# Microwave Imaging of Buried Objects having Different Permittivities through an Innovative Multi-Frequency Stochastic Method

M. Salucci, L. Poli, N. Anselmi, and A. Massa

#### **Abstract**

This work deals with the retrieval of the electromagnetic characteristics of inaccessible subsurface domains by processing ground penetrating radar (GPR) data. Assuming a multi-frequency (MF) formulation of the buried inverse scattering problem, the solution is obtained by means of a multi-resolution particle swarm optimization (PSO) algorithm. The developed MF-IMSA-PSO method is able to proficiently exploit the intrinsic frequency diversity of wideband GPR measurements in order to mitigate the ill-posedness and nonlinearity issues of the subsurface inverse scattering problem. Moreover, thanks to the integration of the PSO within the iterative multi-scaling approach (IMSA) an increased resolution of the retrieved images is obtained within the identified regions of interest, where the buried objects are supposed to lie. Some numerical experiments are shown in order to assess the effectiveness, the robustness to noise, as well as the current limitations, of the developed method in retrieving buried scatterers having different levels of electric permittivity (i.e., different levels of contrast with respect to the surrounding background medium). Moreover, a direct comparison with respect to the MF-IMSA-CG, a state-of-theart approach based on a conjugate gradient (CG) local search algorithm, is given.

## 1 Definitions

## 1.1 Glossary

- *SF*: Single-Frequency;
- *FH*: Frequency-Hopping;
- *MF*: Multi-Frequency;
- P: Swarm dimension;
- $\bullet$  U: Total number of unknowns;
- S: Maximum number of IMSA zooming steps;
- $s^{best}$ : Last performed IMSA zooming step  $(s^{best} \leq S)$ ;
- $\eta_{th}$ : IMSA zooming threshold;
- $D_{inv}$ : Investigation domain;
- $D_{obs}$ : Observation domain;
- L: Side of the investigation domain;
- N: Number of discretization cells in  $D_{ind}$ ;
- V: Number of views;
- M: Number of measurement points;
- F: Number of frequencies considered for the inversion;
- $\mathbf{r}^{(v)} = (x^{(v)}, y^{(v)})$ : Coordinates of the v-th source  $(v = 1, \dots, V)$ .
- $\mathbf{r}_m^{(v)} = \left(x_m^{(v)}, y_m^{(v)}\right)$ : Coordinates of the *m*-th measurement point for the *v*-th view *v*,  $(m = 1, \dots, M)$ ;
- $\varepsilon_{ra} = \frac{\varepsilon_a}{\varepsilon_0}$ : Relative electric permittivity for the upper half-space (y > 0);
- $\sigma_a$ : Conductivity for the upper half-space (y > 0);
- $\varepsilon_{rb} = \frac{\varepsilon_b}{\varepsilon_0}$ : Background relative electric permittivity;
- $\sigma_b$ : Background conductivity;
- $E_{inc}^{(v)}(\mathbf{r}_n; f)$ : Measured internal incident field inside the *n*-th cell, for the *v*-th view at frequency f;
- $\widetilde{E}_{inc}^{(v)}(\mathbf{r}_n; f)$ : Computed internal incident field inside the *n*-th cell, for the *v*-th view at frequency f;
- $E_{scatt}^{(v)}(\mathbf{r}_{m}^{(v)}; f)$ : Measured external scattered by the *m*-th measurement point, for the *v*-th view at frequency f;
- $\widetilde{E}_{scatt}^{(v)}\left(\mathbf{r}_{m}^{(v)};f\right)$ : Measured external scattered by the m-th measurement point, for the v-th view at frequency f.

## 1.2 Contrast function

The contrast function at frequency f is defined as

$$\tau\left(\mathbf{r};f\right) = \frac{\varepsilon_{eq}\left(\mathbf{r}\right) - \varepsilon_{eqb}}{\varepsilon_{0}} = \left[\varepsilon_{r}\left(\mathbf{r}\right) - \varepsilon_{rb}\right] + j\left[\frac{\sigma_{b} - \sigma\left(\mathbf{r}\right)}{2\pi f \varepsilon_{0}}\right]$$

where

- $\mathbf{r} = (x, y)$ : position vector;
- $\Re \{\tau(\mathbf{r}; f)\} = [\varepsilon_r(\mathbf{r}) \varepsilon_{rb}]$ ;
- $\Im \left\{ \tau \left( \mathbf{r}; f \right) \right\} = \left[ \frac{\sigma_b \sigma(\mathbf{r})}{2\pi f \varepsilon_0} \right];$
- $\varepsilon_{eq}(\mathbf{r}) = \varepsilon_0 \varepsilon_r(\mathbf{r}) j \frac{\sigma(\mathbf{r})}{2\pi f}$ ;
- $\varepsilon_{eqb} = \varepsilon_0 \varepsilon_{rb} j \frac{\sigma_b}{2\pi f};$
- $\varepsilon_r(\mathbf{r})$ : relative electric permittivity at position  $\mathbf{r}$ ;
- $\sigma(\mathbf{r})$ : conductivity at position  $\mathbf{r}$ ;

**NOTE:** we assume that  $\varepsilon_r(\mathbf{r})$  and  $\sigma(\mathbf{r})$  are **not frequency dependent** (non-dispersive mediums).

# 1.2.1 Contrast function and reference frequency $f_{ref}$ (MF approaches)

The contrast function at a generic frequency f can be expressed by means of the contrast function computed for a selected reference frequency

$$f = f_{ref} \tag{1}$$

as follows

$$\tau\left(\mathbf{r};f\right) = \Re\left\{\tau\left(\mathbf{r};f_{ref}\right)\right\} + j\frac{f_{ref}}{f}\Im\left\{\tau\left(\mathbf{r};f_{ref}\right)\right\}.$$
 (2)

This allows to reduce the number of unknowns when dealing with multi-frequency techniques, since we can just consider the contrast function at the reference frequency.

#### 1.3 Cost function & unknowns

#### 1.3.1 Multi-Frequency (MF) approaches

These approaches jointly consider data at F frequencies. The functional minimized by the inversion algorithm is defined as

$$\Phi(\mathbf{x}) = \Phi_{state}(\mathbf{x}) + \Phi_{data}(\mathbf{x}) \tag{3}$$

where  $\Phi_{state}\left(\mathbf{x}\right)$  and  $\Phi_{data}\left(\mathbf{x}\right)$  are respectively the data and state terms of the cost function, defined as

$$\Phi_{state}\left(\mathbf{x}\right) = \frac{\sum_{j=1}^{F} \sum_{v=1}^{V} \sum_{n=1}^{N} \left| E_{inc}^{(v)}\left(\mathbf{r}_{n}; f_{j}\right) - \widetilde{E}_{inc}^{(v)}\left(\mathbf{r}_{n}; f_{j}\right) \right|^{2}}{\sum_{j=1}^{F} \sum_{v=1}^{V} \sum_{n=1}^{N} \left| E_{inc}^{(v)}\left(\mathbf{r}_{n}; f_{j}\right) \right|^{2}}$$
(4)

$$\Phi_{data} = \frac{\sum_{j=1}^{F} \sum_{v=1}^{V} \sum_{m=1}^{M} \left| E_{scatt}^{(v)} \left( \mathbf{r}_{m}^{(v)}; f_{j} \right) - \widetilde{E}_{scatt}^{(v)} \left( \mathbf{r}_{m}^{(v)}; f_{j} \right) \right|^{2}}{\sum_{j=1}^{F} \sum_{v=1}^{V} \sum_{m=1}^{M} \left| E_{scatt}^{(v)} \left( \mathbf{r}_{m}^{(v)}; f_{j} \right) \right|^{2}}$$
(5)

The unknowns of the inversion problem are

$$\mathbf{x} = \left\{ \tau \left( \mathbf{r}; f_{ref} \right); E_{tot}^{(v)} \left( \mathbf{r}_n; f_j \right) \right\} \qquad n = 1, ..., N; v = 1, ..., V; j = 1, ..., F.$$
 (6)

The total number of unknowns for MF-based approaches is then given by

$$U_{MF} = 2N\left(1 + VF\right). \tag{7}$$

#### 1.4 Reconstruction errors

The following integral error is defined

$$\Xi_{reg} = \frac{1}{N_{reg}} \sum_{n=1}^{N_{reg}} \frac{|\tau_n^{act} - \tau_n^{rec}|}{|\tau_n^{act} + 1|}$$
 (8)

where reg indicates if the error computation covers

- the overall investigation domain  $(reg \Rightarrow tot)$ ,
- the actual scatterer support  $(reg \Rightarrow int)$ ,
- or the background region  $(reg \Rightarrow ext)$ .

# 2 Numerical Results: Variation of the Object Relative Permittivity

# 2.1 Circular empty object $(\sigma_{obj} = 10^{-3} [S/m])$

#### 2.1.1 Parameters

#### Background

Inhomogeneous and nonmagnetic background composed by two half spaces

- Upper half space (y > 0 air):  $\varepsilon_{ra} = 1.0$ ,  $\sigma_a = 0.0$ ;
- Lower half space (y < 0 soil):  $\varepsilon_{rb} = 4.0$ ,  $\sigma_b = 10^{-3} [\mathrm{S/m}]$ ;

Investigation domain  $(D_{inv})$ 

- Side:  $L_{D_{inv}} = 0.8$  [m];

Time-Domain forward solver (FDTD - GPRMax2D)

- Side of the simulated domain: L = 6 [m];
- Number of cells:  $N^{FDTD} = 750 \times 750 = 5.625 \times 10^5$ ;
- Side of the FDTD cells  $l^{FDTD} = 0.008$  [m];
- Simulation time window:  $T^{FDTD} = 20 \times 10^{-9}$  [sec];
- Time step:  $\Delta t^{FDTD} = 1.89 \times 10^{-11} \text{ [sec]};$
- Number of time samples:  $N_t^{FDTD} = 1060$ ;
- Boundary conditions: perfectly matched layer (PML);
- $\bullet$  Source type: Gaussian mono-cycle (first Gaussian pulse derivative, called "Ricker" in GPRMax2D)
  - Central frequency:  $f_0 = 300 \text{ [MHz]};$
  - Source amplitude: A = 1.0 [A];

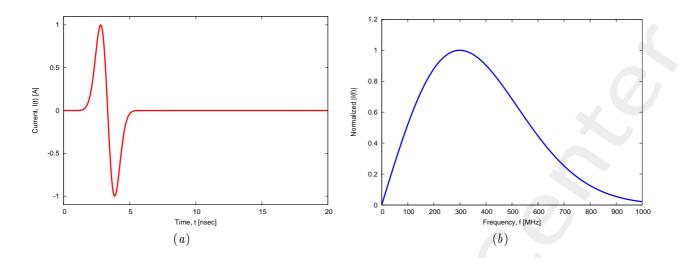


Figure 1: GPRMax2D excitation signal. (a) Time pulse, (b) normalized frequency spectrum.

#### Frequency parameters

- Frequency range:  $f \in [f_{min}, f_{max}] = [200.0, 600.0]$  [MHz] (-3 [dB] bandwidth of the Gaussian Monocycle excitation centered at  $f_0 = 300$  [MHz]);
- Frequency step:  $\Delta f = 100 \text{ [MHz]} (F = 5 \text{ frequency steps in } [f_{min}, f_{max}]);$

f [MHz]	$\lambda_a$ [m]	$\lambda_b [\mathrm{m}]$	$f^*$ [MHz]
200.0	1.50	0.75	200.5
300.0	1.00	0.50	297.6
400.0	0.75	0.37	401.1
500.0	0.60	0.30	498.1
600.0	0.50	0.25	601.6

Table 1: Considered frequencies and corresponding wavelength in the upper medium ( $\lambda_a$ , free space) and in the lower medium ( $\lambda_b$ , soil).  $f^*$  is the nearest frequency sample available from transformed time-domain data, and represents the real frequency considered by the inversion algorithm.

#### Scatterer

- Type: Circular;
- Barycenter:  $(x_{obj}, y_{obj}) = (0.0, -0.4)$  [m];
- Electromagnetic properties:  $\varepsilon_{r,obj} = \{4.5; 5.0; 5.5; 6.0; 6.5; 7.0\}, \ \sigma_{obj} = 10^{-3} \ [\text{S/m}] \ (\sigma_{obj} = \sigma_b);$
- Contrast function:  $\tau = \{0.5; 1.0; 1.5; 2.0; 2.5; 3.0\}$

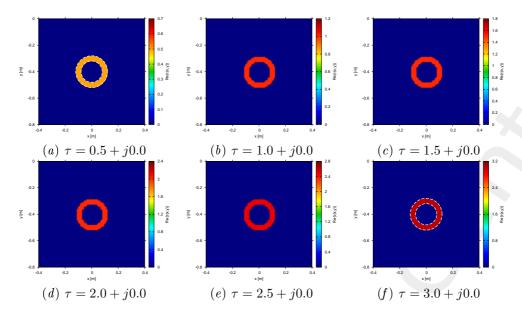


Figure 2: Actual object.

## Measurement setup

- Onsidered frequency:  $f_{min}=200$  [MHz],  $\lambda_b=0.75$  [m].
- $\#DoFs = 2ka = \frac{2\pi}{\lambda_b}L\sqrt{2} = \frac{2\pi}{0.75}0.8\sqrt{2} \simeq 9.5;$
- Number of views (sources): V = 10;
  - $\min\{x_v\} = -0.5 \text{ [m]}, \max\{x_v\} = 0.5 \text{ [m]};$
  - height:  $y_v = 0.1 [m], \forall v = 1, ..., V;$
- Number of measurement points: M = 9;
  - $\min\{x_m\} = -0.5 [m], \max\{x_m\} = 0.5 [m];$
  - height:  $y_m = 0.1 [m], \forall m = 1, ..., M;$

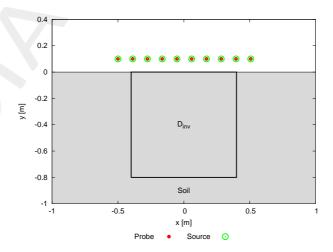


Figure 3: Location of the measurement points (M = 9) and of the sources (V = 10). Only one source is active for each view.

 $<sup>{}^{1}\</sup>mathbf{NOTE}$ : This choice is done in order to keep the number of unknowns lower than 5000.

## Inverse solver parameters

#### • Shared parameters

- Number of unknowns: U = 2N (1 + VF) = 4998;
- Weight of the state term of the functional: 1.0;
- Weight of the data term of the functional: 1.0;
- Weight of the penalty term of the functional: 0.0;
- Convergence threshold:  $10^{-10}$ ;
- Variable ranges:
  - \*  $\sigma \in [8.0 \times 10^{-4}, 1.2 \times 10^{-3}] [S/m];$
  - \*  $\Re\left\{E_{tot}^{int}\right\} \in [-8,8], \Im\left\{E_{tot}^{int}\right\} \in [-8,8];$
- Degrees of freedom:
  - \* Considered frequency:  $f_{min} = 200$  [MHz],  $\lambda_b = 0.75$  [m];

\* 
$$\frac{(2ka)^2}{2} = \frac{\left(2 \times \frac{2\pi}{\lambda_b} \times \frac{L\sqrt{2}}{2}\right)^2}{2} = 4\pi^2 \left(\frac{L}{\lambda_b}\right)^2 = 4\pi^2 \left(\frac{0.8}{0.75}\right)^2 \simeq 44.87;$$

- Number of cells:  $N = 49 = 7 \times 7$ ;
- Maximum number of IMSA steps: S = 4;
- Side ratio threshold:  $\eta_{th} = 0.2$ ;

## $\bullet$ MF-IMSA-PSO parameters

- Maximum number of iterations: I = 20000;
- Swarm dimension:  $P = \frac{5}{100} \times U = 250;$
- $C_1 = C_2 = 2.0;$
- Inertial weight: w = 0.4;
- Velocity clamping: enabled;

#### • MF - IMSA - CG parameters

- Maximum number of iterations: I = 200;

#### Signal to noise ratio (on $E_{tot}(t)$ )

•  $SNR = \{50, 40, 30, 20\} [dB] + Noiseless data.$ 

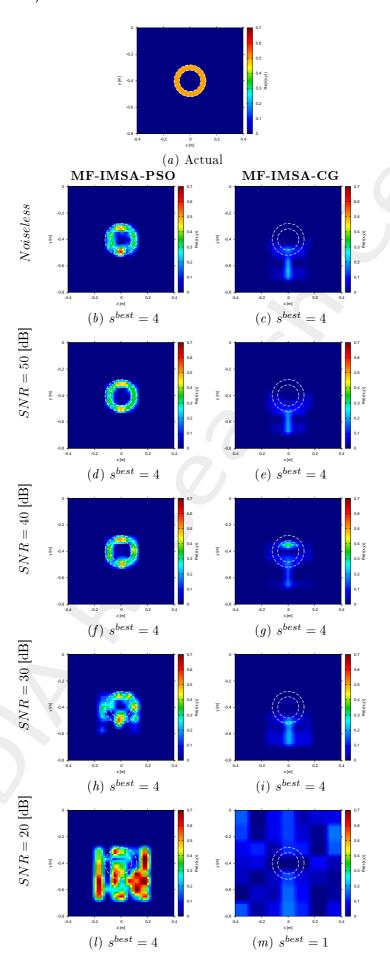


Figure 4: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

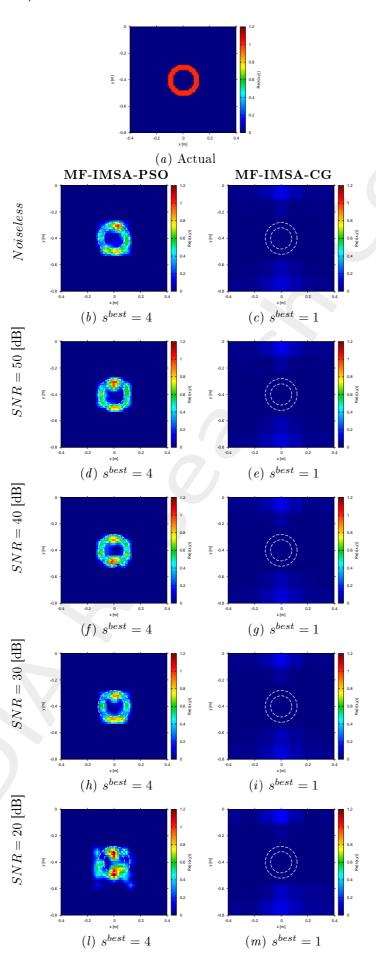


Figure 5: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

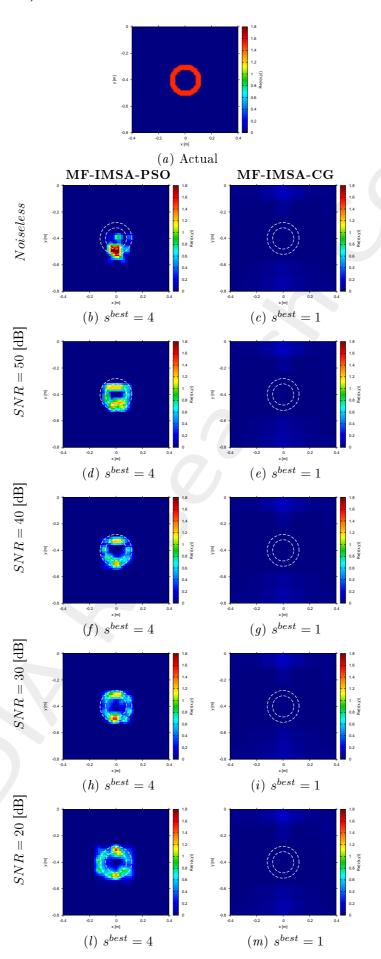


Figure 6: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

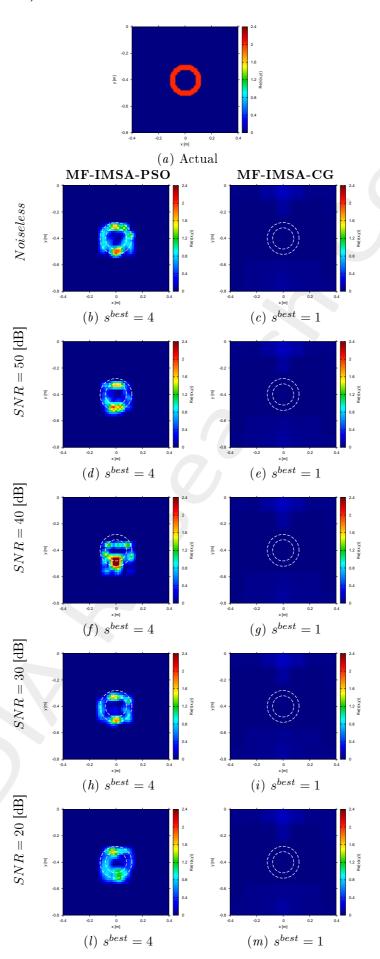


Figure 7: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

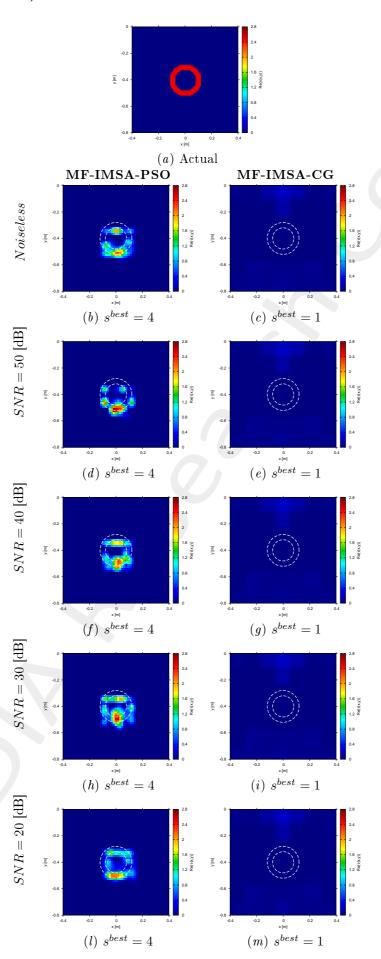


Figure 8: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

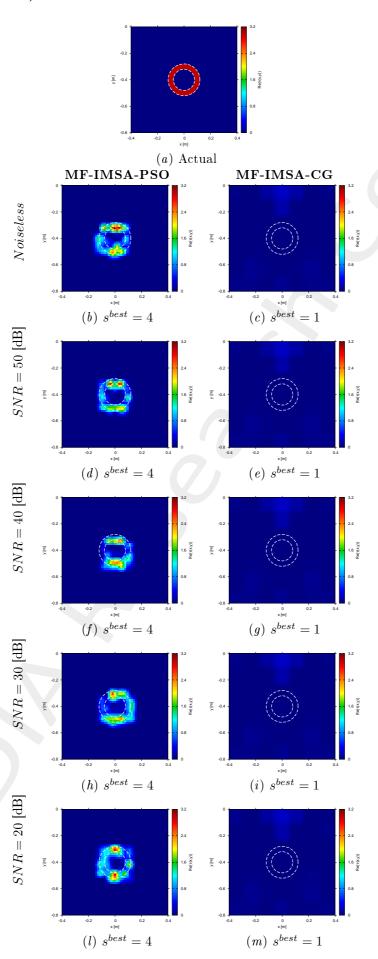


Figure 9: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

# 2.1.8 MF - IMSA - PSO vs. MF - IMSA - CG: Errors vs. $\varepsilon_{r,obj}$

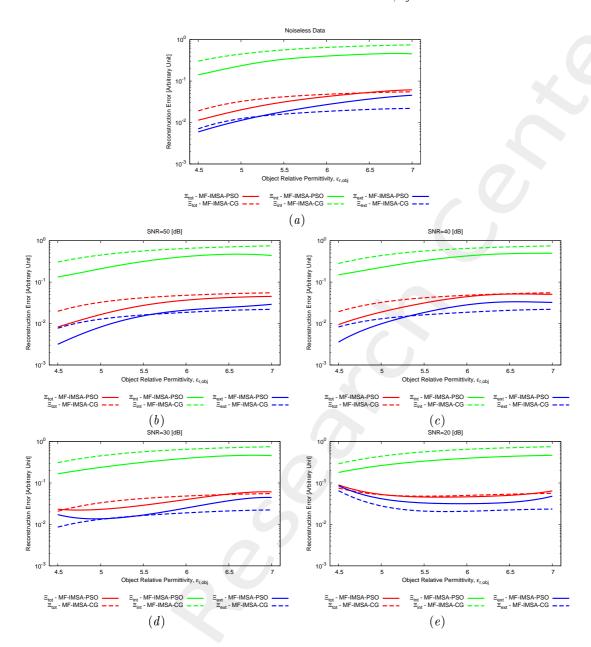


Figure 10: MF - IMSA - PSO vs. MF - IMSA - CG: Reconstruction errors vs. the object relative permittivity  $(\varepsilon_{r,obj})$ .

#### 2.1.9 MF - IMSA - PSO vs. MF - IMSA - CG: Errors vs. SNR

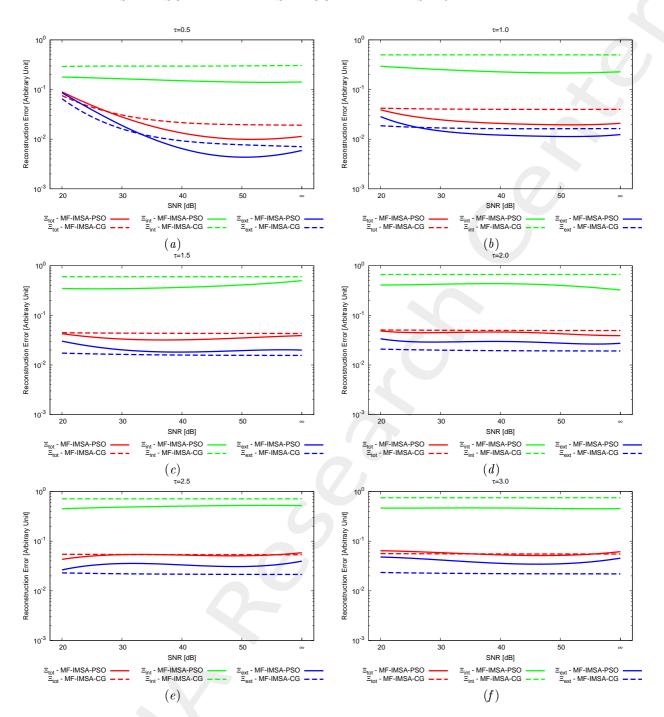


Figure 11: MF - IMSA - PSO vs. MF - IMSA - CG: Reconstruction errors vs. SNR.

# 2.2 *I*-Shaped object $(\sigma_{obj} = 10^{-3} [S/m])$

#### 2.2.1 Parameters

#### Background

Inhomogeneous and nonmagnetic background composed by two half spaces

- Upper half space (y > 0 air):  $\varepsilon_{ra} = 1.0, \, \sigma_a = 0.0;$
- Lower half space (y < 0 soil):  $\varepsilon_{rb} = 4.0, \, \sigma_b = 10^{-3} [\mathrm{S/m}];$

Investigation domain  $(D_{inv})$ 

- Side:  $L_{D_{inv}} = 0.8$  [m];
- Barycenter:  $\left(x_{bar}^{D_{inv}}, y_{bar}^{D_{inv}}\right) = (0.00, -0.4)$  [m];

Time-Domain forward solver (FDTD - GPRMax2D)

- Side of the simulated domain: L = 6 [m];
- Number of cells:  $N^{FDTD} = 750 \times 750 = 5.625 \times 10^5$ ;
- Side of the FDTD cells  $l^{FDTD} = 0.008$  [m];
- Simulation time window:  $T^{FDTD} = 20 \times 10^{-9} \text{ [sec]};$
- Time step:  $\Delta t^{FDTD} = 1.89 \times 10^{-11} \text{ [sec]};$
- Number of time samples:  $N_t^{FDTD} = 1060$ ;
- Boundary conditions: perfectly matched layer (PML);
- Source type: Gaussian mono-cycle (first Gaussian pulse derivative, called "Ricker" in GPRMax2D)
  - Central frequency:  $f_0 = 300 \text{ [MHz]};$
  - Source amplitude: A = 1.0 [A];

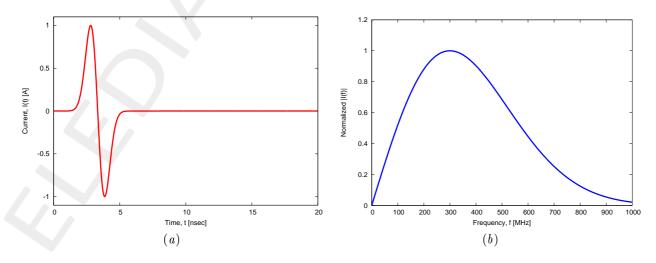


Figure 12: GPRMax2D excitation signal. (a) Time pulse, (b) normalized frequency spectrum.

#### Frequency parameters

- Frequency range:  $f \in [f_{min}, f_{max}] = [200.0, 600.0]$  [MHz] (-3 [dB] bandwidth of the Gaussian Monocycle excitation centered at  $f_0 = 300$  [MHz]);
- Frequency step:  $\Delta f = 100 \; [\text{MHz}] \; (F = 5 \; \text{frequency steps in} \; [f_{min}, f_{max}]);$

f [MHz]	$\lambda_a$ [m]	$\lambda_b [\mathrm{m}]$	$f^*$ [MHz]
200.0	1.50	0.75	200.5
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Table 2: Considered frequencies and corresponding wavelength in the upper medium ( $\lambda_a$ , free space) and in the lower medium ( $\lambda_b$ , soil).  $f^*$  is the nearest frequency sample available from transformed time-domain data, and represents the real frequency considered by the inversion algorithm.

#### Scatterer

- Type: *I*-Shaped;
- Electromagnetic properties:  $\varepsilon_{r,obj} = \{4.5; 5.0; 5.5; 6.0; 6.5; 7.0\}, \ \sigma_{obj} = 10^{-3} \ [\text{S/m}] \ (\sigma_{obj} = \sigma_b);$
- Contrast function:  $\tau = \{0.5; 1.0; 1.5; 2.0; 2.5; 3.0\}$

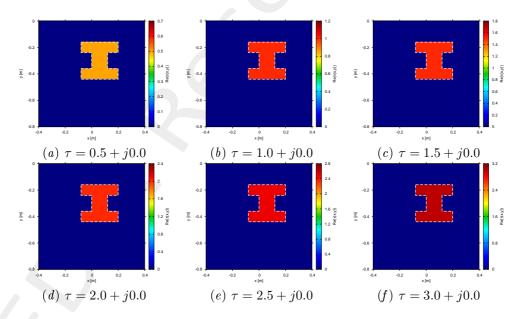


Figure 13: Actual object.

## Measurement setup

- Considered frequency:  $f_{min} = 200$  [MHz],  $\lambda_b = 0.75$  [m].
- $\#DoFs = 2ka = \frac{2\pi}{\lambda_b}L\sqrt{2} = \frac{2\pi}{0.75}0.8\sqrt{2} \simeq 9.5;$

 $<sup>{}^{2}\</sup>mathbf{NOTE}$ : This choice is done in order to keep the number of unknowns lower than 5000.

- Number of views (sources): V = 10;
  - $-\min\{x_v\} = -0.5 \text{ [m]}, \max\{x_v\} = 0.5 \text{ [m]};$
  - height:  $y_v = 0.1 \text{ [m]}, \forall v = 1, ..., V;$
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  - height:  $y_m = 0.1 [m], \forall m = 1, ..., M;$

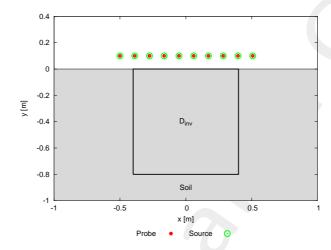


Figure 14: Location of the measurement points (M = 9) and of the sources (V = 10). Only one source is active for each view.

#### Inverse solver parameters

#### • Shared parameters

- Number of unknowns: U = 2N(1 + VF) = 4998;
- Weight of the state term of the functional: 1.0;
- Weight of the data term of the functional: 1.0;
- Weight of the penalty term of the functional: 0.0;
- Convergence threshold:  $10^{-10}$ ;
- Variable ranges:
  - \*  $\sigma \in [8.0 \times 10^{-4}, 1.2 \times 10^{-3}] [S/m];$
  - $\ast\ \Re\left\{E_{tot}^{int}\right\}\in[-8,8],\ \Im\left\{E_{tot}^{int}\right\}\in[-8,8];$
- Degrees of freedom:
  - \* Considered frequency:  $f_{min} = 200$  [MHz],  $\lambda_b = 0.75$  [m];

$$* \ \frac{(2ka)^2}{2} = \frac{\left(2 \times \frac{2\pi}{\lambda_b} \times \frac{L\sqrt{2}}{2}\right)^2}{2} = 4\pi^2 \left(\frac{L}{\lambda_b}\right)^2 = 4\pi^2 \left(\frac{0.8}{0.75}\right)^2 \simeq 44.87;$$

- Number of cells:  $N = 49 = 7 \times 7$ ;

- Maximum number of IMSA steps: S = 4;
- Side ratio threshold:  $\eta_{th} = 0.2;$

# ullet MF-IMSA-PSO parameters

- Maximum number of iterations: I = 20000;
- Swarm dimension:  $P = \frac{5}{100} \times U = 250;$
- $C_1 = C_2 = 2.0;$
- Inertial weight: w = 0.4;
- Velocity clamping: enabled;

# $\bullet$ MF-IMSA-CG parameters

- Maximum number of iterations: I = 200;

## Signal to noise ratio (on $E_{tot}(t)$ )

•  $SNR = \{50, 40, 30, 20\}$  [dB] + Noiseless data.

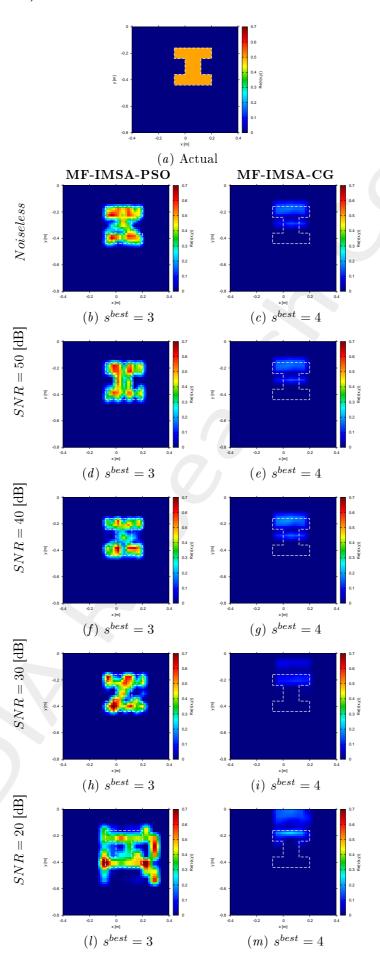


Figure 15: MF-IMSA-PSO vs. MF-IMSA-CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

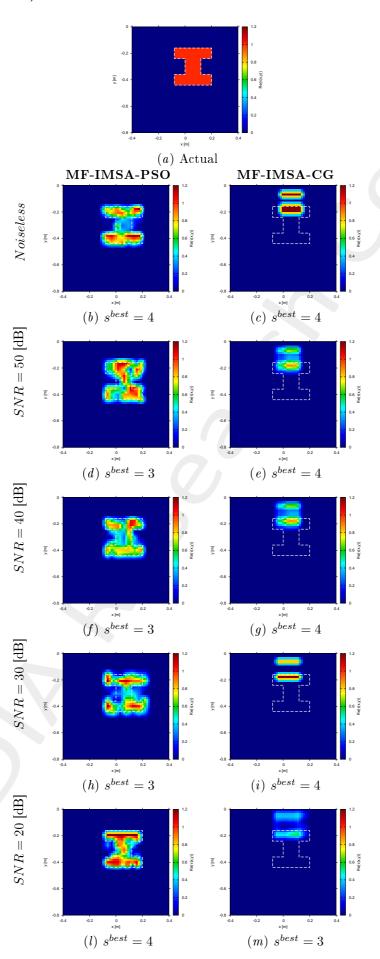


Figure 16: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

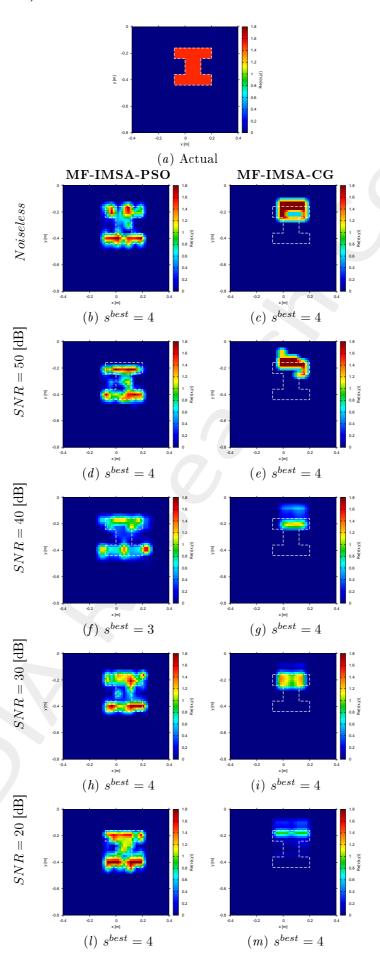


Figure 17: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

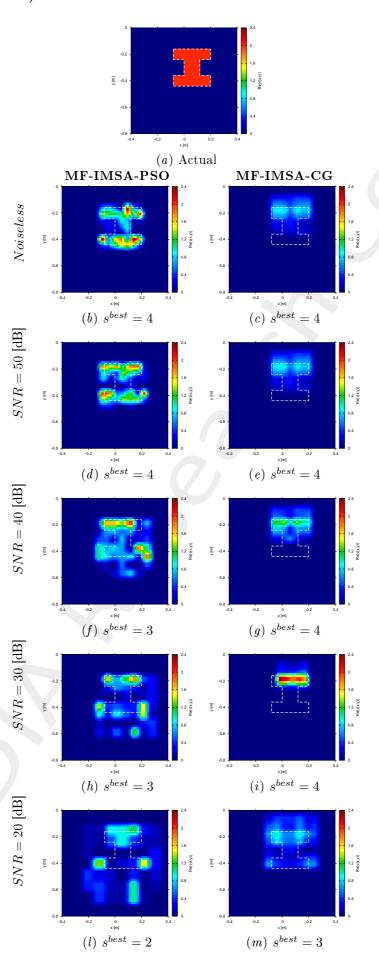


Figure 18: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

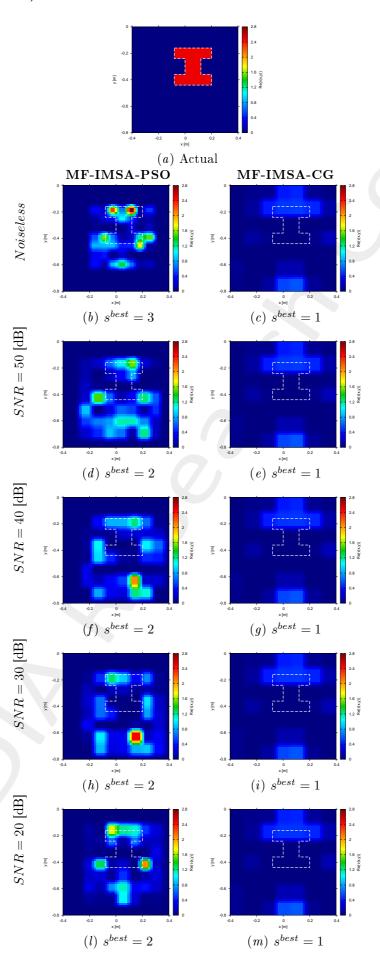


Figure 19: MF - IMSA - PSO vs. MF - IMSA - CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

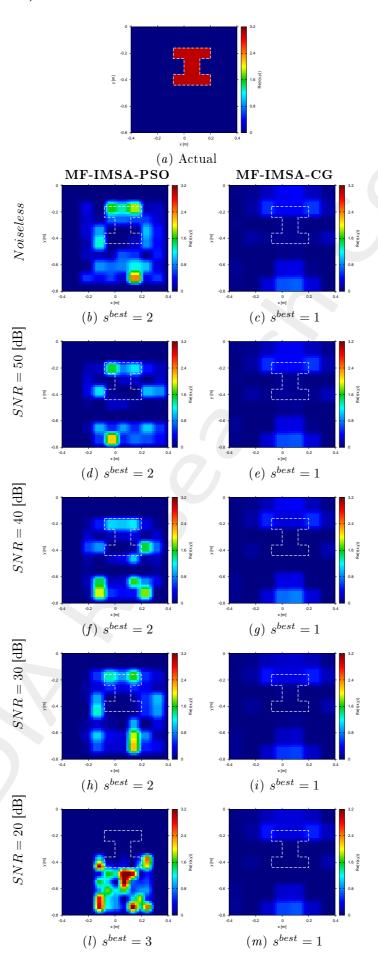


Figure 20: MF-IMSA-PSO vs. MF-IMSA-CG: Retrieved dielectric profiles at the IMSA convergence step  $(s^{best})$ .

# 2.2.8 MF - IMSA - PSO vs. MF - IMSA - CG: Errors vs. $\varepsilon_{r,obj}$

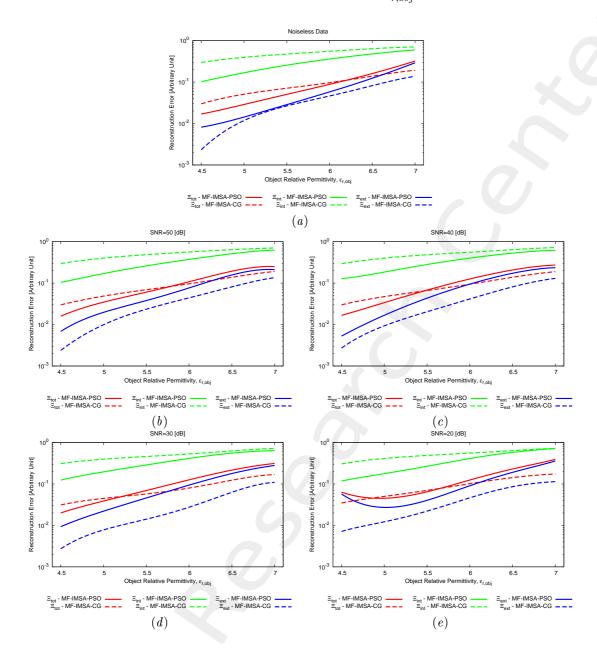


Figure 21: MF - IMSA - PSO vs. MF - IMSA - CG: Reconstruction errors vs. the object relative permittivity  $(\varepsilon_{r,obj})$ .

#### 2.2.9 MF - IMSA - PSO vs. MF - IMSA - CG: Errors vs. SNR

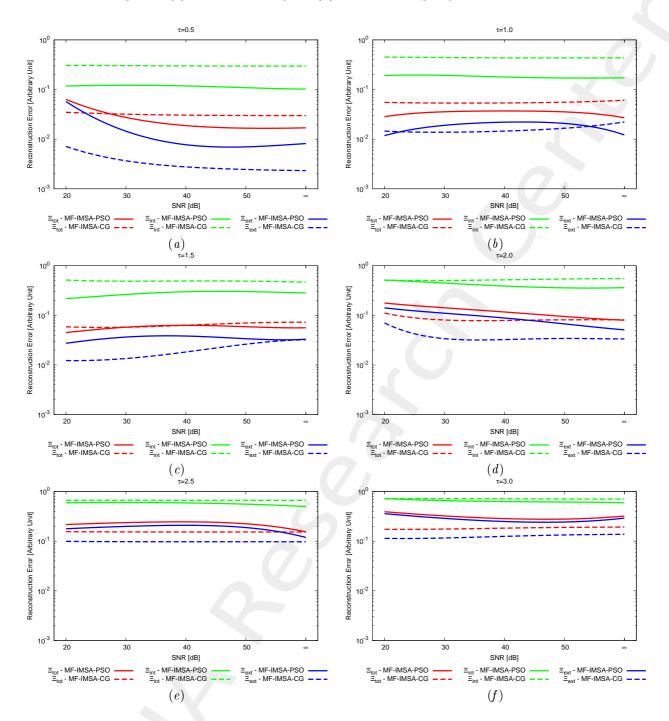


Figure 22: MF - IMSA - PSO vs. MF - IMSA - CG: Reconstruction errors vs. SNR.

# 3 Conclusions

The following main outcomes can be drawn from the reported numerical experiments

- The MF IMSA PSO is able to provide a significant performance improvement in terms of achieved solution quality with respect to the MF IMSA CG;
- The superior accuracy of the MF-IMSA-PSO is given by the exploitation of a stochastic solver (i.e., the PSO), that is more suitable with respect to the CG for minimizing the highly non-linear MF cost function;
- Good reconstructions are yielded by the proposed MF IMSA PSO when considering a variation of both the shape and dielectric permittivity of the buried targets.

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