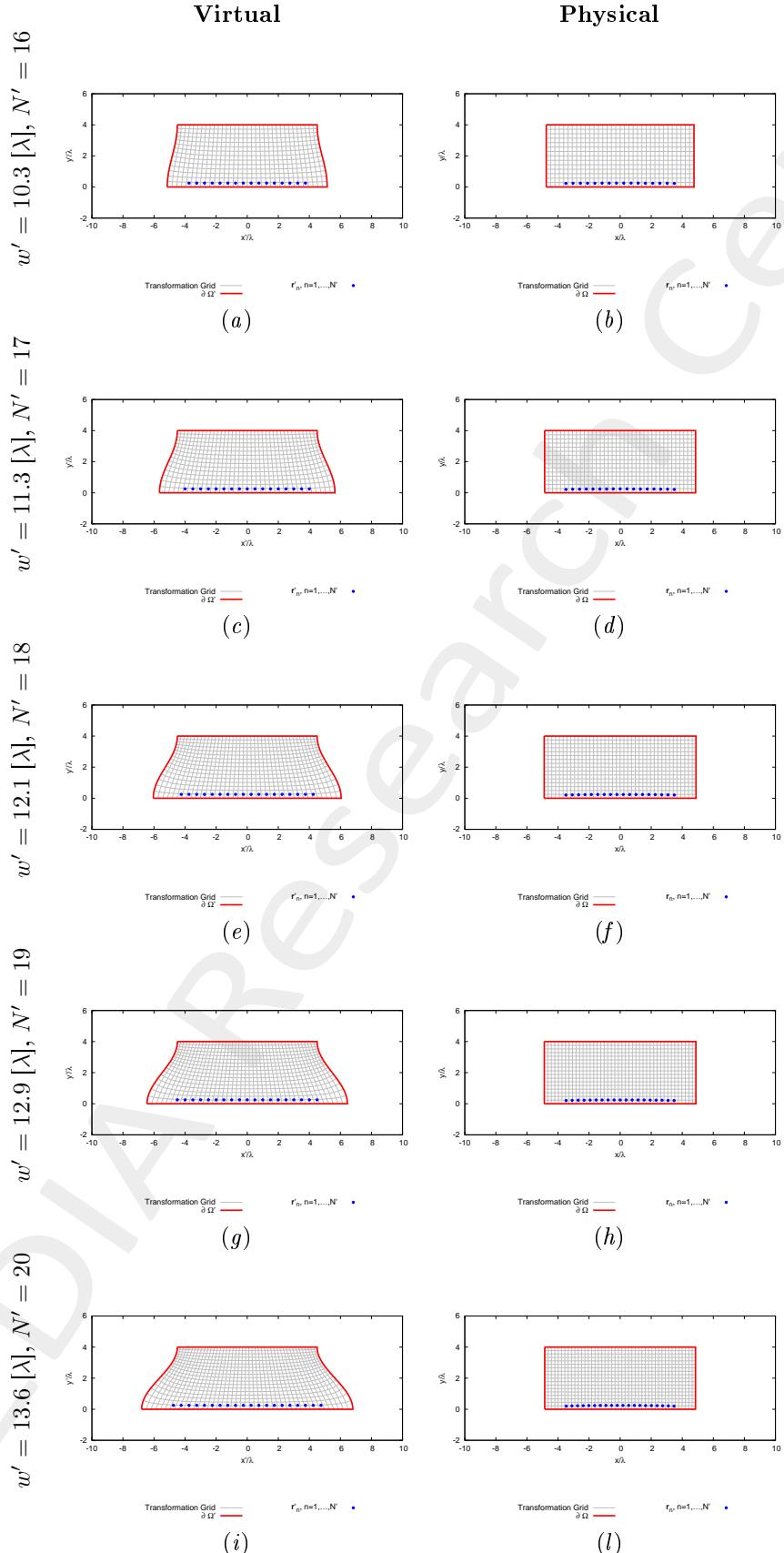


Figure 21: Transformation grids for different values of  $w'$ . Physical geometry has been shifted on  $y$  by  $h/2 = 2.0 [\lambda]$ .

**Lens Permittivity -  $w' = 10.3 [\lambda]$**

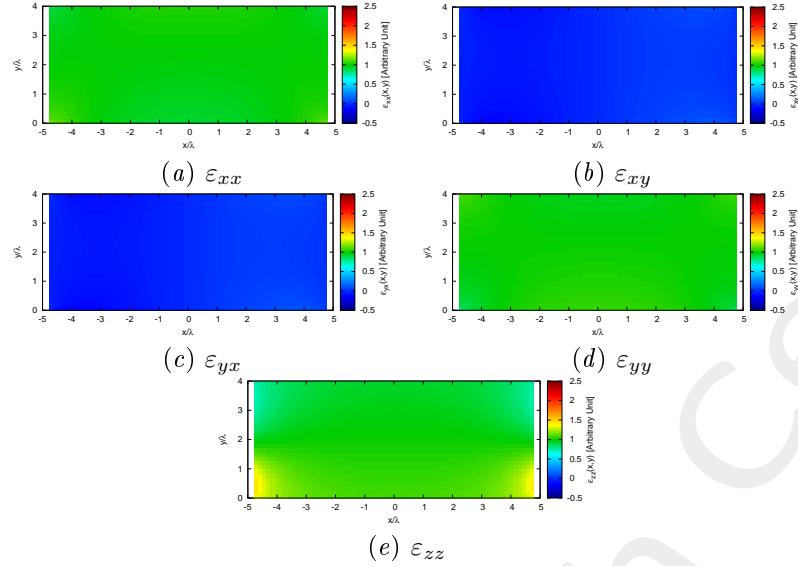


Figure 22: Components of the relative permittivity tensor of the lens.

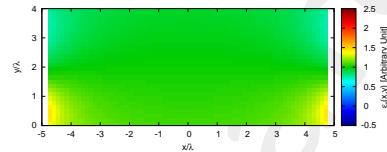


Figure 23: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 11.3 [\lambda]$**

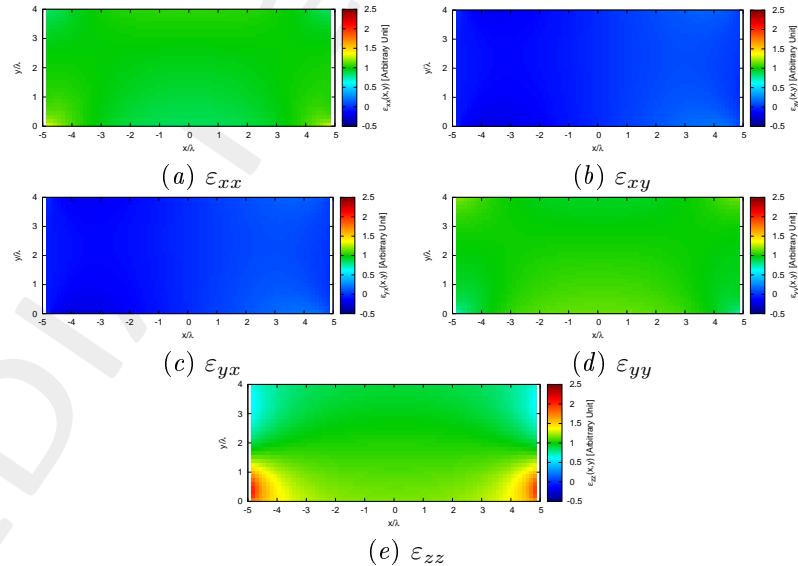


Figure 24: Components of the relative permittivity tensor of the lens.

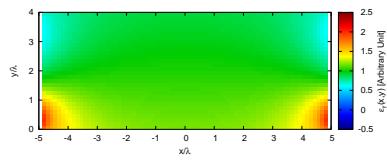


Figure 25: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 12.1 [\lambda]$**

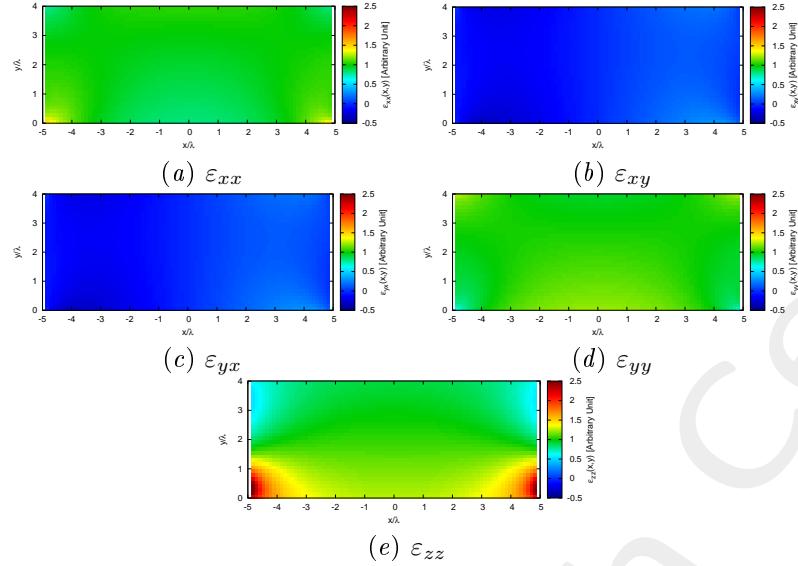


Figure 26: Components of the relative permittivity tensor of the lens.

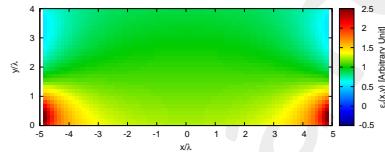


Figure 27: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 12.9 [\lambda]$**

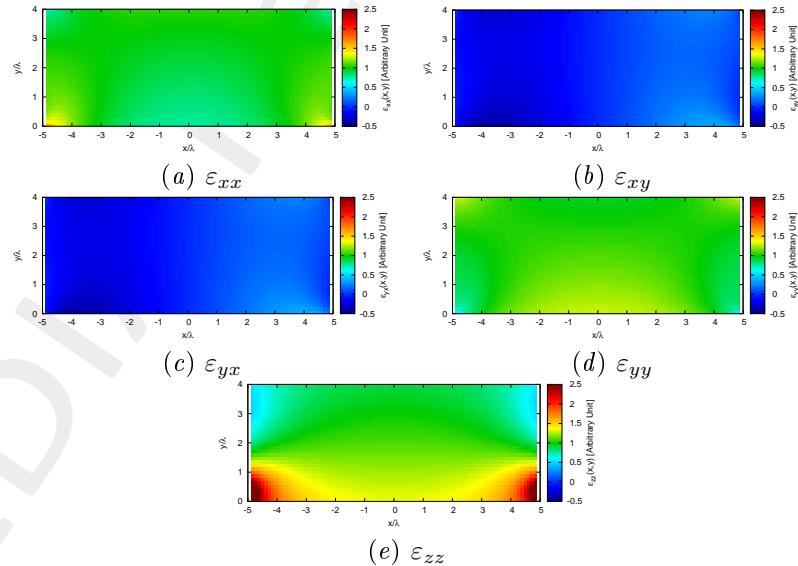


Figure 28: Components of the relative permittivity tensor of the lens.

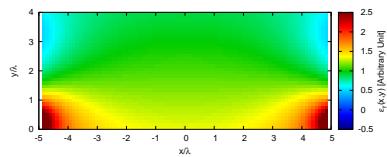


Figure 29: Isotropic approximate permittivity distribution of the lens.

**Lens Permittivity -  $w' = 13.6 [\lambda]$**

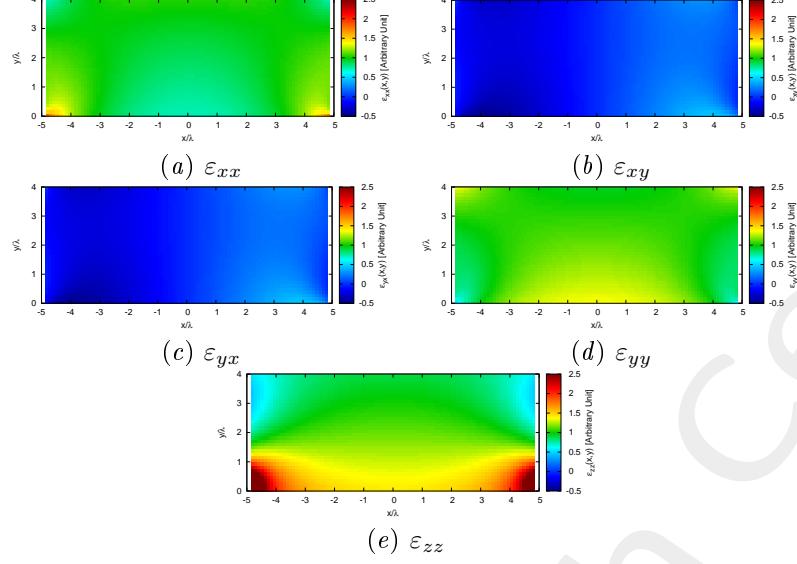


Figure 30: Components of the relative permittivity tensor of the lens.

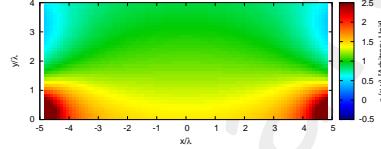


Figure 31: Isotropic approximate permittivity distribution of the lens.

### Physical Lens Parameters

Parameter	$w' = 10.3 [\lambda]$	$w' = 11.3 [\lambda]$	$w' = 12.1 [\lambda]$	$w' = 12.9 [\lambda]$	$w' = 13.6 [\lambda]$
Height, $h [\lambda]$	4.00	4.00	4.00	4.00	4.00
Width, $w [\lambda]$	9.51	9.72	9.78	9.76	9.71
Anisotropic Permittivity Range	$[-0.11, 1.46]$	$[-0.21, 2.03]$	$[-0.30, 2.67]$	$[-0.39, 3.51]$	$[-0.47, 4.43]$
Isotropic Permittivity Range	$[0.00, 1.44]$	$[0.00, 1.99]$	$[0.00, 2.59]$	$[0.00, 3.41]$	$[0.00, 4.35]$
Average Fractional Anisotropy, $\alpha_F$	$7.35 \times 10^{-2}$	$1.28 \times 10^{-1}$	$1.71 \times 10^{-1}$	$2.12 \times 10^{-1}$	$2.47 \times 10^{-1}$
Average Relative Anisotropy, $\alpha_R$	$6.06 \times 10^{-2}$	$1.07 \times 10^{-1}$	$1.46 \times 10^{-1}$	$1.84 \times 10^{-1}$	$2.19 \times 10^{-1}$

Table VIII: Transformation statistics. Note that we impose  $h = h'$ , while  $w$  is internally chosen by the QCTO software.

## Virtual Grid Orthogonality

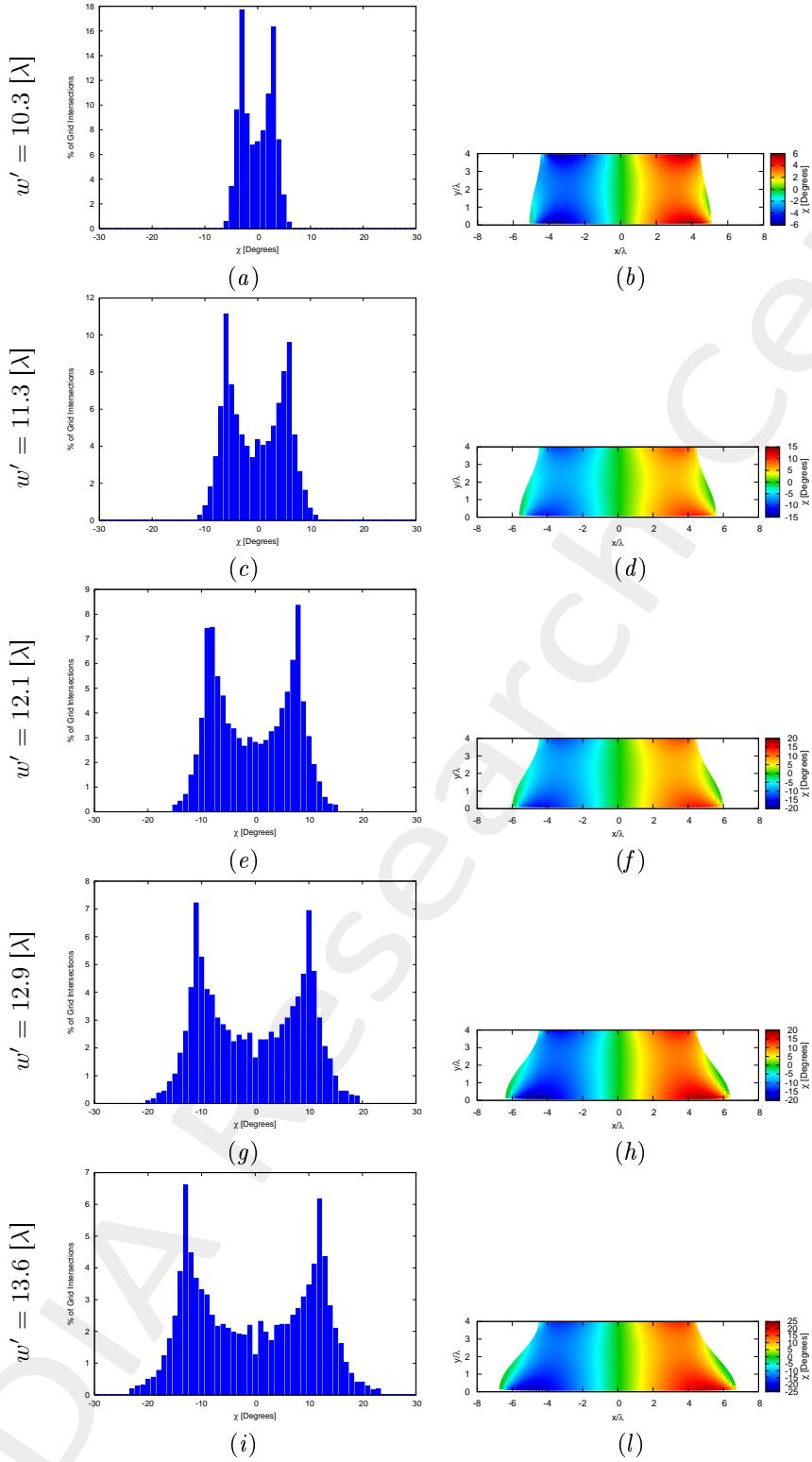


Figure 32: Orthogonality of the virtual grid for different values of  $w'$ .

### 2.2.2 Near-Field Distributions ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

Case  $w' = 10.3$  [ $\lambda$ ],  $N' = 16$

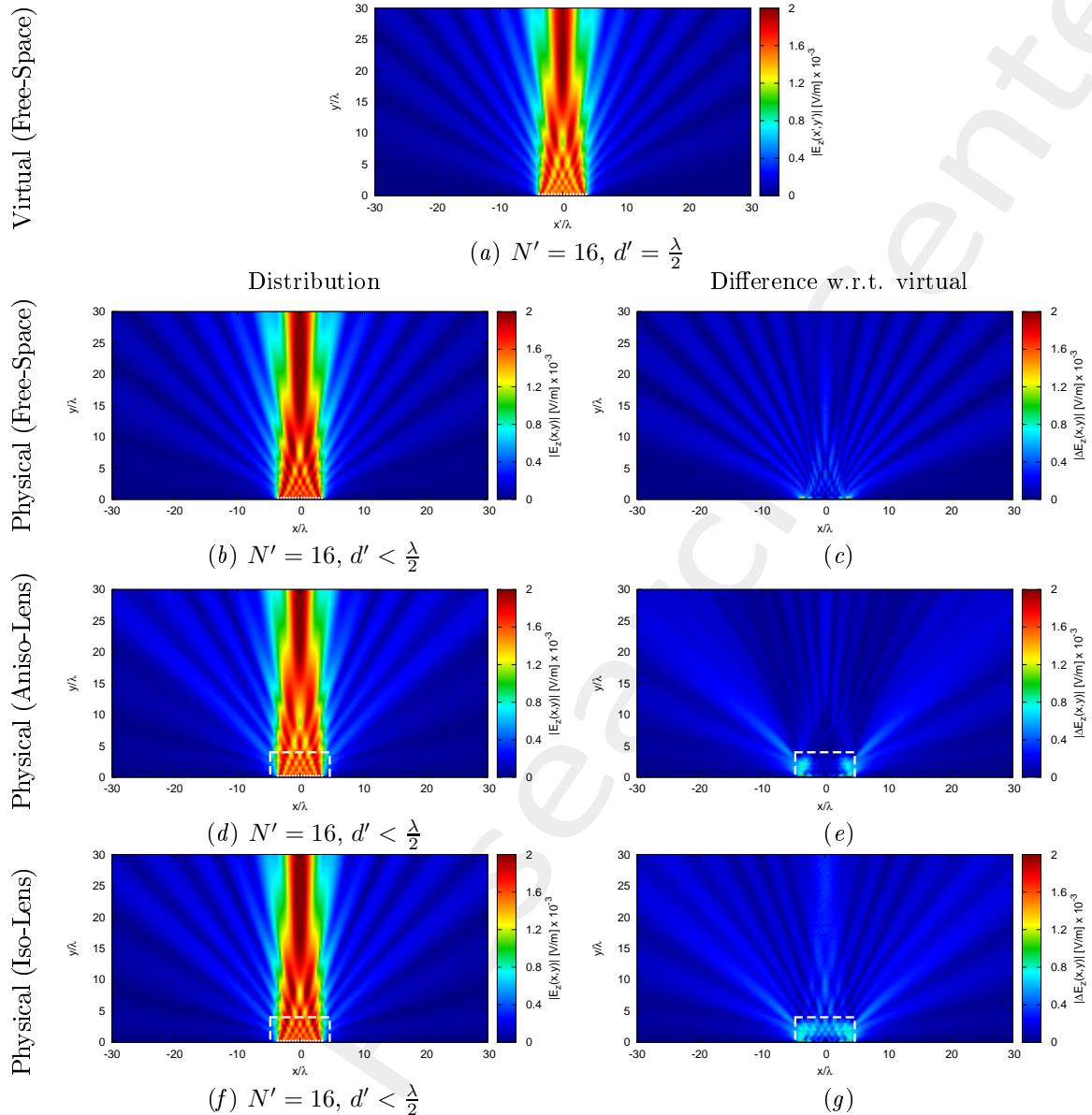


Figure 33: Electric field distributions.

Case  $w' = 11.3 [\lambda]$ ,  $N' = 17$

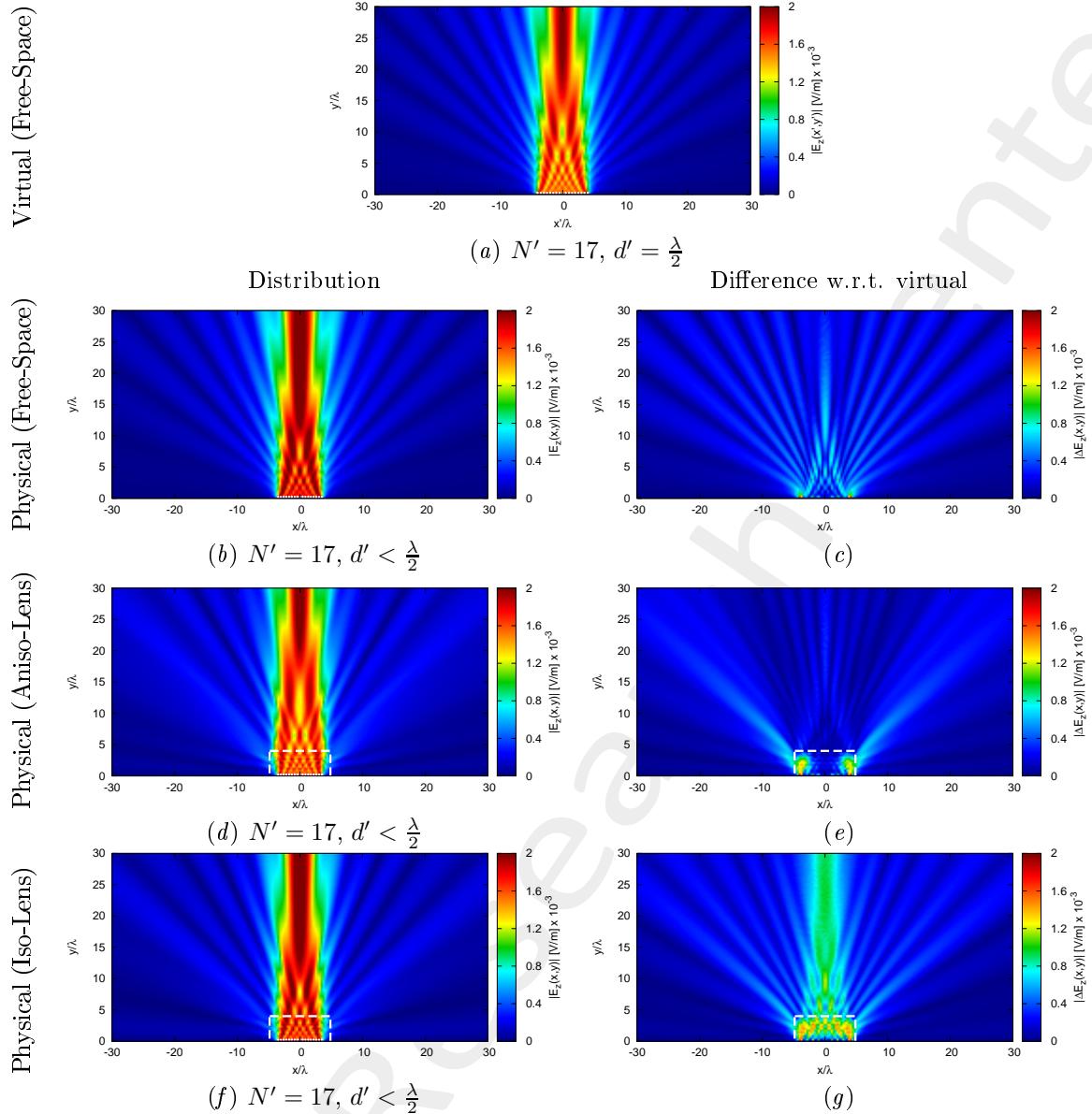


Figure 34: Electric field distributions.

Case  $w' = 12.1 [\lambda]$ ,  $N' = 18$

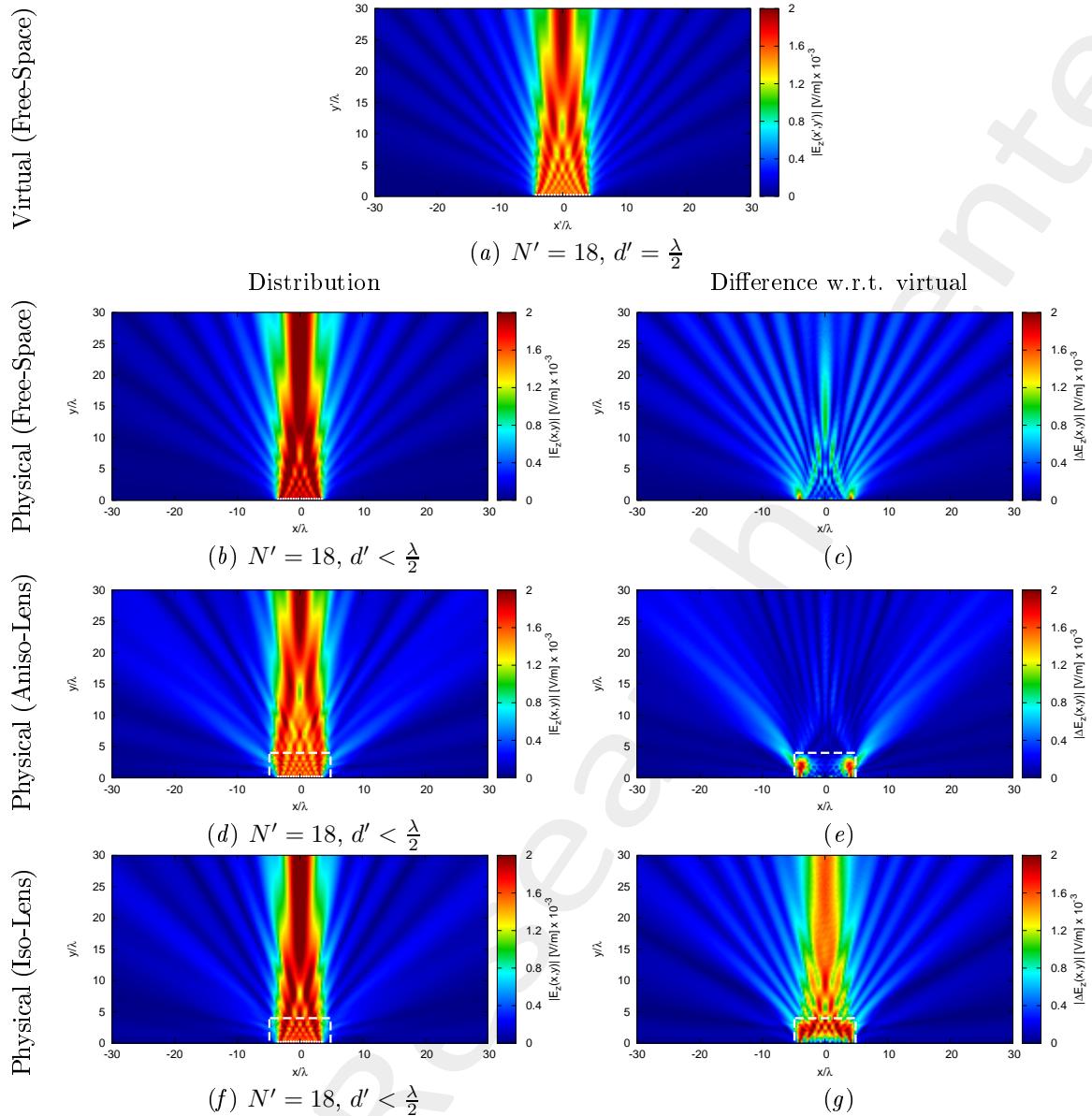


Figure 35: Electric field distributions.

Case  $w' = 12.9$  [ $\lambda$ ],  $N' = 19$

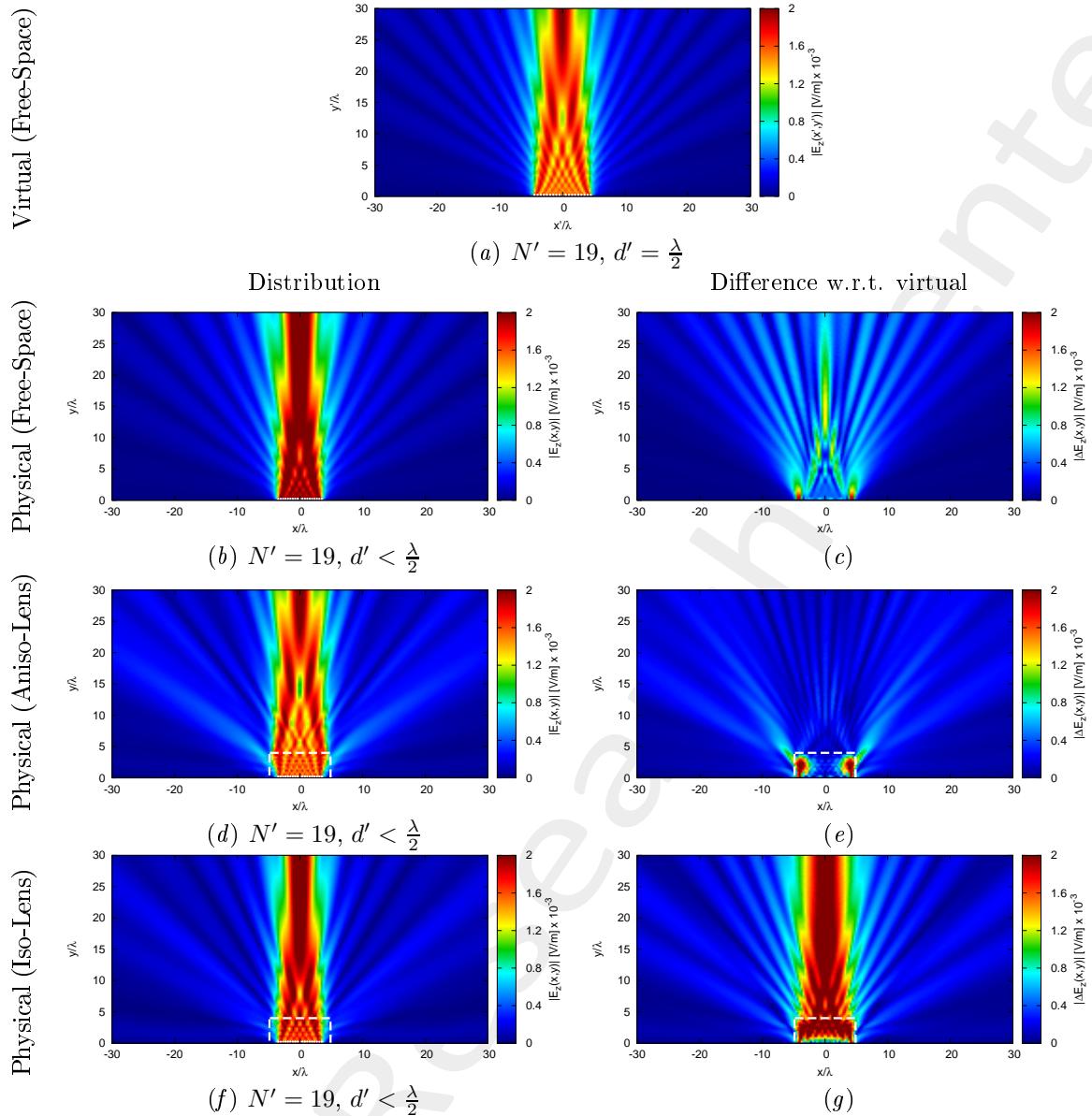


Figure 36: Electric field distributions.

Case  $w' = 13.6 [\lambda]$ ,  $N' = 20$

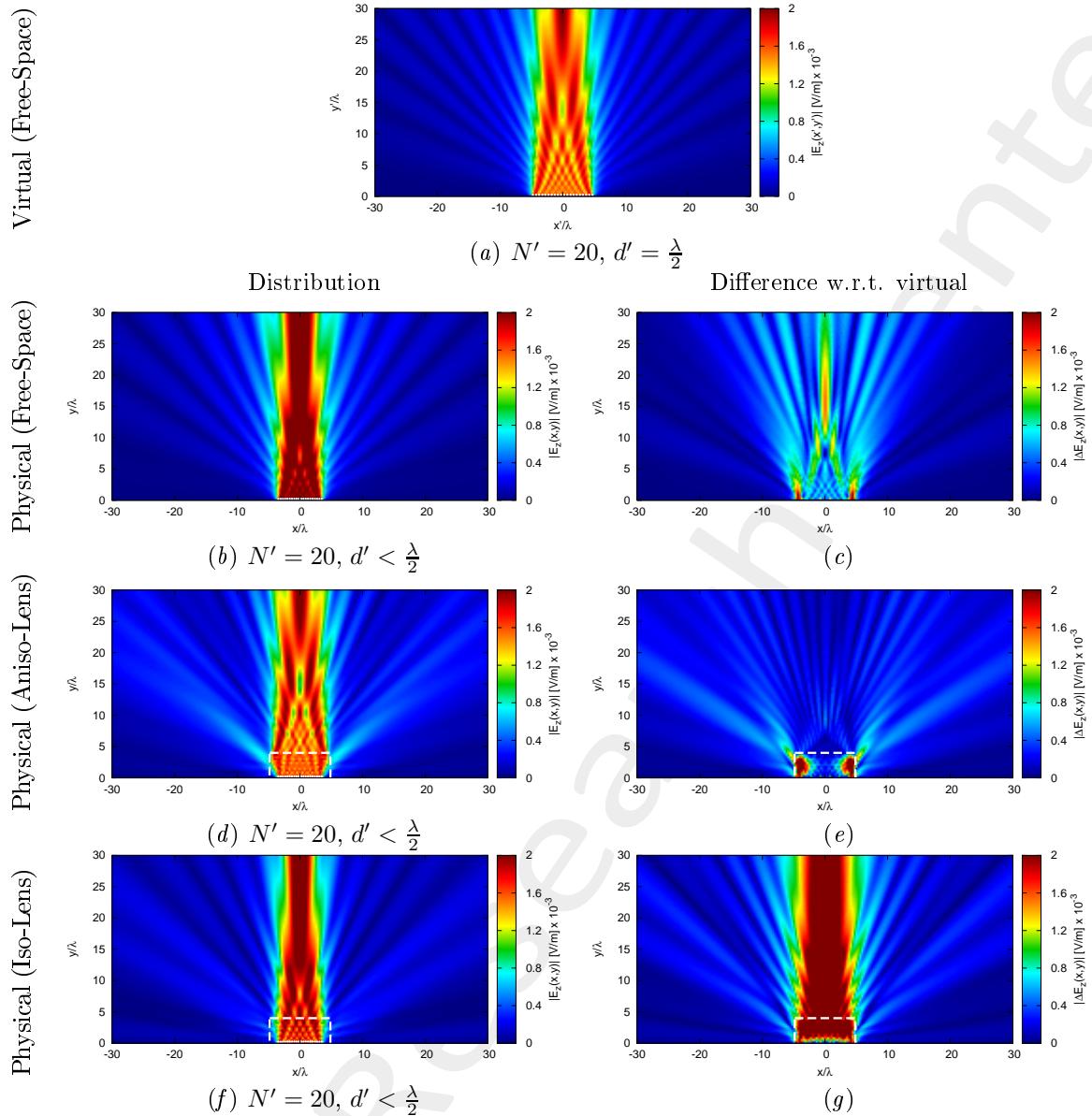


Figure 37: Electric field distributions.

### 2.2.3 Far-Field Patterns ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

#### Anisotropic Lens

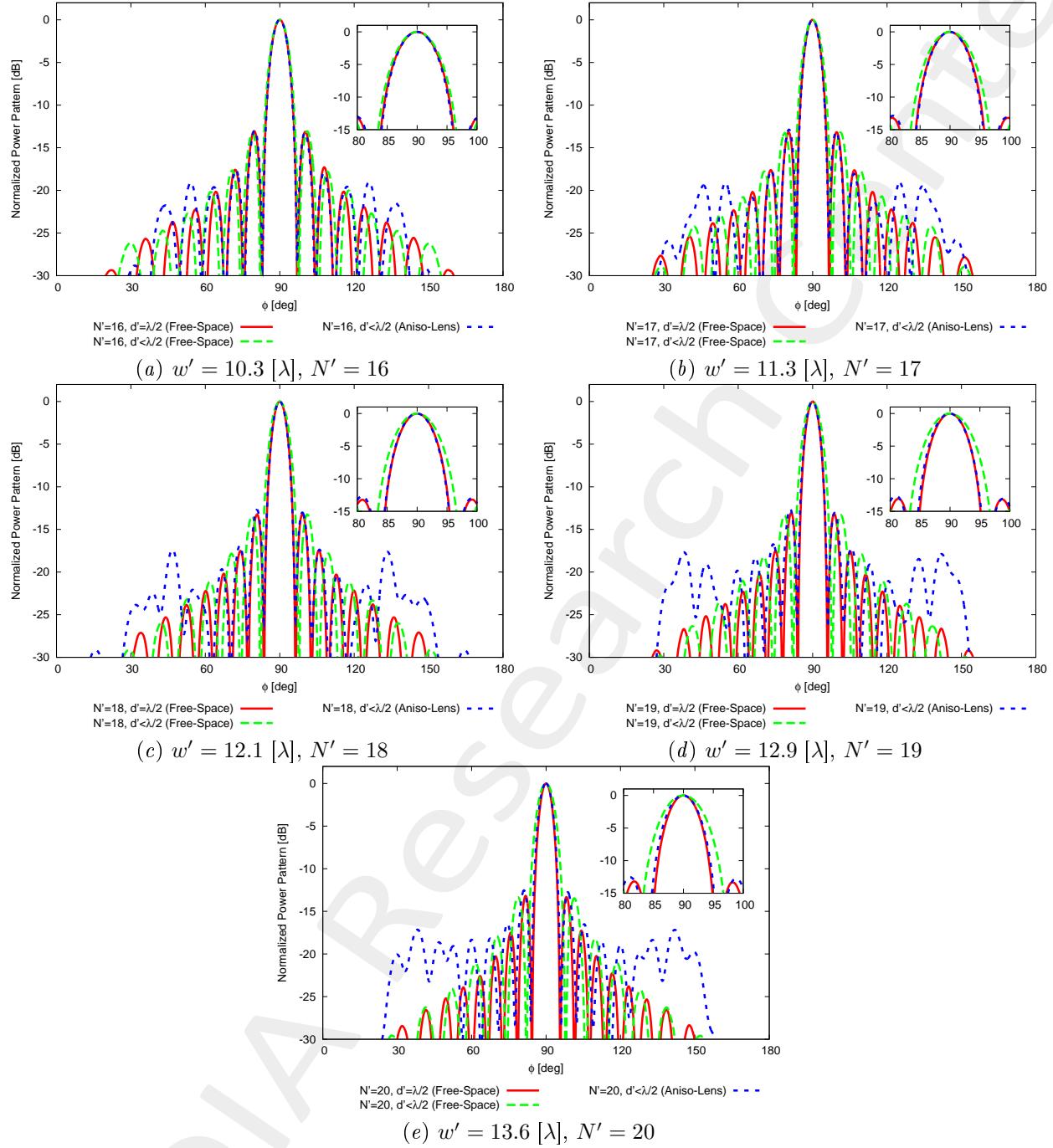


Figure 38: Far field pattern comparison for different values of  $w'$ .

## Isotropic Lens

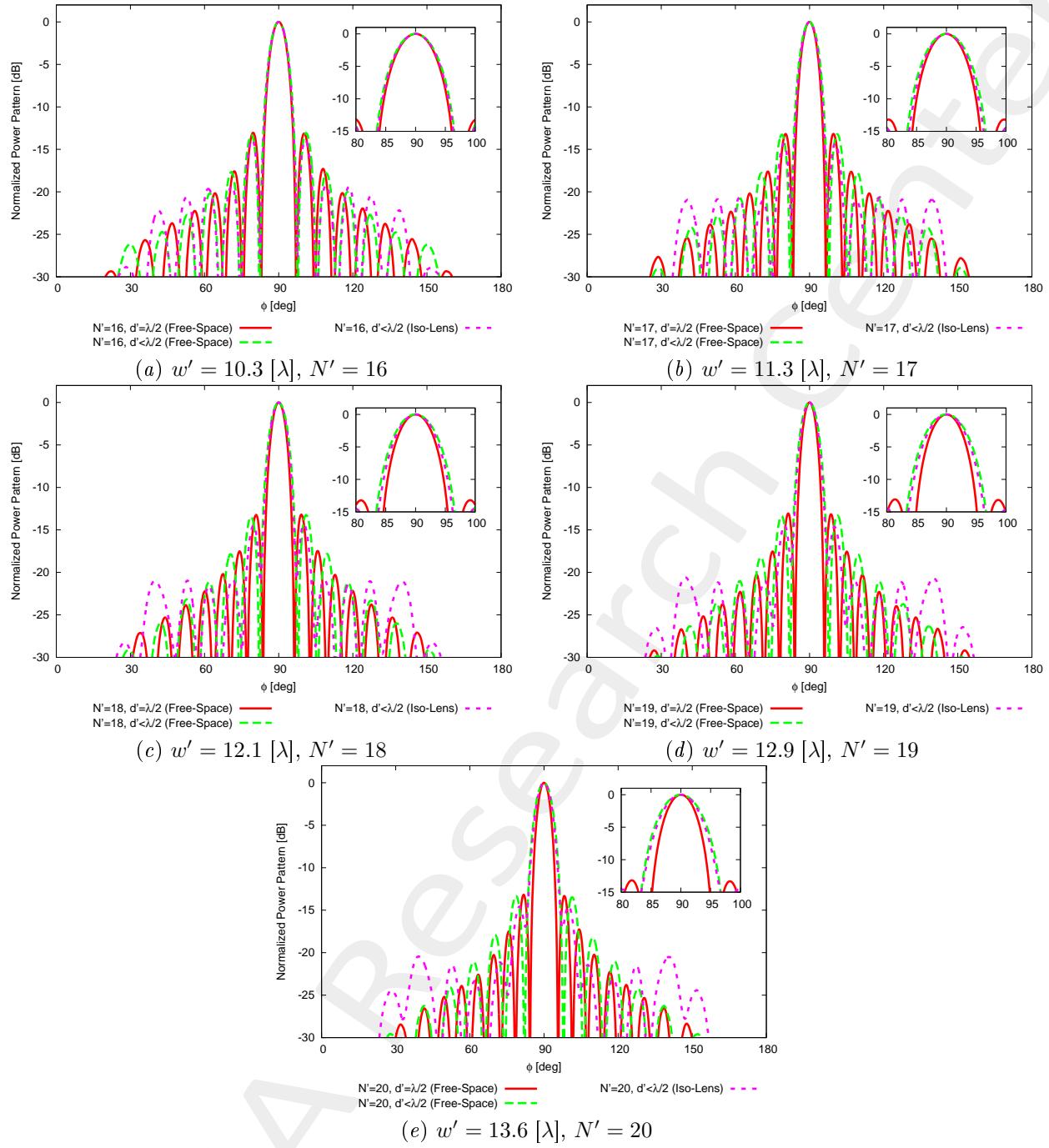


Figure 39: Far field pattern comparison for different values of  $w'$ .

#### 2.2.4 Summary ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

$w' = 10.3 [\lambda]$					
	Virtual Array	Physical Array			
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens	
Number of elements	16		16		
Spacing [ $\lambda$ ]	0.5		< 0.5		
Aperture [ $\lambda$ ]	7.5		6.98		
$D_{\max}$ [dB]	13.97	13.70	13.98	13.83	
$SLL$ [dB]	13.11	13.07	13.00	13.88	
$FNBW$ [deg]	14.32	15.40	14.05	15.04	
$3dB$ Beamwidth [deg]	6.36	6.81	6.2	6.57	
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$3.59 \times 10^{-1}$	$4.36 \times 10^{-1}$	$5.36 \times 10^{-1}$	
$w' = 11.3 [\lambda]$					
	Virtual Array	Physical Array			
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens	
Number of elements	17		17		
Spacing [ $\lambda$ ]	0.5		< 0.5		
Aperture [ $\lambda$ ]	8.0		6.97		
$D_{\max}$ [dB]	14.25	13.67	14.19	13.96	
$SLL$ [dB]	13.16	13.21	12.88	14.33	
$FNBW$ [deg]	13.60	15.58	13.24	14.86	
$3dB$ Beamwidth [deg]	5.97	6.89	5.82	6.39	
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.05 \times 10^{-1}$	$5.57 \times 10^{-1}$	$8.19 \times 10^{-1}$	
$w' = 12.1 [\lambda]$					
	Virtual Array	Physical Array			
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens	
Number of elements	18		18		
Spacing [ $\lambda$ ]	0.5		< 0.5		
Aperture [ $\lambda$ ]	8.5		6.98		
$D_{\max}$ [dB]	14.50	13.70	14.27	13.99	
$SLL$ [dB]	13.14	13.24	12.69	14.37	
$FNBW$ [deg]	12.79	15.58	12.70	14.68	
$3dB$ Beamwidth [deg]	5.64	6.86	5.62	6.32	
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.94 \times 10^{-1}$	$5.49 \times 10^{-1}$	1.03	
$w' = 12.9 [\lambda]$					
	Virtual Array	Physical Array			
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens	
Number of elements	19		19		
Spacing [ $\lambda$ ]	0.5		< 0.5		
Aperture [ $\lambda$ ]	9.0		6.95		
$D_{\max}$ [dB]	14.73	13.65	14.24	13.93	
$SLL$ [dB]	13.06	13.30	12.61	14.49	
$FNBW$ [deg]	12.07	15.76	12.43	14.86	
$3dB$ Beamwidth [deg]	5.35	6.96	5.49	6.38	
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.90 \times 10^{-1}$	$6.33 \times 10^{-1}$	1.31	
$w' = 13.6 [\lambda]$					
	Virtual Array	Physical Array			
Environment	Free-Space	Free-Space	Aniso-Lens	Iso-Lens	
Number of elements	20		20		
Spacing [ $\lambda$ ]	0.5		< 0.5		
Aperture [ $\lambda$ ]	9.5		6.94		
$D_{\max}$ [dB]	14.96	13.63	14.15	13.87	
$SLL$ [dB]	13.18	13.35	12.54	14.54	
$FNBW$ [deg]	11.44	15.85	12.25	15.04	
$3dB$ Beamwidth [deg]	5.06	7.00	5.45	6.43	
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$7.26 \times 10^{-1}$	$7.03 \times 10^{-1}$	1.48	

Table IX: Summary for step 2.

## 2.3 Source Inversion (SI)

### Parameters

- Before SI
  - Number of elements:  $N' = \{16; 17; 18; 19; 20\}$ ,  $d' < \lambda/2$ ;
- After SI
  - Number of elements after SI:  $N = 15$ ,  $d = \frac{\lambda}{2}$ ;
  - Aperture:  $L = 7.0$ ;
- Radius of the observation domain:  $r_{SI} = 50.0 [\lambda]$ ;
- Number of field sampling points:  $n_{SI} = 1000$ .

### 2.3.1 Results of the SI ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

#### Synthesized Excitations

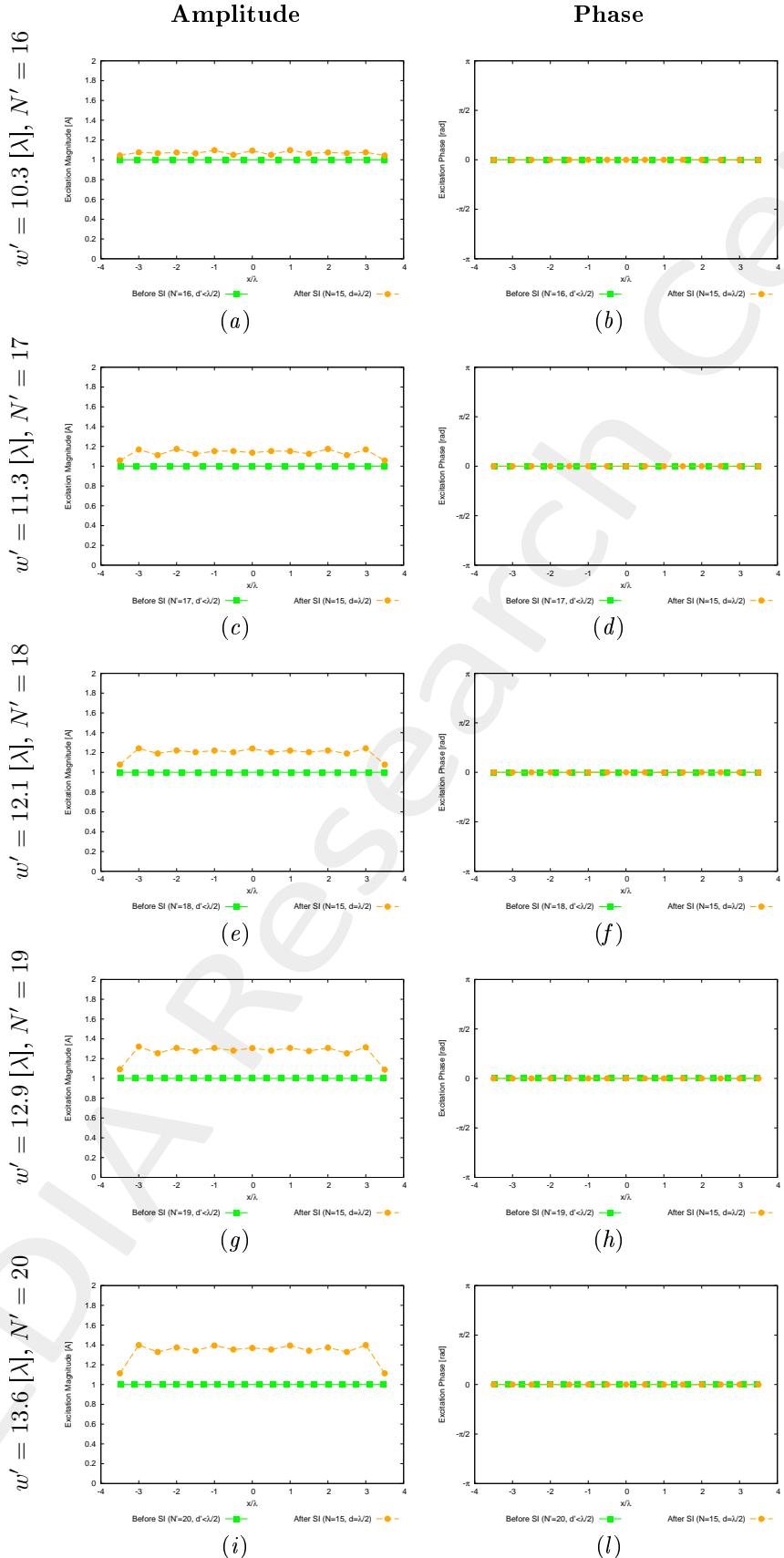


Figure 40:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Synthesized excitations through SI.

## Check SI: Free-Space Patterns

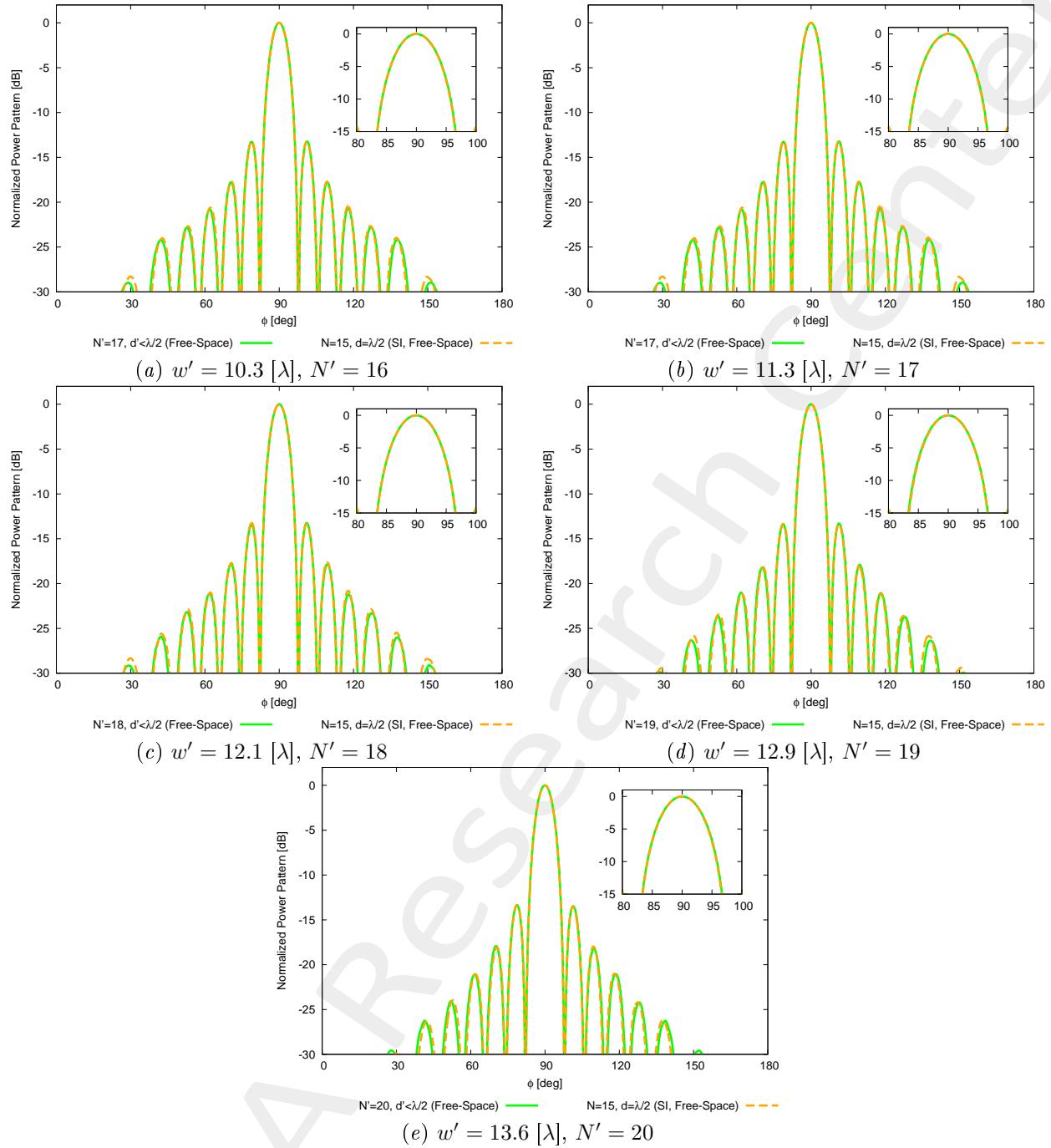


Figure 41:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Free-space far field pattern comparison for different values of  $w'$ .

### 2.3.2 Near-Field Distribution ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

Case  $w' = 10.3$  [ $\lambda$ ],  $N' = 16$

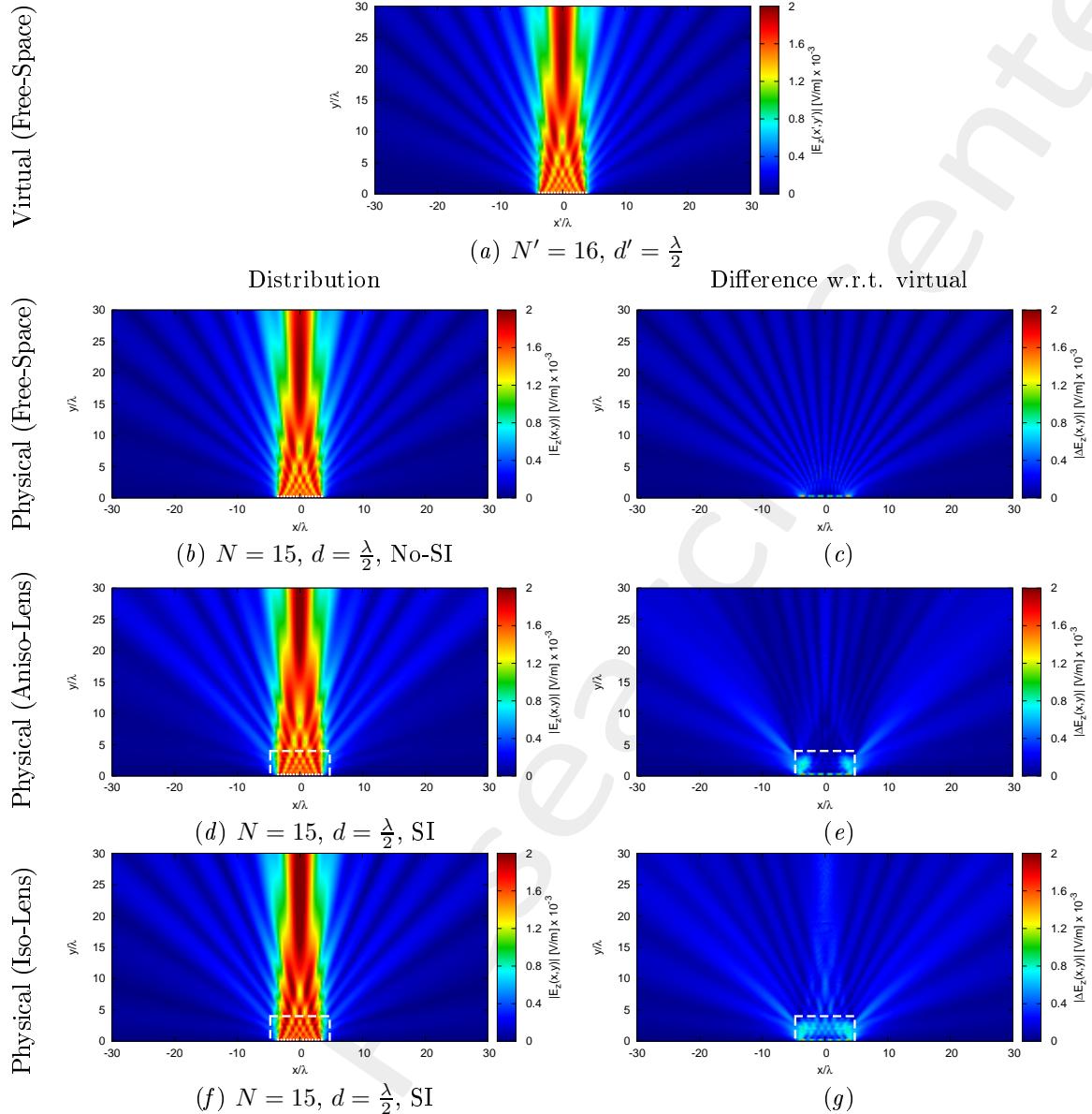


Figure 42:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Electric field distributions.

Case  $w' = 11.3$  [ $\lambda$ ],  $N' = 17$

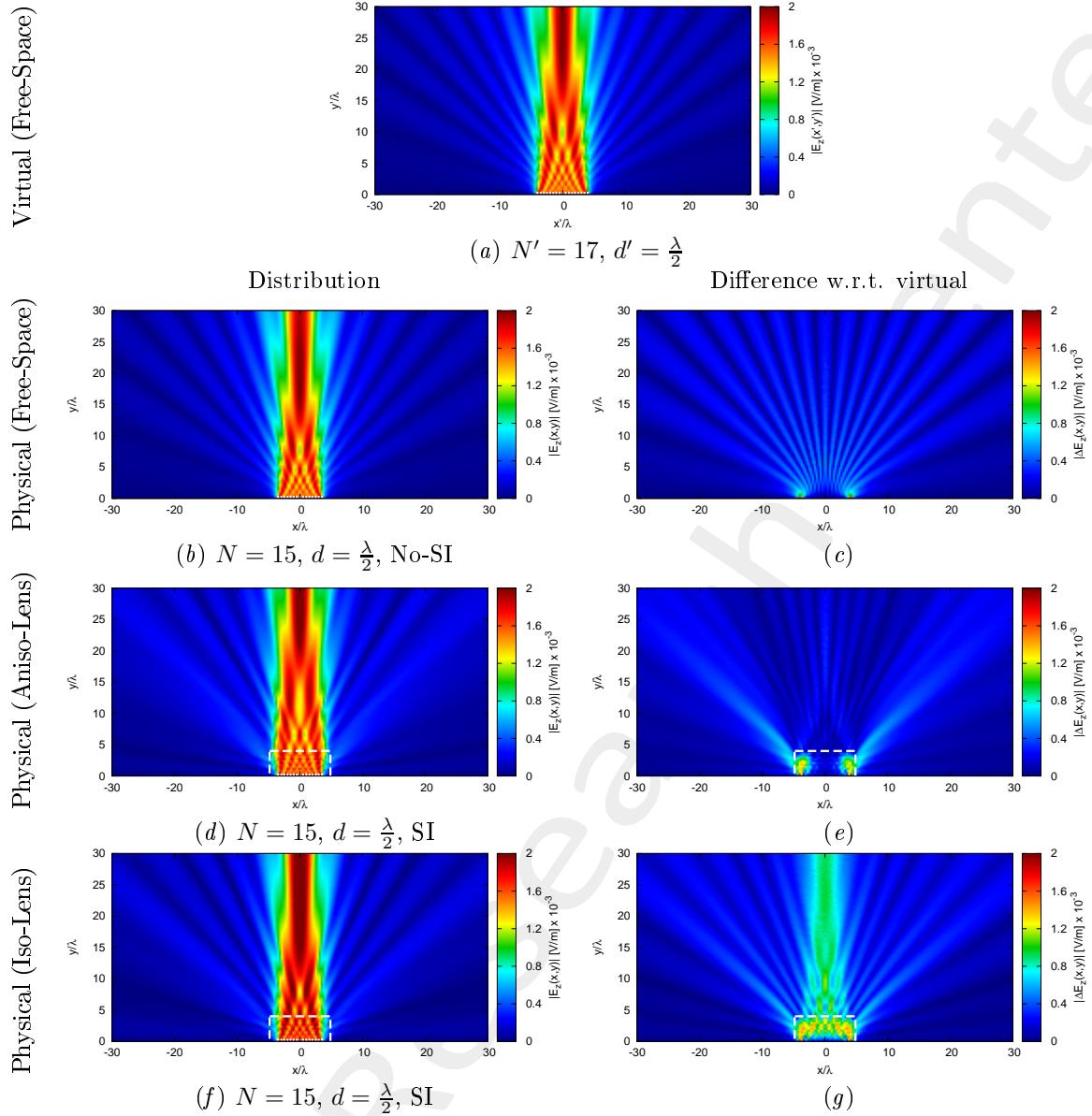


Figure 43:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Electric field distributions.

Case  $w' = 12.1 [\lambda]$ ,  $N' = 18$

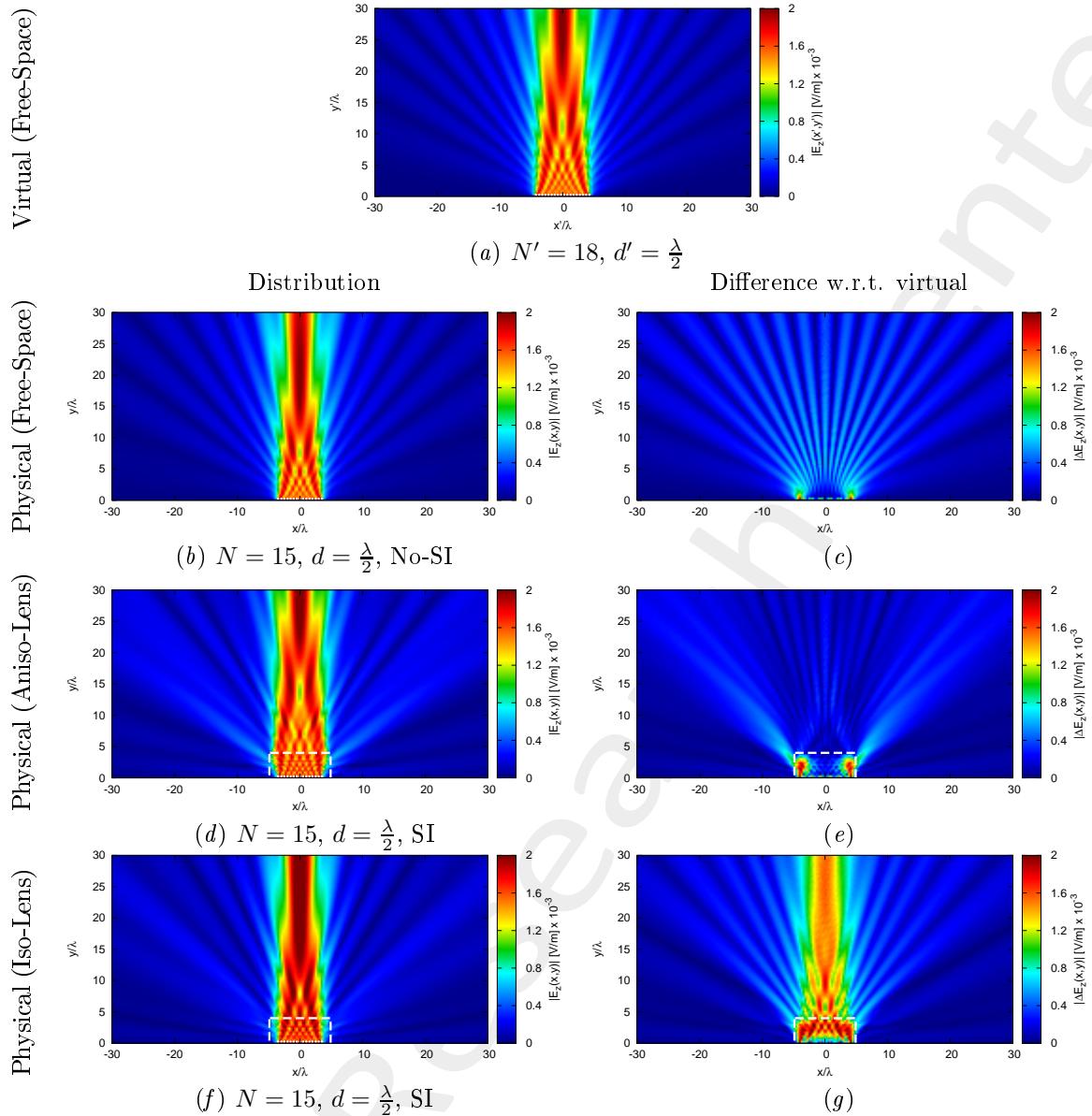


Figure 44:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Electric field distributions.

Case  $w' = 12.9$  [ $\lambda$ ],  $N' = 19$

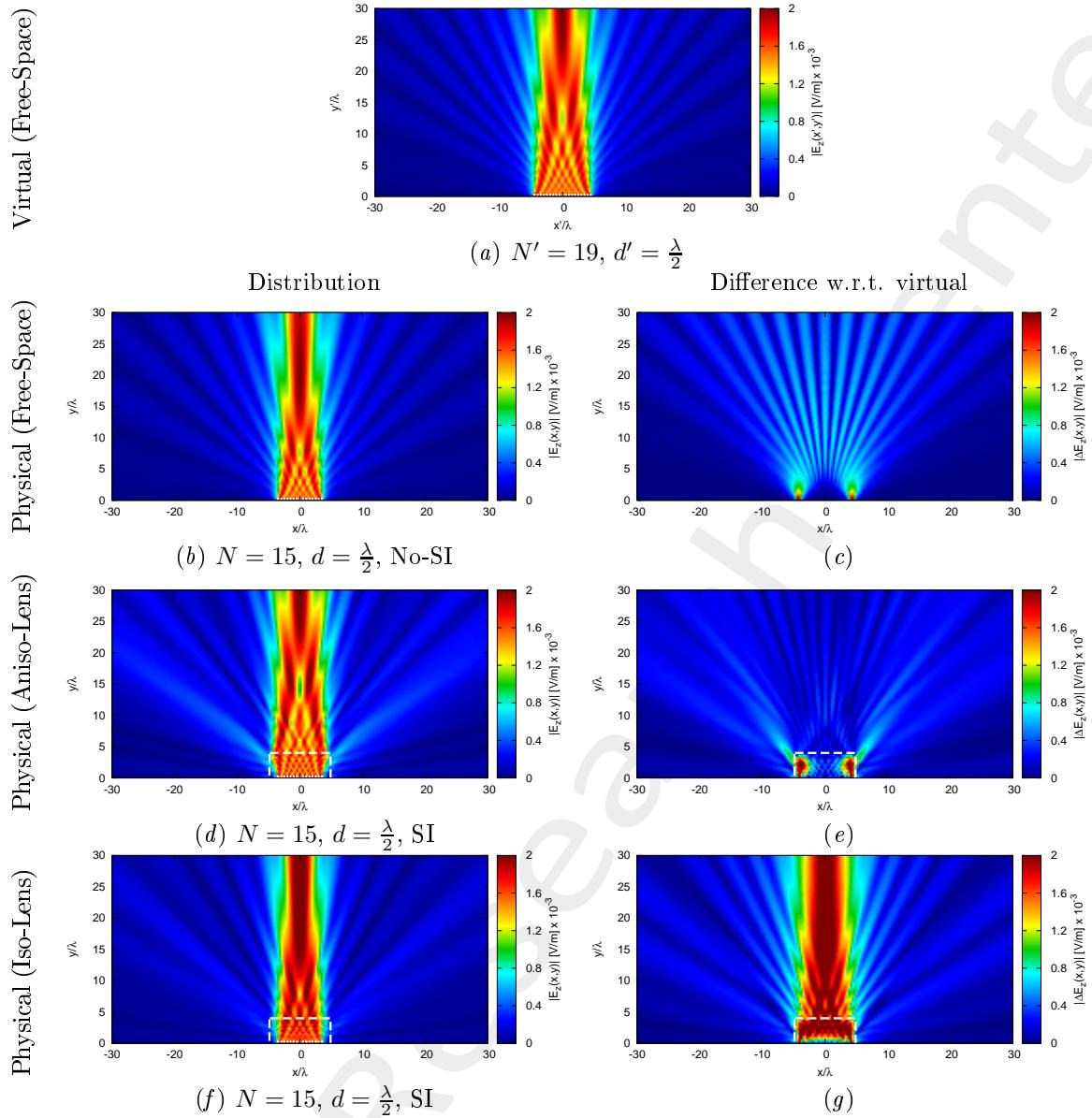


Figure 45:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Electric field distributions.

Case  $w' = 13.6 [\lambda]$ ,  $N' = 20$

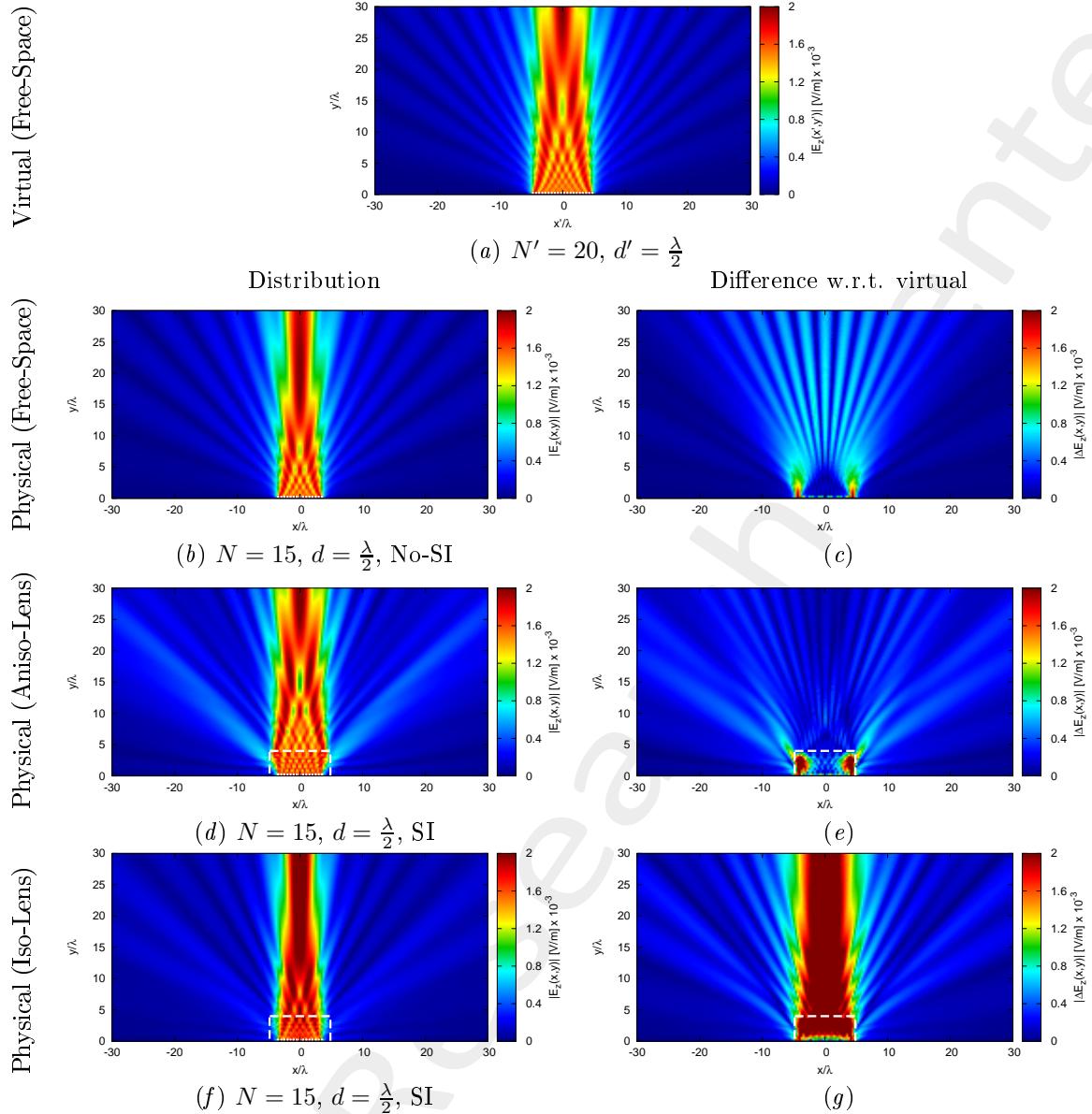


Figure 46:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Electric field distributions.

### 2.3.3 Far-Field Patterns ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

#### Anisotropic Lens

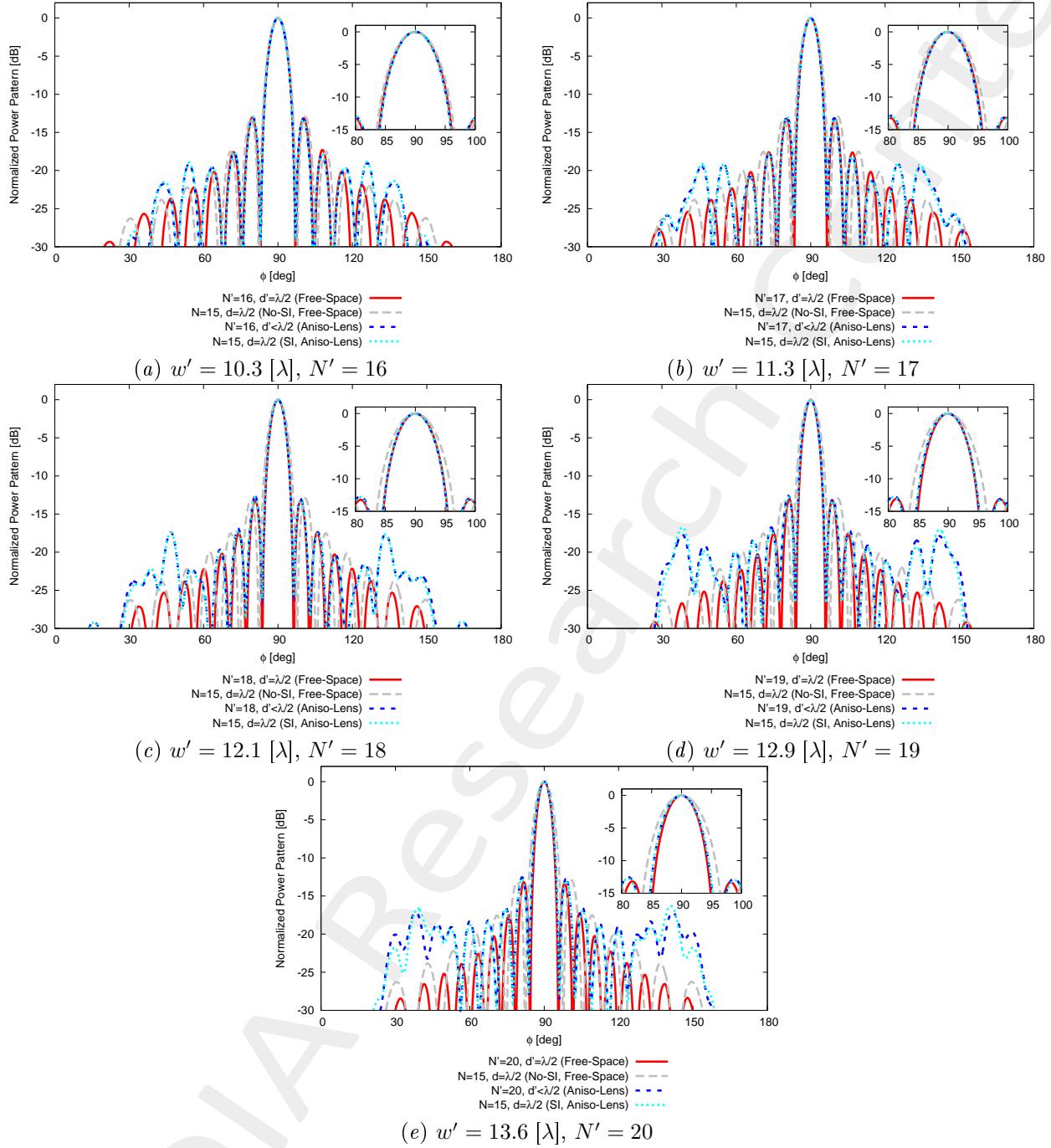


Figure 47:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Far field pattern comparison for different values of  $w'$ .

## Isotropic Lens

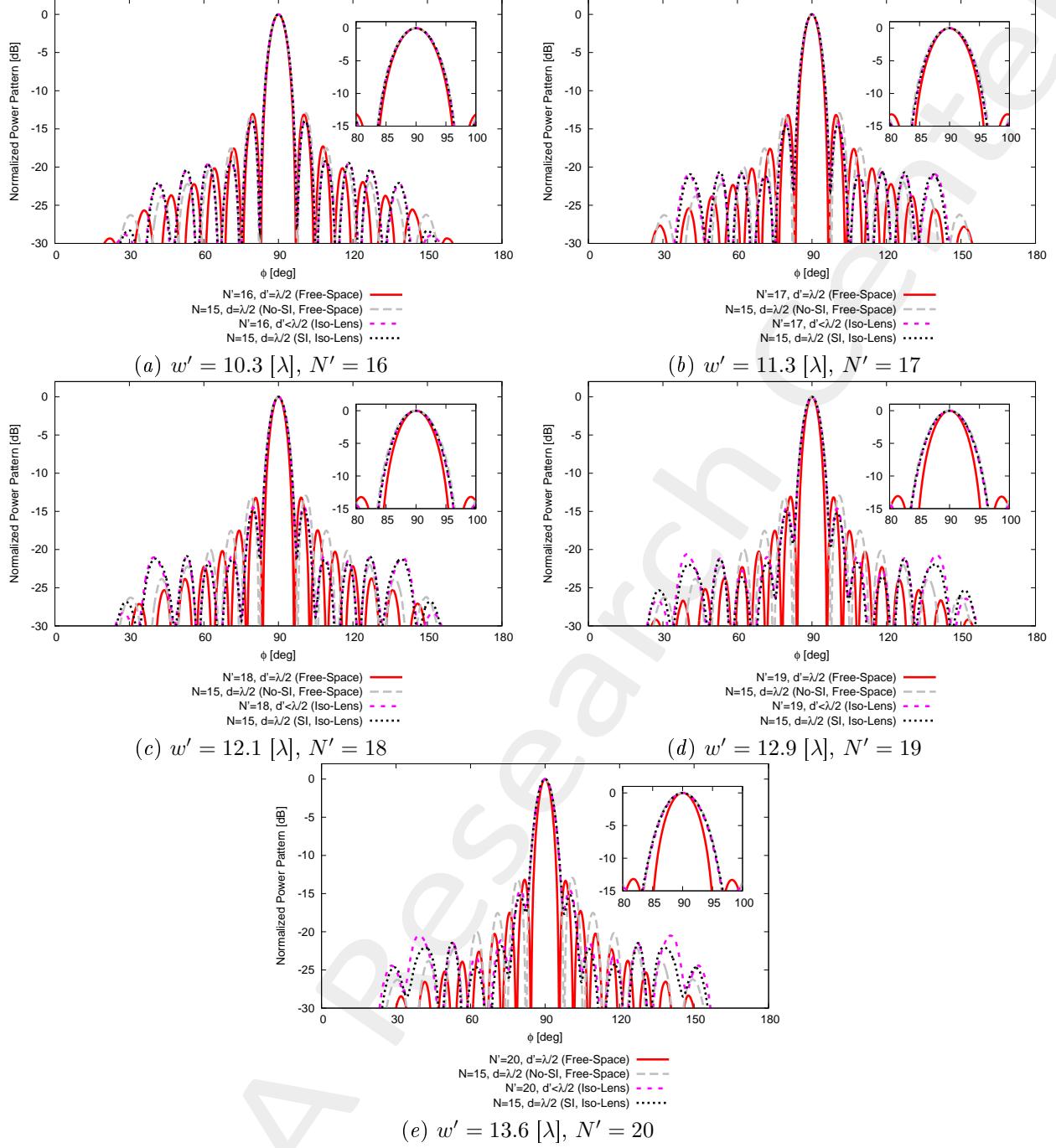


Figure 48:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Far field pattern comparison for different values of  $w'$ .

### 2.3.4 Final Summary ( $\phi_s = 90$ [deg], $f = 600$ [MHz])

$w' = 10.3$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	16		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	7.5		7.0	
$D_{\max}$ [dB]	13.97	13.71	13.98	13.83
$SLL$ [dB]	13.11	13.08	13.00	13.90
$FNBW$ [deg]	14.32	15.31	14.05	15.04
$3dB$ Beamwidth [deg]	6.36	6.76	6.20	6.57
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$3.24 \times 10^{-1}$	$4.30 \times 10^{-1}$	$5.26 \times 10^{-1}$
$w' = 11.3$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	17		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	8.0		7.0	
$D_{\max}$ [dB]	14.25	13.71	14.20	13.97
$SLL$ [dB]	13.16	13.08	12.95	14.50
$FNBW$ [deg]	13.60	15.31	13.24	14.86
$3dB$ Beamwidth [deg]	5.97	6.76	5.83	6.40
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$5.57 \times 10^{-1}$	$5.69 \times 10^{-1}$	$8.22 \times 10^{-1}$
$w' = 12.1$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	18		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	8.5		7.0	
$D_{\max}$ [dB]	14.50	13.71	14.27	13.98
$SLL$ [dB]	13.14	13.08	12.84	14.72
$FNBW$ [deg]	12.79	15.31	12.79	14.86
$3dB$ Beamwidth [deg]	5.64	6.76	5.64	6.37
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.60 \times 10^{-1}$	$5.38 \times 10^{-1}$	1.01
$w' = 12.9$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	19		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	9.0		7.0	
$D_{\max}$ [dB]	14.73	13.71	14.26	13.94
$SLL$ [dB]	13.06	13.08	12.78	14.99
$FNBW$ [deg]	12.07	15.31	12.43	15.22
$3dB$ Beamwidth [deg]	5.35	6.76	5.52	6.45
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.46 \times 10^{-1}$	$6.10 \times 10^{-1}$	1.27
$w' = 13.6$ [ $\lambda$ ]				
	Virtual Array	Physical Array		
Environment	Free-Space	Free-Space (No-SI)	Aniso-Lens (SI)	Iso-Lens (SI)
Number of elements	20		15	
Spacing [ $\lambda$ ]	0.5		0.5	
Aperture [ $\lambda$ ]	9.5		7.0	
$D_{\max}$ [dB]	14.96	13.71	14.17	13.87
$SLL$ [dB]	13.18	13.08	12.74	15.20
$FNBW$ [deg]	11.44	15.31	12.43	15.48
$3dB$ Beamwidth [deg]	5.06	6.76	5.49	6.55
Matching Error, $\xi$ (w.r.t. virtual, outside lens)	-	$6.37 \times 10^{-1}$	$6.97 \times 10^{-1}$	1.46

Table X:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Final summary.

### 2.3.5 Final Summary: Performances vs. $w'$ (vs. $N'$ )

Anisotropic Lens -  $\phi_s = 90$  [deg]

This figure compares the pattern characteristics of

1. Original array ( $N = 15$  elements,  $d = \lambda/2$ , Free-Space) - GREY;
2. Target array ( $N' > N$  elements,  $d = \lambda/2$ , Free-Space) - RED;
3. QCTO-SI array ( $N = 15$  elements,  $d = \lambda/2$ , Anisotropic Lens + SI) - CYAN;

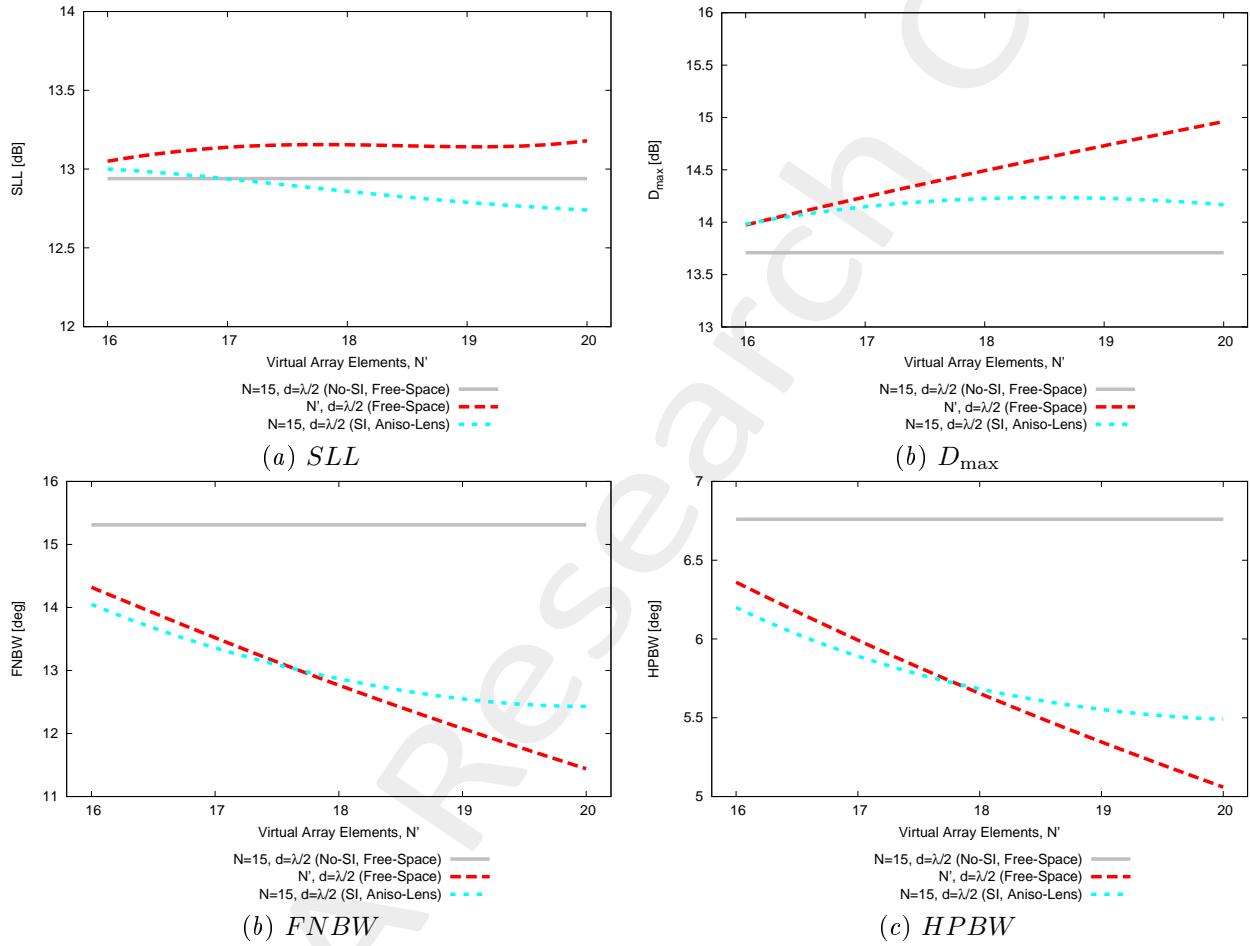


Figure 49: Aniso-Lens,  $f = 600$  [MHz] - Pattern performances vs  $w'$  (vs.  $N'$ ).

### 3 Half-Cosine Profile - Comparisons

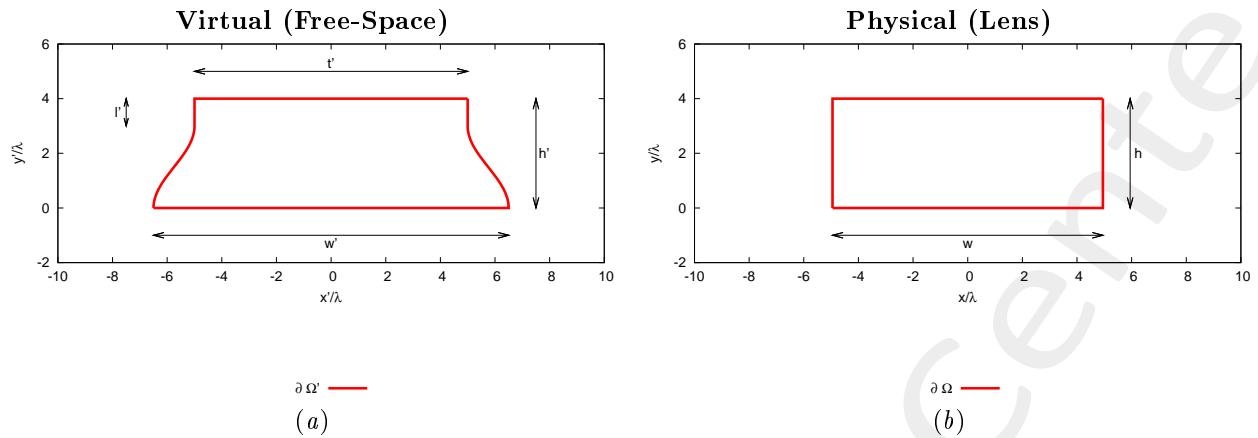


Figure 50: Transformation regions. The lower side of both virtual and physical boundaries are supposed to be PEC.

#### Analyzed configurations

1.  $h' = 4.0 [\lambda]$ ,  $l' = 1.0 [\lambda]$ ,  $t' = 10.0 [\lambda]$ ,  $N = 15$ ;
2.  $h' = 4.0 [\lambda]$ ,  $l' = 1.0 [\lambda]$ ,  $t' = \mathbf{9.0} [\lambda]$ ,  $N = 15$ ;
3.  $h' = 4.0 [\lambda]$ ,  $l' = \mathbf{0.0} [\lambda]$ ,  $t' = 9.0 [\lambda]$ ,  $N = 15$ ;

### 3.1 Far-Field Patterns ( $\phi_s = 90$ [deg], $f = 600$ [MHz]), After SI, Anisotropic Lens

Case  $N = 15 \rightarrow N' = 20$

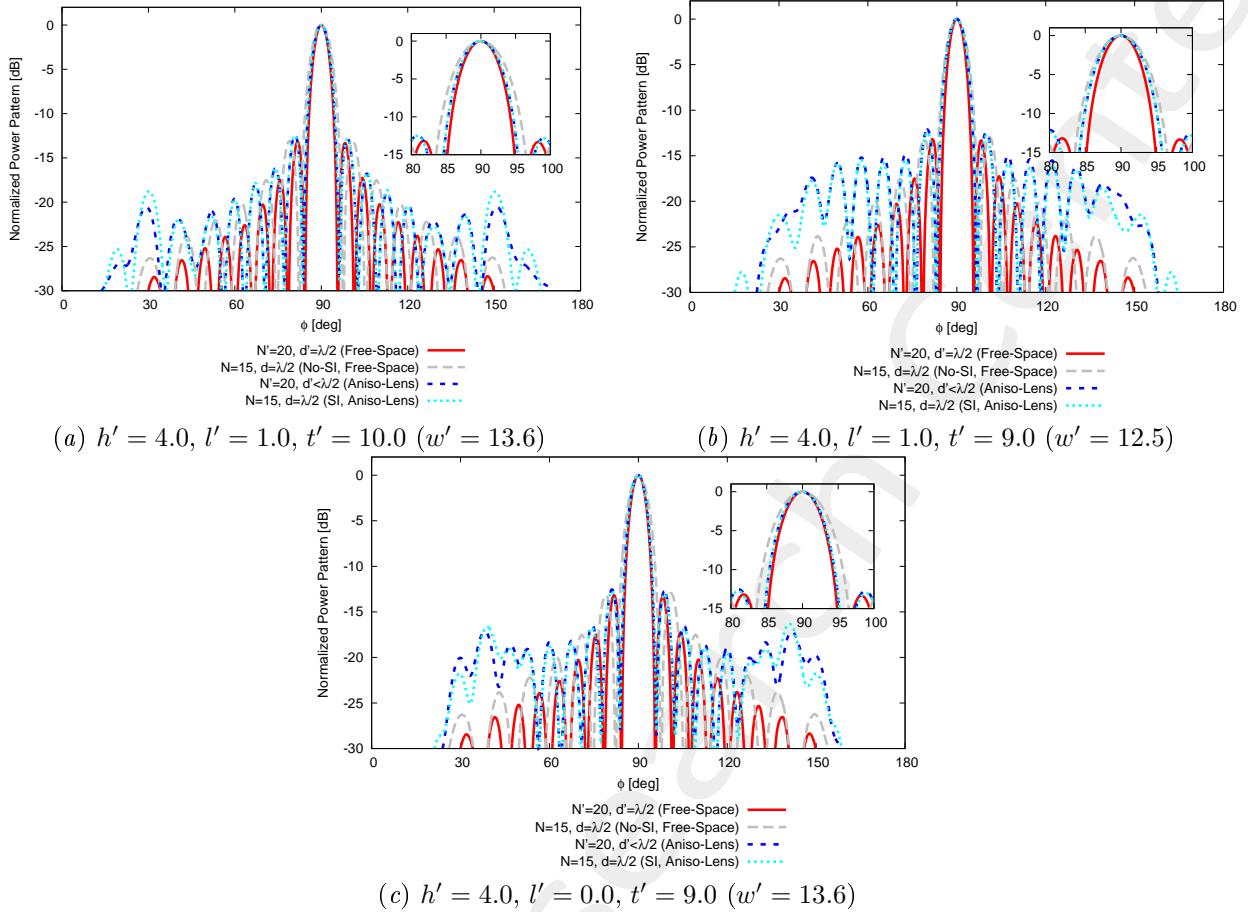


Figure 51:  $\phi_s = 90$  [deg],  $f = 600$  [MHz] - Far field pattern comparison after SI step.

#### Observations

1.  $h' = 4.0$  [ $\lambda$ ],  $l' = 1.0$  [ $\lambda$ ],  $t' = \mathbf{10.0}$  [ $\lambda$ ]  $\rightarrow h' = 4.0$  [ $\lambda$ ],  $l' = 1.0$  [ $\lambda$ ],  $t' = \mathbf{9.0}$  [ $\lambda$ ]

- The reduction of  $t'$  leads to worse results, especially in terms of *FNBW* and *HPBW*;
- An increase of the side lobes is obtained;

2.  $h' = 4.0$  [ $\lambda$ ],  $l' = \mathbf{1.0}$  [ $\lambda$ ],  $t' = 9.0$  [ $\lambda$ ]  $\rightarrow h' = 4.0$  [ $\lambda$ ],  $l' = \mathbf{0.0}$  [ $\lambda$ ],  $t' = 9.0$  [ $\lambda$ ]

- The reduction of  $l'$  leads to better results;
- $h' = 4.0$  [ $\lambda$ ],  $l' = 0.0$  [ $\lambda$ ],  $t' = 9.0$  [ $\lambda$ ] seems to provide comparable results, in terms of *FNBW* and *HPBW*, w.r.t. the case  $h' = 4.0$  [ $\lambda$ ],  $l' = 1.0$  [ $\lambda$ ],  $t' = 0.0$  [ $\lambda$ ]; however, external side-lobes seem slightly higher.

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