

# **A minimum-norm current expansion method based on MT-BCS for inverting scattered data**

L. Poli, G. Oliveri, F. Viani, A. Massa

## **Abstract**

An innovative multi-step microwave imaging technique based on the multi-task Bayesian compressive sensing (MT–BCS) strategy is introduced in this report to image 2D-sparse dielectric profiles. The mathematical formulation of the minimum current approach is presented and some preliminary numerical results are proposed.

# 1 Mathematical Formulation

## 1.1 The Minimum Norm Current Approach

Let us consider an investigation domain of extension  $D$  illuminated by a set of  $V$  known incident transverse-magnetic waves whose electrical field is  $E_v^{inc}(x, y)\hat{z}$ . Inside the investigation domain are placed one or more scatterer objects, whose dielectric properties are modeled by means of the object function  $\tau(x, y)$ . Let us consider now the data equation:

$$E_v^{scatt}(x, y) = \int_D J_v(x', y') G_{2D}(x, y/x', y') dx' dy' \quad (1)$$

where  $E_v^{scatt}(x, y)$  is the scattered field,  $G_{2D}^{ext}(x, y/x', y')$  is the two-dimensional free-space Green's function, and  $J_v(x', y')$  is the contrast source.

In matricial form we have

$$[E_v^{scatt}] = [G_{2D}^{ext}][J_v] \quad (2)$$

where

$$[E_v^{scatt}] = \begin{bmatrix} E_v^{scatt}(x_1, y_1) \\ \dots \\ E_v^{scatt}(x_m, y_m) \\ \dots \\ E_v^{scatt}(x_M, y_M) \end{bmatrix} \quad (3)$$

with size  $M \times 1$ ,  $m = 1, \dots, M$  and  $v = 1, \dots, V$ , where  $M$  is the number of measurement points and  $V$  is the number of views;

$$[G_{2D}^{ext}] = \begin{bmatrix} G_{2D}^{ext}(\rho_{11}) & \dots & G_{2D}^{ext}(\rho_{1n}) & \dots & G_{2D}^{ext}(\rho_{1N}) \\ \dots & \dots & \dots & \dots & \dots \\ G_{2D}^{ext}(\rho_{m1}) & \dots & G_{2D}^{ext}(\rho_{mn}) & \dots & G_{2D}^{ext}(\rho_{mN}) \\ \dots & \dots & \dots & \dots & \dots \\ G_{2D}^{ext}(\rho_{M1}) & \dots & G_{2D}^{ext}(\rho_{Mn}) & \dots & G_{2D}^{ext}(\rho_{MN}) \end{bmatrix} \quad (4)$$

with size  $M \times N$ , where  $N$  is the number of cells in the investigation domain, and  $\rho_{mn} = \sqrt{[(x_m - x_n)^2 + (y_m - y_n)^2]}$ .

$$[J_v] = \begin{bmatrix} J_v(x_1, y_1) \\ \dots \\ J_v(x_n, y_n) \\ \dots \\ J_v(x_N, y_N) \end{bmatrix} \quad (5)$$

with size  $N \times 1$ ;

Applying the SVD to the matrix  $G_{2D}^{ext}(x, y/x', y')$ , we obtain:

$$[G_{2D}^{ext}] = [U][S][V]^{T*} \quad (6)$$

where

$$[U] = \begin{bmatrix} u_{11} & \dots & u_{1m} & \dots & u_{1M} \\ \dots & \dots & \dots & \dots & \dots \\ u_{m1} & \dots & u_{mm} & \dots & u_{mM} \\ \dots & \dots & \dots & \dots & \dots \\ u_{M1} & \dots & u_{Mm} & \dots & u_{MM} \end{bmatrix} \quad (7)$$

with size  $M \times M$

$$[S] = \begin{cases} \begin{bmatrix} \sigma_{11} & 0 & 0 & 0 & 0 & 0 & \dots & 0 \\ 0 & \dots & 0 & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & \sigma_{ii} & 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & \dots & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & \sigma_{II} & 0 & \dots & 0 \end{bmatrix} & \text{if } M < N \\ \begin{bmatrix} \sigma_{11} & 0 & 0 & 0 & 0 \\ 0 & \dots & 0 & 0 & 0 \\ 0 & 0 & \sigma_{ii} & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & \sigma_{II} \\ \sigma_{11} & 0 & 0 & 0 & 0 \\ 0 & \dots & 0 & 0 & 0 \\ 0 & 0 & \sigma_{ii} & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & \sigma_{II} \\ 0 & 0 & 0 & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} & \text{if } M = N \\ \begin{bmatrix} v_{11} & \dots & v_{1n} & \dots & v_{1N} \\ \dots & \dots & \dots & \dots & \dots \\ v_{n1} & \dots & v_{nn} & \dots & v_{nN} \\ \dots & \dots & \dots & \dots & \dots \\ v_{N1} & \dots & v_{Nn} & \dots & v_{NN} \end{bmatrix} & \text{if } M > N \end{cases} \quad (8)$$

with size  $M \times N$  and  $I = \text{Min}\{M, N\}$ ,

$$[V] = \begin{bmatrix} v_{11} & \dots & v_{1n} & \dots & v_{1N} \\ \dots & \dots & \dots & \dots & \dots \\ v_{n1} & \dots & v_{nn} & \dots & v_{nN} \\ \dots & \dots & \dots & \dots & \dots \\ v_{N1} & \dots & v_{Nn} & \dots & v_{NN} \end{bmatrix} \quad (9)$$

with size  $N \times N$ .

We can define the basis minimum norm current

$$[B_v^{mn}] = [V]_{truncated} \quad (10)$$

where

$$[V]_{truncated} = \begin{bmatrix} v_{11} & \dots & v_{1n} & \dots & v_{1\rho} & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & 0 & \dots & 0 \\ v_{n1} & \dots & v_{nn} & \dots & v_{n\rho} & 0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & 0 & \dots & 0 \\ v_{N1} & \dots & v_{Nn} & \dots & v_{N\rho} & 0 & \dots & 0 \end{bmatrix} \quad (11)$$

where  $\rho = \text{Rank}\{[G_{2D}^{ext}]\}$ . The related coefficients are

$$[A] = \begin{bmatrix} \alpha_1 \\ \dots \\ \alpha_i \\ \dots \\ \alpha_\rho \end{bmatrix} = [S_\rho]^{-1}[U]^{T*}[E_v^{scatt}] \quad (12)$$

where

$$[S_\rho] = \begin{bmatrix} \sigma_{11} & 0 & 0 & 0 & 0 \\ 0 & \dots & 0 & 0 & 0 \\ 0 & 0 & \sigma_{ii} & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 \\ 0 & 0 & 0 & 0 & \sigma_{\rho\rho} \end{bmatrix} \quad (13)$$

Now, it is possible to estimate the minimum norm current as

$$[J_v^{mn}] = [B_v^{mn}][A] \quad (14)$$

## 1.2 Inverse CS Problem under the Minimum Norm Current Approach

Using Compressive Sampling techniques it is possible to solve linear problems such as: given  $\bar{y} = \bar{A} \cdot \bar{x}$  find  $\bar{x}$  such that  $\bar{x} \in C^M$  and  $\bar{x}$  is sparse. Considering the minimum norm current approach, we can apply the multi-task bayesian compressive sampling technique (MT-BCS) exploiting the correlation the scattered fields generated by the real and imaginary parts of the minimum norm current  $J_v^{mn}$ . More in detail, using multi-task compressive sampling we can exploit the correlation between two linear problems of the kind

$$\begin{cases} \bar{y}' = \bar{A} \cdot \bar{x}' \\ \bar{y}'' = \bar{A} \cdot \bar{x}'' \end{cases} \quad (15)$$

In the specific case, we can decompose the *data* equation (1) into

$$\begin{cases} E_v^{scatt-re}(x, y) = \int_D G_{2D}(x, y/x', y') \operatorname{Re}\{J_v^{mn}(x', y')\} dx' dy' \\ E_v^{scatt-im}(x, y) = \int_D G_{2D}(x, y/x', y') \operatorname{Im}\{J_v^{mn}(x', y')\} dx' dy' \end{cases} \quad (16)$$

By expressing the formulation in matricial form, we have

$$\begin{cases} [E_v^{scatt-re}] = [G_{2D}^{ext}] [\operatorname{Re}\{J_v^{mn}\}] \\ [E_v^{scatt-im}] = [G_{2D}^{ext}] [\operatorname{Im}\{J_v^{mn}\}] \end{cases} \quad (17)$$

where

$$[E_v^{scatt-re}] = \begin{bmatrix} E_v^{scatt-re}(x_1, y_1) \\ \dots \\ E_v^{scatt-re}(x_m, y_m) \\ \dots \\ E_v^{scatt-re}(x_M, y_M) \end{bmatrix}, \quad [E_v^{scatt-im}] = \begin{bmatrix} E_v^{scatt-im}(x_1, y_1) \\ \dots \\ E_v^{scatt-im}(x_m, y_m) \\ \dots \\ E_v^{scatt-im}(x_M, y_M) \end{bmatrix} \quad (18)$$

with size  $M \times 1$ ,

$$[G_{2D}^{ext}] = \begin{bmatrix} G_{2D}^{ext}(\rho_{11}) & \dots & G_{2D}^{ext}(\rho_{1n}) & \dots & G_{2D}^{ext}(\rho_{1N}) \\ \dots & \dots & \dots & \dots & \dots \\ G_{2D}^{ext}(\rho_{m1}) & \dots & G_{2D}^{ext}(\rho_{mn}) & \dots & G_{2D}^{ext}(\rho_{mN}) \\ \dots & \dots & \dots & \dots & \dots \\ G_{2D}^{ext}(\rho_{M1}) & \dots & G_{2D}^{ext}(\rho_{Mn}) & \dots & G_{2D}^{ext}(\rho_{MN}) \end{bmatrix} \quad (19)$$

with size  $M \times N$ ,

$$[\operatorname{Re}\{J_v^{mn}\}] = \begin{bmatrix} \operatorname{Re}\{J_v^{mn}(x_1, y_1)\} \\ \dots \\ \operatorname{Re}\{J_v^{mn}(x_n, y_n)\} \\ \dots \\ \operatorname{Re}\{J_v^{mn}(x_N, y_N)\} \end{bmatrix}, \quad [\operatorname{Im}\{J_v^{mn}\}] = \begin{bmatrix} \operatorname{Im}\{J_v^{mn}(x_1, y_1)\} \\ \dots \\ \operatorname{Im}\{J_v^{mn}(x_n, y_n)\} \\ \dots \\ \operatorname{Im}\{J_v^{mn}(x_N, y_N)\} \end{bmatrix} \quad (20)$$

with size  $N \times 1$ .

## 2 Legend

- ST-BCS is the single-task Bayesian Compressive Sampling-based technique
- MT-BCS-Jmn is the multi-task Bayesian Compressive Sampling-based technique that exploits the correlation between the real and imaginary parts of the source

### 3 Tests Dominio $L = 3.00\lambda$

#### 3.1 TEST CASE: Two Square Cylinders $L = 0.16\lambda$

**GOAL:** show the performances of *BCS* when dealing with a sparse scatterer

- Number of Views:  $V$
- Number of Measurements:  $M$
- Number of Cells for the Inversion:  $N$
- Number of Cells for the Direct solver:  $D$
- Side of the investigation domain:  $L$

#### Test Case Description

##### Direct solver:

- Square domain divided in  $\sqrt{D} \times \sqrt{D}$  cells
- Domain side:  $L = 3\lambda$
- $D = 1296$  (discretization for the direct solver:  $< \lambda/10$ )

##### Investigation domain:

- Square domain divided in  $\sqrt{N} \times \sqrt{N}$  cells
- $L = 3\lambda$
- $2ka = 2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2} = 6\pi\sqrt{2} = 26.65$
- $\#DOF = \frac{(2ka)^2}{2} = \frac{(2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2})^2}{2} = 4\pi^2 \left(\frac{L}{\lambda}\right)^2 = 4\pi^2 \times 9 \approx 355.3$
- $N$  scelto in modo da essere vicino a  $\#DOF$ :  $N = 324$  ( $18 \times 18$ )

##### Measurement domain:

- Measurement points taken on a circle of radius  $\rho = 3\lambda$
- Full-aspect measurements
- $M \approx 2ka \rightarrow M = 27$

##### Sources:

- Plane waves
- $V \approx 2ka \rightarrow V = 27$
- Amplitude:  $A = 15$ .
- Frequency: 300 MHz ( $\lambda = 1$ )

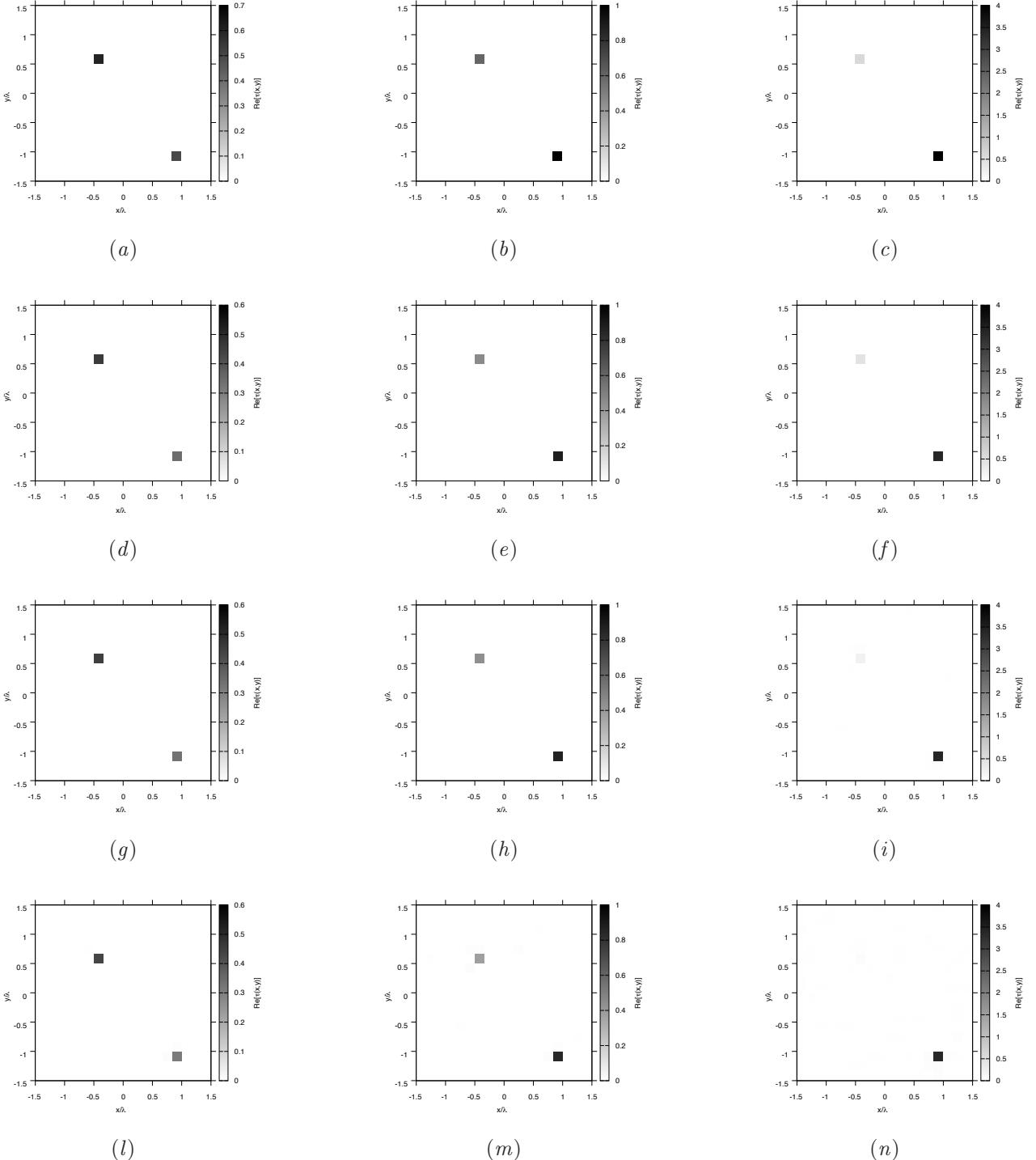
##### Object:

- Two square cylinders of side  $\frac{\lambda}{6} = 0.1667$
- $\epsilon_r \in \{1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0\}$  (one square),  $\epsilon_r = 1.6$  (one square)
- $\sigma = 0$  [S/m]

##### MT-BCS-Jmn parameters:

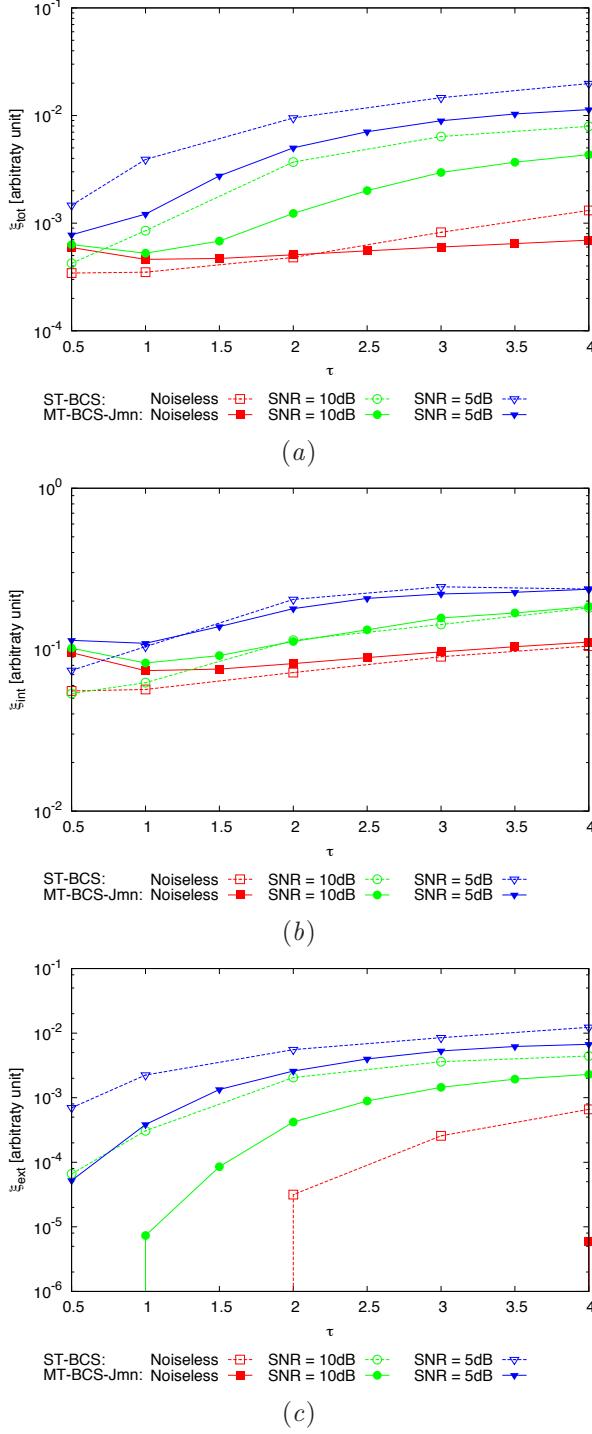
- Gamma prior on noise variance parameter:  $a = 5 \times 10^0$
- Gamma prior on noise variance parameter:  $b = 8 \times 10^{-2}$
- Convergenze parameter:  $\tau = 1.0 \times 10^{-8}$

## RESULTS: Two Square Cylinders $L = 0.16\lambda$



**Figure 4.** Actual object (a)(b)(c) and MT-BCS-Jmn reconstructed object with  $\varepsilon_r = 1.5$  (d)(g)(l),  $\varepsilon_r = 2.0$  (e)(h)(m), and  $\varepsilon_r = 5.0$  (f)(i)(n), for Noiseless case (d)(e)(f),  $SNR = 10$  [dB] (g)(h)(i) and  $SNR = 5$  [dB] (l)(m)(n).

**RESULTS: Two Square Cylinders  $L = 0.16\lambda$  - Error Figures - Comparison ST-BCS/MT-BCS**



**Figure 5.** Behaviour of error figures as a function of  $\varepsilon_r$ , for different  $SNR$  values: (a) total error  $\xi_{tot}$ , (b) internal error  $\xi_{int}$ , (c) external error  $\xi_{ext}$ .

### 3.2 TEST CASE: Three Square Cylinders $L = 0.16\lambda$

**GOAL:** show the performances of *BCS* when dealing with a sparse scatterer

- Number of Views:  $V$
- Number of Measurements:  $M$
- Number of Cells for the Inversion:  $N$
- Number of Cells for the Direct solver:  $D$
- Side of the investigation domain:  $L$

#### Test Case Description

**Direct solver:**

- Square domain divided in  $\sqrt{D} \times \sqrt{D}$  cells
- Domain side:  $L = 3\lambda$
- $D = 1296$  (discretization for the direct solver:  $< \lambda/10$ )

**Investigation domain:**

- Square domain divided in  $\sqrt{N} \times \sqrt{N}$  cells
- $L = 3\lambda$
- $2ka = 2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2} = 6\pi\sqrt{2} = 26.65$
- $\#DOF = \frac{(2ka)^2}{2} = \frac{(2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2})^2}{2} = 4\pi^2 \left(\frac{L}{\lambda}\right)^2 = 4\pi^2 \times 9 \approx 355.3$
- $N$  scelto in modo da essere vicino a  $\#DOF$ :  $N = 324$  ( $18 \times 18$ )

**Measurement domain:**

- Measurement points taken on a circle of radius  $\rho = 3\lambda$
- Full-aspect measurements
- $M \approx 2ka \rightarrow M = 27$

**Sources:**

- Plane waves
- $V \approx 2ka \rightarrow V = 27$
- Amplitude:  $A = 1$
- Frequency: 300 MHz ( $\lambda = 1$ )

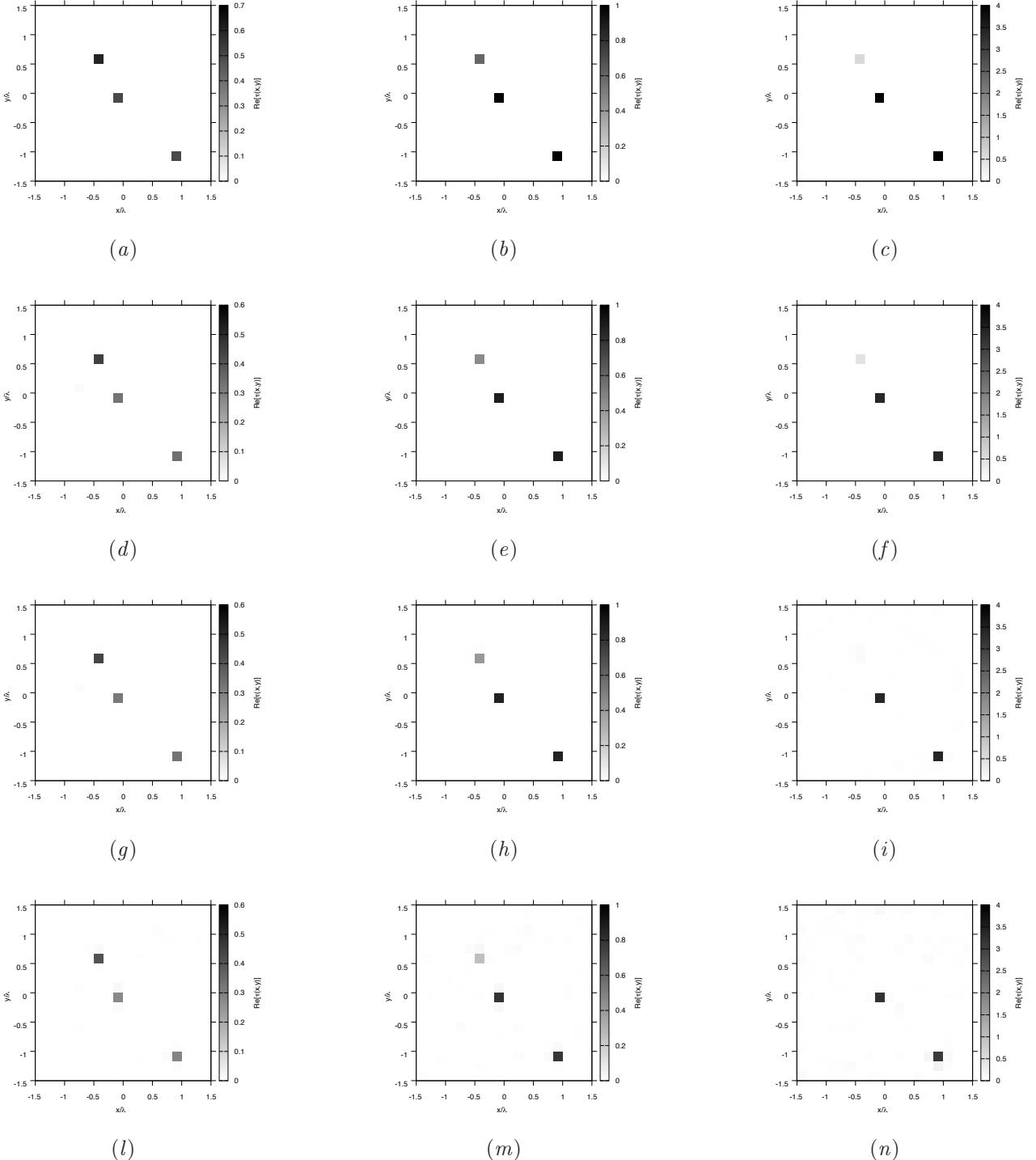
**Object:**

- Three square cylinders of side  $\frac{\lambda}{6} = 0.1667$
- $\varepsilon_r \in \{1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0\}$  (two square),  $\varepsilon_r = 1.6$  (one square)
- $\sigma = 0$  [S/m]

**MT-BCS-Jmn parameters:**

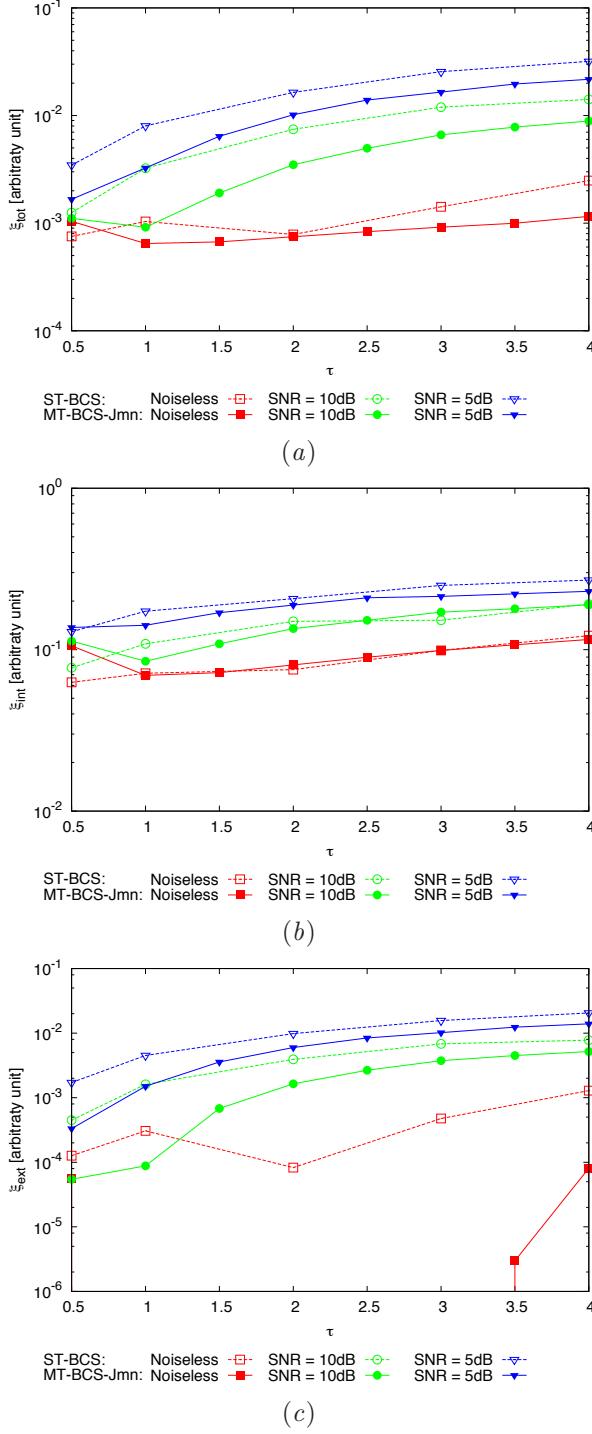
- Gamma prior on noise variance parameter:  $a = 5 \times 10^0$
- Gamma prior on noise variance parameter:  $b = 8 \times 10^{-2}$
- Convergenze parameter:  $\tau = 1.0 \times 10^{-8}$

## RESULTS: Three Square Cylinders $L = 0.16\lambda$



**Figure 6.** Actual object (a)(b)(c) and MT-BCS-Jmn reconstructed object with  $\varepsilon_r = 1.5$  (d)(g)(l),  $\varepsilon_r = 2.0$  (e)(h)(m), and  $\varepsilon_r = 5.0$  (f)(i)(n), for Noiseless case (d)(e)(f),  $SNR = 10$  [dB] (g)(h)(i) and  $SNR = 5$  [dB] (l)(m)(n).

**RESULTS: Three Square Cylinders  $L = 0.16\lambda$  - Error Figures - Comparison ST-BCS/MT-BCS**



**Figure 7.** Behaviour of error figures as a function of  $\varepsilon_r$ , for different  $SNR$  values: (a) total error  $\xi_{tot}$ , (b) internal error  $\xi_{int}$ , (c) external error  $\xi_{ext}$ .

### 3.3 TEST CASE: Four Square Cylinders $L = 0.16\lambda$

**GOAL:** show the performances of *BCS* when dealing with a sparse scatterer

- Number of Views:  $V$
- Number of Measurements:  $M$
- Number of Cells for the Inversion:  $N$
- Number of Cells for the Direct solver:  $D$
- Side of the investigation domain:  $L$

#### Test Case Description

**Direct solver:**

- Square domain divided in  $\sqrt{D} \times \sqrt{D}$  cells
- Domain side:  $L = 3\lambda$
- $D = 1296$  (discretization for the direct solver:  $< \lambda/10$ )

**Investigation domain:**

- Square domain divided in  $\sqrt{N} \times \sqrt{N}$  cells
- $L = 3\lambda$
- $2ka = 2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2} = 6\pi\sqrt{2} = 26.65$
- $\#DOF = \frac{(2ka)^2}{2} = \frac{(2 \times \frac{2\pi}{\lambda} \times \frac{L\sqrt{2}}{2})^2}{2} = 4\pi^2 \left(\frac{L}{\lambda}\right)^2 = 4\pi^2 \times 9 \approx 355.3$
- $N$  scelto in modo da essere vicino a  $\#DOF$ :  $N = 324$  ( $18 \times 18$ )

**Measurement domain:**

- Measurement points taken on a circle of radius  $\rho = 3\lambda$
- Full-aspect measurements
- $M \approx 2ka \rightarrow M = 27$

**Sources:**

- Plane waves
- $V \approx 2ka \rightarrow V = 27$
- Amplitude:  $A = 1$
- Frequency: 300 MHz ( $\lambda = 1$ )

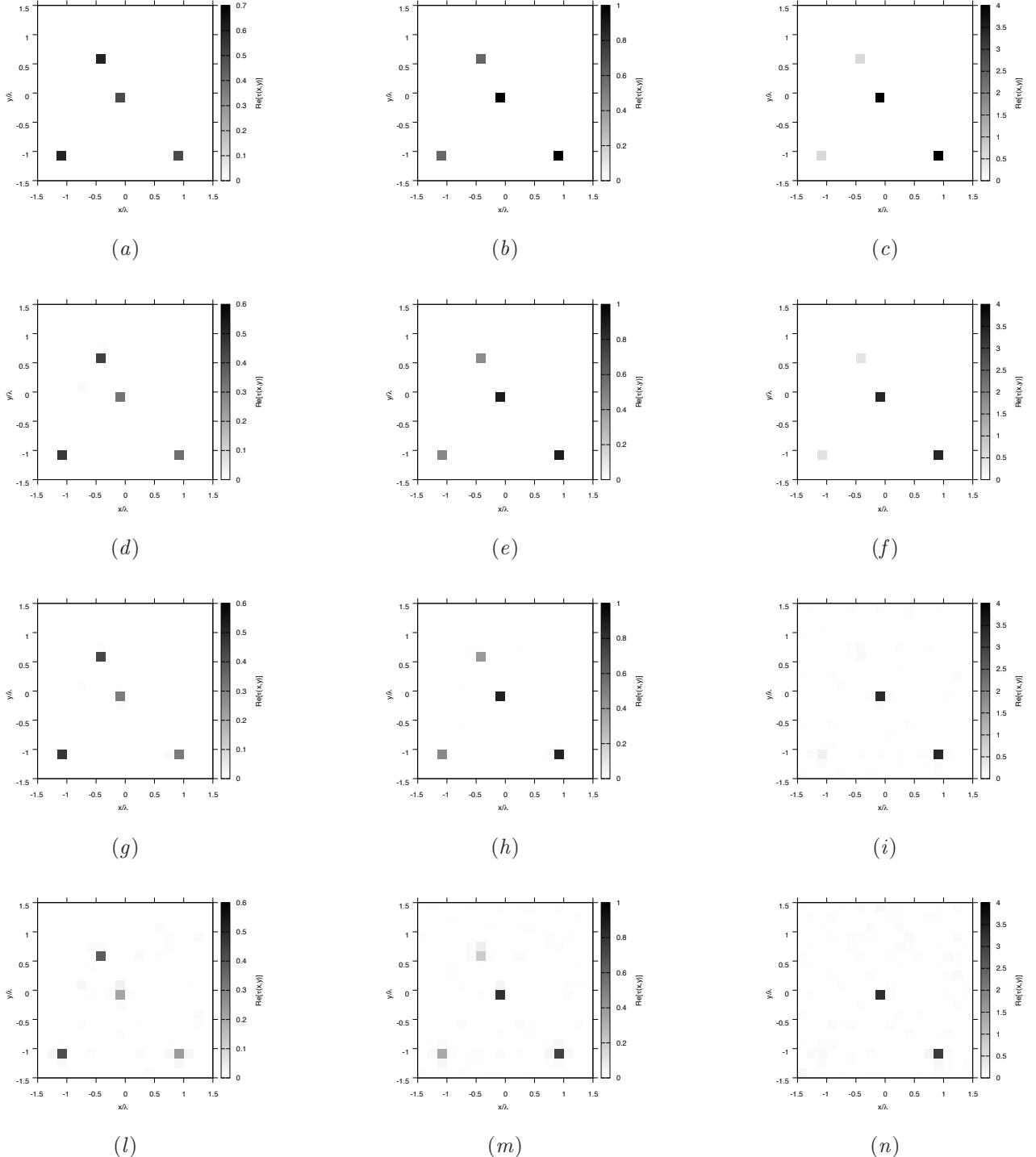
**Object:**

- Four square cylinders of side  $\frac{\lambda}{6} = 0.1667$
- $\varepsilon_r \in \{1.5, 2.0, 2.5, 3.0, 3.5, 4.0, 4.5, 5.0\}$  (two square),  $\varepsilon_r = 1.6$  (two square)
- $\sigma = 0$  [S/m]

**MT-BCS-Jmn parameters:**

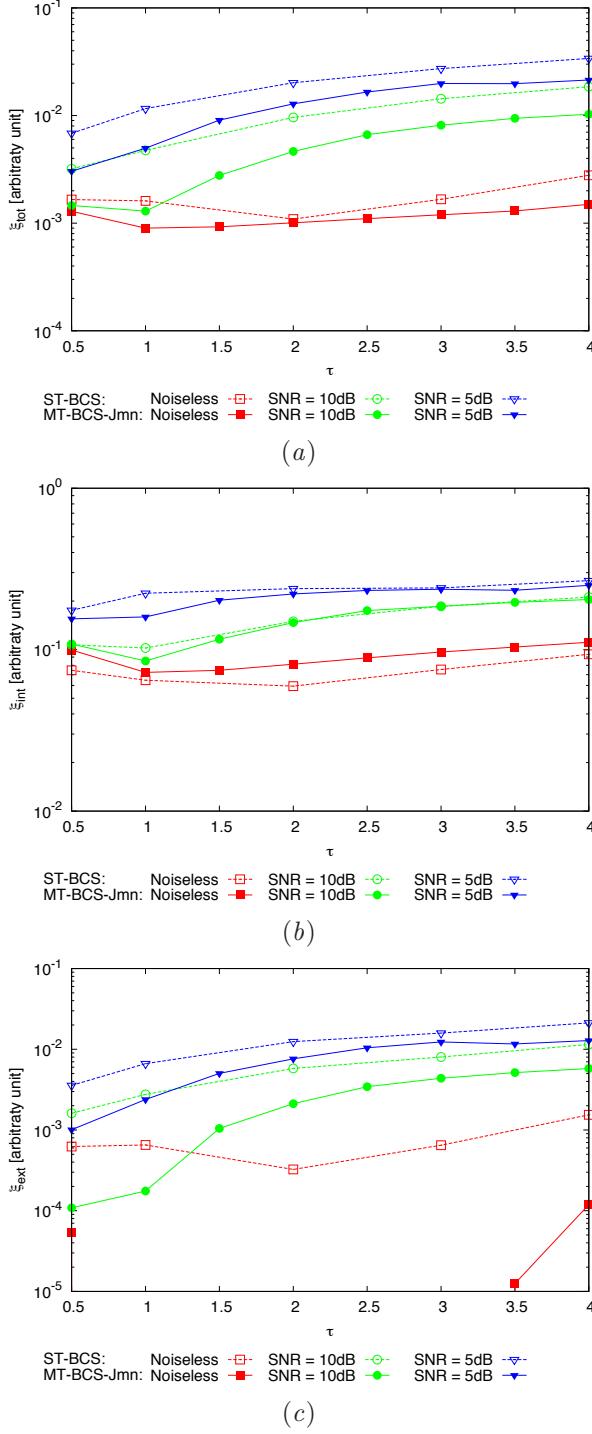
- Gamma prior on noise variance parameter:  $a = 5 \times 10^0$
- Gamma prior on noise variance parameter:  $b = 8 \times 10^{-2}$
- Convergenze parameter:  $\tau = 1.0 \times 10^{-8}$

## RESULTS: Four Square Cylinders $L = 0.16\lambda$



**Figure 8.** Actual object (a)(b)(c) and MT-BCS-Jmn reconstructed object with  $\varepsilon_r = 1.5$  (d)(g)(l),  $\varepsilon_r = 2.0$  (e)(h)(m), and  $\varepsilon_r = 5.0$  (f)(i)(n), for Noiseless case (d)(e)(f),  $SNR = 10$  [dB] (g)(h)(i) and  $SNR = 5$  [dB] (l)(m)(n).

**RESULTS: Four Square Cylinders  $L = 0.16\lambda$  - Error Figures - Comparison ST-BCS/MT-BCS**



**Figure 9.** Behaviour of error figures as a function of  $\varepsilon_r$ , for different  $SNR$  values: (a) total error  $\xi_{tot}$ , (b) internal error  $\xi_{int}$ , (c) external error  $\xi_{ext}$ .

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