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DIPARTIMENTO DI INGEGNERIA E SCIENZA DELL'INFORMAZIONE

38050 Povo – Trento (Italy), Via Sommarive 14  
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MICROWAVE IMAGING FROM AMPLITUDE-ONLY DATA –ADVANTAGES  
AND OPEN PROBLEMS OF A TWO-STEP MULTI-RESOLUTION STRATEGY

A. Massa, and ElediaLab

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***ELEctromagnetic DIAgnostics Lab.***  
*Information Engineering and  
Computer Science Dept.  
University of Trento*



Via Sommarive 14, 38050 Trento, ITALY

Phone +39 0461 882057 Fax +39 0461 882093

E-mail: [andrea.massa@ing.unitn.it](mailto:andrea.massa@ing.unitn.it)

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## **Rapporto Tecnico N. 10**

***“Microwave Imaging from Amplitude-Only Data –  
Advantages and Open Problems of a Two-Step  
Multi-resolution Strategy”***

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*Index*

<i>Index</i> .....	2
<b>1 ABSTRACT</b> .....	<b>3</b>
<b>2 INTRODUCTION</b> .....	<b>3</b>
<b>3 MATHEMATICAL FORMULATION</b> .....	<b>4</b>
<b>4 THE PHASELESS DATA INVERSION PROCEDURE</b> .....	<b>4</b>
<b>4 NUMERICAL AND EXPERIMENTAL VALIDATION</b> .....	<b>7</b>
<b>5 CONCLUSIONS</b> .....	<b>13</b>
<b>6 REFERENCES</b> .....	<b>13</b>

## 1 ABSTRACT

In this report, a two step strategy for the inversion of amplitude-only data in microwave imaging applications is analyzed. At the first step of the proposed method, the illuminating source is synthesized according to a line sources model in order to compute the incident field in the investigation domain starting from the values available in the measurement domain. The second step is aimed at reconstructing the profile of the objects under test thanks to the iterative multi-scaling approach integrated with the Particle Swarm Optimizer, an effective evolutionary minimization technique. The reconstruction accuracy of the proposed phaseless retrieval strategy is analyzed using synthetic data concerned with a multiple scatterer configuration and successively further assessed inverting experimental data

## 2 INTRODUCTION

The reconstruction of geometrical and physical characteristics of unknown objects is a topic of great interest in several different applied sciences [1-3]. In this context, microwave imaging techniques are potentially very appealing, but they have some intrinsic drawbacks related to the nature of the inverse scattering problems and to the complexity of the hardware setup required to collect the necessary field measures. As a matter of fact, such inverse scattering problems are ill-posed, highly non-linear and the amount of collectable information is limited even though multi-illumination, multi-view and multi-frequency systems are considered. In [4, 5], a criterion for evaluating the upper-bound of the collectable information is provided and some guidelines for determining the optimal number of retrievable parameters are suggested. According to these criteria, the step of the employed discretization grid cannot be arbitrarily fine and therefore multi resolution strategies are desired in order to improve the accuracy of the reconstruction process. Moreover, the data acquisition requires complex and expensive hardware setups. In particular, the measurement of the phase distribution turns out to be critical when high frequencies are considered. Holographic and interferometric techniques could be introduced to retrieve the phase information starting from amplitude-only data, but they need additional post-processing and they cannot be applied to a large frequency range (generally they are used in optical applications [6, 7]). In order to realize a reliable and cost-effective imaging apparatus, some different strategies based on phaseless data have been developed in the past. Two main class of approaches are usually taken into account:

- the direct exploitation of reconstruction algorithms for the processing of phaseless field data (Single-Step Strategy, see for example [8, 9]);
- the splitting of the phaseless-data reconstruction into a two-step process (Two-Step Strategy) where the first step deals with a phase-retrieval problem for completing the amplitude-only data and the second one is concerned with a standard reconstruction from complete field data (see for example [10, 11]).

In this contribution, the two-step strategy for dealing with amplitude-only data presented in [12] is considered for a further assessment. The amplitude-only problem is presented in Section 3, while the inversion procedure is described in Section 4. In particular, the inverse source problem is presented and solved through the modeling of the incident electric field (First Step) according to the Distributed-Cylindrical-Waves Model (DCW-Model). Successively, a multi-resolution cost functional [13, 14] is defined and minimized exploiting the Particle Swarm Optimizer [15], one of the most effective evolutionary iterative procedures. Some numerical and experimental results are presented in Section 5 in order to assess the reconstruction accuracy of the proposed methodology. Finally, some conclusions are drawn in Section 6.

### 3 MATHEMATICAL FORMULATION

Let us consider a tomographic imaging configuration, in which an unknown cylindrical object is located in an inaccessible investigation domain  $D_I$  whose characteristics are represented through the contrast function  $\tau(\mathbf{r})$  defined as

$$\tau(\mathbf{r}) = \varepsilon_r(\mathbf{r}) - 1 - j \frac{\sigma(\mathbf{r})}{2\pi f \varepsilon_0} \quad (\mathbf{r}) \in D_I \quad (1)$$

where  $\varepsilon_r$  is the relative dielectric permittivity and  $\sigma$  the electric conductivity. Such a scenario is illuminated by a set of  $V$  TM-polarized incident electromagnetic waves and let us assume the knowledge of the amplitude of the total field,  $|E_{tot}^v(\mathbf{r}_{m(v)})|$ , and the amplitude and phase of the incident electric field,  $E_{inc}^v(\mathbf{r}_{m(v)})$ , in  $M^{(v)}$  measurements points,  $(\mathbf{r}_{m(v)}) \in D_M$ ,  $D_M$  being the observation domain external to  $D_I$ . Considering the phase of the incident field does not limit the phaseless nature of the algorithm because the measurements of  $E_{inc}^v(\mathbf{r}_{m(v)})$  can be executed only once and off-line for each hardware setup and they are not so-expensive being limited to a reduced number of points in the observation domain.

The relation between unknowns ( $\tau(\mathbf{r})$ ) and the internal total field  $E_{tot}^v(\mathbf{r})$  and the amplitude-only data is expressed by the following equations

$$|E_{tot}^v(\mathbf{r}_{m(v)})| = \left| E_{inc}^v(\mathbf{r}_{m(v)}) + j\omega\mu_0 \int_{D_I} \tau(\mathbf{r}') E_{tot}^v(\mathbf{r}') G(\mathbf{r}_{m(v)}/\mathbf{r}') d\mathbf{r}' \right| \quad (2)$$

$$|E_{inc}^v(\mathbf{r})| = \left| E_{tot}^v(\mathbf{r}) - j\omega\mu_0 \int_{D_I} \tau(\mathbf{r}') E_{tot}^v(\mathbf{r}') G(\mathbf{r}/\mathbf{r}') d\mathbf{r}' \right| \quad (3)$$

where  $G(\mathbf{r}/\mathbf{r}')$  is the free space green function.

It can be noticed that in (3) the values of the amplitude of the incident field in the investigation domain are necessary. From a practical point of view, it is a critical issue because the measurements have to be performed in a large number of points if a satisfactory resolution level is desired. Moreover, the experimental system (and in particular the electromagnetic sensors) is moved by means of a mechanical apparatus with some tolerances in the positioning that can have a relevant impact on the accuracy of the phase measurement. Therefore, a reduced sampling distance between adjacent positions in  $D_I$  would result in an inaccurate measure of the field and, consequently, each field sample would be corrupted by a non-negligible error. For avoiding such drawbacks, a suitable model of the radiating source will be defined at the first step of the inversion procedure described in the following section.

### 4 THE PHASELESS DATA INVERSION PROCEDURE

The proposed two step strategy that allows the retrieval of the contrast function from the measurements carried out in the investigation domain can be described through the schema of Fig. 1. The relevant operations performed during the two-step approach can be grouped in seven different stages described in the following.

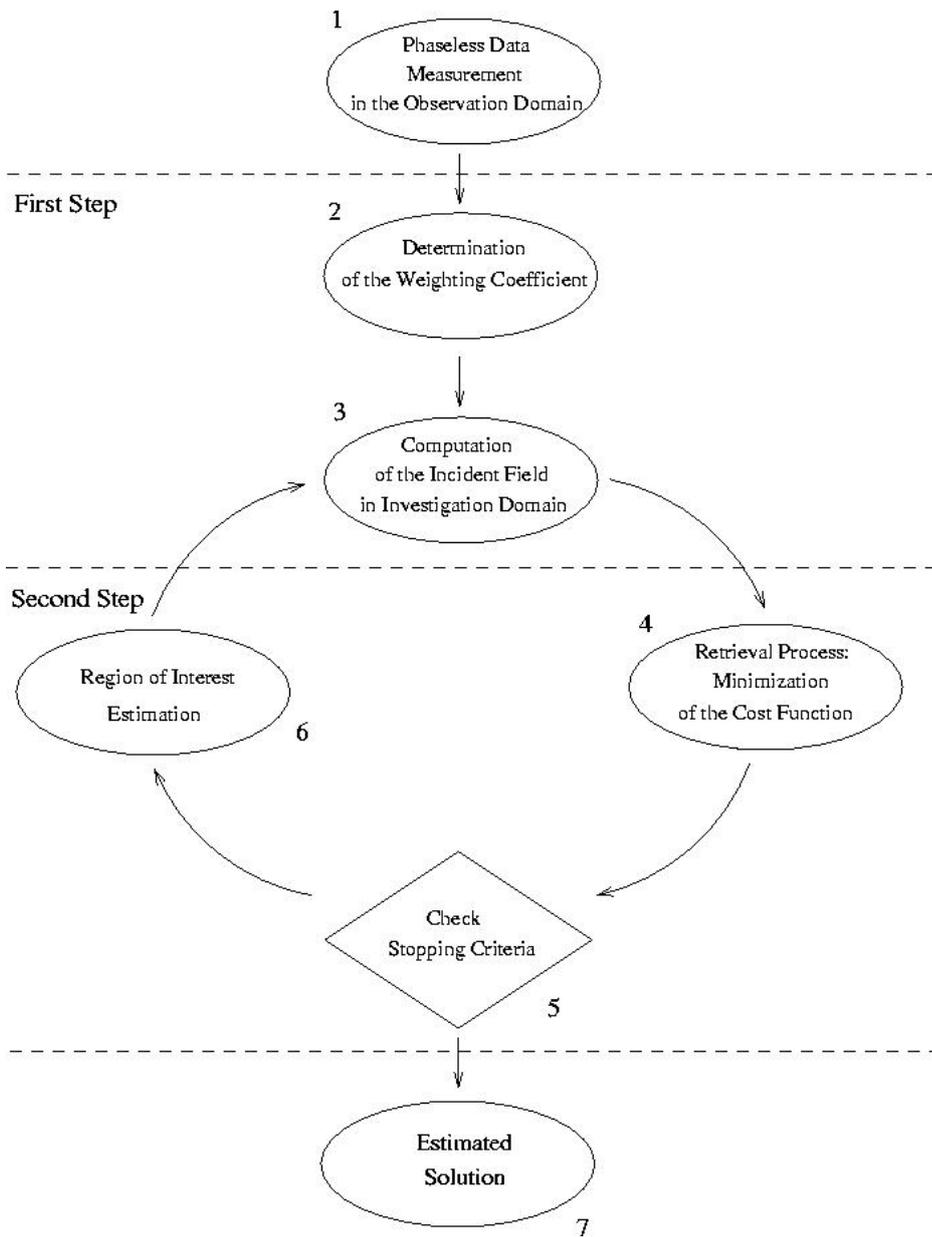


Fig. 1. Two Step Strategy

**Stage 1 – Data acquisition.** This preliminary stage is concerned with the acquisition of the inputs for the inversion process, that is the amplitude of the total field,  $|E_{tot}^v(\mathbf{r}_{m(v)})|$ , and the amplitude and phase of the incident electric field,  $E_{inc}^v(\mathbf{r}_{m(v)})$ , in  $D_M$ .

**STEP 1 – SOURCE SYNTHESIS**

The first step of the proposed approach consists in the Stage 2 for determining the optimal configuration of the weighting coefficients of the DCW Model, which allow us to compute (Stage 3) the incident field in the investigation domain during the multi-resolution process.

**Stage 2 – Coefficients tuning.** As discussed in the previous section, because of the complexity and of the difficulties in collecting reliable measures in a dense grid of points, let us assume that the incident field,  $E_{inc}^v(\mathbf{r}_{m(v)})$ , is only available at the measurement points belonging to the observation domain. Therefore, in order to apply the constraints stated through (3) and before facing with the data inversion, it is mandatory to develop a suitable model able to predict the amplitude of the

incident field radiated by the actual electromagnetic source in the investigation domain  $D_I$ . According to the *DCW* Model, the antenna is represented by means of a linear array of  $W$  equally spaced line-sources and therefore the electric field can be computed as

$$\zeta^v(\mathbf{r}) = -\frac{k_0^2}{8\pi f \varepsilon_0} \sum_{w=1}^W A_w H_0^{(2)}(k_0 d_w) \quad (4)$$

where  $d_w$  is the Euclidean distance between the position of  $w$ -th element of the array and  $\mathbf{r}$ ,  $k_0$  is the free-space wavenumber and  $H_0^{(2)}$  is the 0-th order second-kind Hankel function. The optimal configuration  $(\bar{\mathbf{A}}_{opt})$  of the unknown weighting coefficients,  $A_w$ , is determined minimizing the differences between the measures of the incident field and the synthesized values in the observation domain  $D_M$ . Therefore, the following problem is considered:

$$\bar{\mathbf{A}}_{opt} = \arg \left\{ \min_{\bar{\mathbf{A}}} \left( \frac{\sum_{v=1}^V \sum_{m(v)=1}^{M(v)} |E_{inc}^v(\mathbf{r}_{m(v)}) - \zeta^v(\mathbf{r}_{m(v)})|^2}{\sum_{v=1}^V \sum_{m(v)=1}^{M(v)} |E_{inc}^v(\mathbf{r}_{m(v)})|^2} \right) \right\}. \quad (5)$$

which is solved using the well-known Singular-Value-Decomposition algorithm.

**Stage 3 – Computation of the incident field.** Once the parameters are determined, the electric field can be evaluated in every point (for whatever resolution level) of the investigation domain according to (4).

## STEP 2 – MULTI-SCALING RETRIEVAL PROCESS

The amount of information in phaseless data is clearly more limited than in the full data ones. As a matter of fact, beyond the typical limitations of the inverse scattering problem, when amplitude-only data are considered the collectable information is unavoidably further reduced. Therefore, the iterative multi-scaling approach (IMSA) [13] (described in the Stages 4-6) has been customized for dealing with amplitude-only measures in order to efficiently exploit the problem data and adaptively improving the quality of the reconstructed profiles thanks to an iterative process.

**Stage 4 – Cost function minimization ( $s = 1$ ).** The IMSA procedure is initialized ( $s = 1$  indicating the low order reconstruction) assuming a uniform distribution of the unknowns (according to the guidelines given in [4, 5]). Moreover, the value of the incident field in each sub-domain of  $D_I$  is evaluated and the system of (2)(3) numerically solved through the minimization of a suitable cost function in order to retrieve the following low order expansion of the unknowns

$$E_{tot}^v(\mathbf{r}) = \sum_{n=1}^{N(1)} E_{tot}^v(\mathbf{r}_{n(i=1)}) \Omega_n(\mathbf{r}) \quad (6)$$

$$\tau(\mathbf{r}) = \sum_{n=1}^{N(1)} \tau(\mathbf{r}_{n(i=1)}) \Omega_n(\mathbf{r}) \quad (7)$$

where  $\Omega_n(\mathbf{r})$  indicates the rectangular basis function in the  $n$ -th discretization cell.

**Stage 4 – Cost function minimization ( $s = 2, \dots, S_{opt}$ ).** Further optimization stages of the unknown parameters are carried out, adaptively improving the resolution in the Regions of Interest (RoIs) where the objects have been detected (Stage 6) exploiting the clustering procedure detailed in [14].

Accordingly, a multi-resolution (r indicating the resolution level) discretization grid is obtained and the following multi-resolution cost function is defined

$$\Phi_{IMSA-PD} = \frac{\sum_{v=1}^V \sum_{r=1}^s \sum_{n(r)=1}^{N(r)} \left\| \zeta^v(\mathbf{r}_{n(r)}) - |\zeta^v(\mathbf{r}_{n(r)})|^2 \right\|^2}{\sum_{v=1}^V \sum_{r=1}^s \sum_{n(r)=1}^{N(r)} |\zeta^v(\mathbf{r}_{n(r)})|^2} + \frac{\sum_{v=1}^V \sum_{m(v)=1}^{M(v)} \left\| E_{tot}^v(\mathbf{r}_{m(v)}) - |\zeta^v(\mathbf{r}_{m(v)})|^2 \right\|^2}{\sum_{v=1}^V \sum_{m(v)=1}^{M(v)} |E_{tot}^v(\mathbf{r}_{m(v)})|^2} \quad (8)$$

in which

$$|\zeta^v(\mathbf{r}_{m(v)})| = \left| E_{inc}^v(\mathbf{r}_{m(v)}) + \sum_{t=0}^{s-1} \sum_{q(t)=1}^{N(t)} \left\{ \omega_{q(t)}^{(s)} [\tau(\mathbf{r}_{q(t)}) E_{tot}^v(\mathbf{r}_{q(t)}) G(\mathbf{r}_{m(v)} / \mathbf{r}_{q(t)})] \right\} \right| \quad (9)$$

$$|\zeta^v(\mathbf{r}_{n(r)})| = \left| E_{tot}^v(\mathbf{r}_{n(r)}) - \sum_{t=0}^{s-1} \sum_{q(t)=1}^{N(t)} \left\{ \omega_{q(t)}^{(s)} [\tau(\mathbf{r}_{q(t)}) E_{tot}^v(\mathbf{r}_{q(t)}) G(\mathbf{r}_{n(r)} / \mathbf{r}_{q(t)})] \right\} \right| \quad (10)$$

where the weighting function  $\omega_{q(t)}^{(s)}$  can assume 0 or 1 value [13]. In order to completely exploit all the achieved information about the distribution of the object function, each intermediate reconstruction is used as initial solution of the successive higher-resolution minimization process. However, the cost function (6) is still highly non-linear and suffers of local minima problem. Therefore its minimization is carried out integrating in the IMSA the Particle Swarm Optimiser (for a detailed description see [15-17]), a recent evolutionary technique based on the observation of the movement of swarms of insects.

**Stage 5 – Termination procedure.** Finally, the multi-resolution procedure is iterated until a stationary condition based on qualitative reconstruction parameters is reached [13, 14].

## 4 NUMERICAL AND EXPERIMENTAL VALIDATION

In this section, some selected results obtained during the numerical and experimental testing are presented in order to assess the reconstruction accuracy of the proposed two-step strategy. The retrieved profiles will be pictorially shown in terms of distribution of the object function and quantitatively comparing such a distribution with the actual ones exploiting the error figures defined in [13].

The first considered test case is composed by two square cylindrical objects  $L_{obj}^1 = \frac{\lambda}{4}$ ,  $L_{obj}^2 = \frac{\lambda}{2}$  sided and centered in  $x_{obj}^1 = -\frac{3\lambda}{8}$ ,  $y_{obj}^1 = \frac{3\lambda}{8}$  and  $x_{obj}^2 = \frac{\lambda}{8}$ ,  $y_{obj}^2 = -\frac{\lambda}{8}$ , respectively. The dielectric properties are  $\tau_{obj}^1 = 3.0 - j0.4$  and  $\tau_{obj}^2 = 1.5 - j0.25$  (Fig. 2 shows the reference distribution).

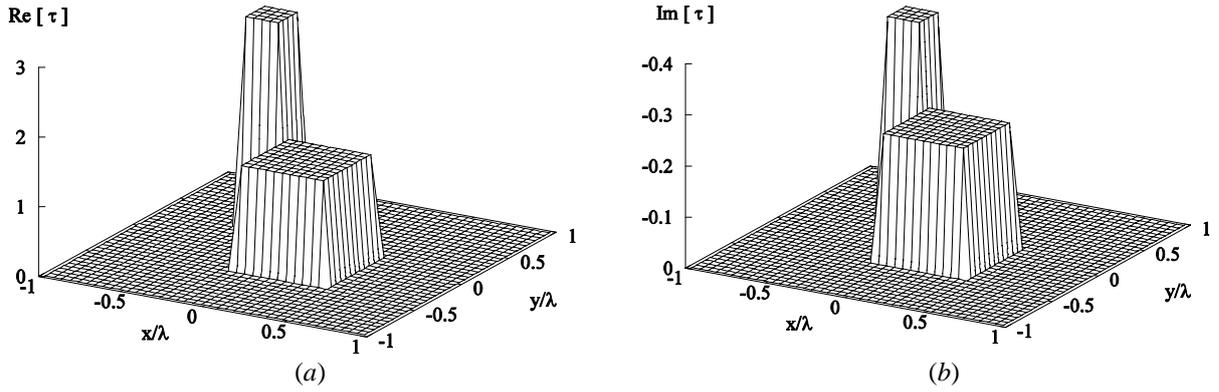


Fig. 2. Actual configuration of the numerical test case: (a) real and (b) imaginary part of the contrast function

The scatterers belong to a square investigation domain of side  $L_I = 2\lambda$  and they are illuminated by plane waves impinging from  $V=32$  equally-spaced directions ( $\theta^v = 2\pi \frac{(v-1)}{V}$ ,  $v=1, \dots, V$ ). The circular observation domain  $R_M = 5\lambda$  in radius and the scattering data are computed in  $M^{(v)} = 32$ ,  $v=1, \dots, V$ , measurement points equally-distributed along  $D_M$ . As far as the PSO-based method for the "retrieval process" is concerned, the following configuration of parameters has been adopted according to the guidelines in the related literature [15, 16] and to the heuristic study carried out in [14]:  $\omega = 0.4$  (constant inertial weight),  $I = \frac{5}{100}U$  (swarm dimension,  $U$  being the number of problem unknowns), and  $C_1 = C_2 = 2.0$  (acceleration coefficients). Moreover, the IMSA has been used with the parameters setting defined in [14].

After solving the "source-synthesis" step described in Section 3, the array coefficients turned out to be distributed as shown in Fig. 3. Such a configuration can be considered optimal in terms of the matching with the problem data as proved by the fitting between "measured" and estimated values shown in Fig. 4 for the amplitudes and phases of the radiated-fields in  $D_M$  when  $v=1$ . Accurate reconstructions are also obtained for the remaining incidence angles.

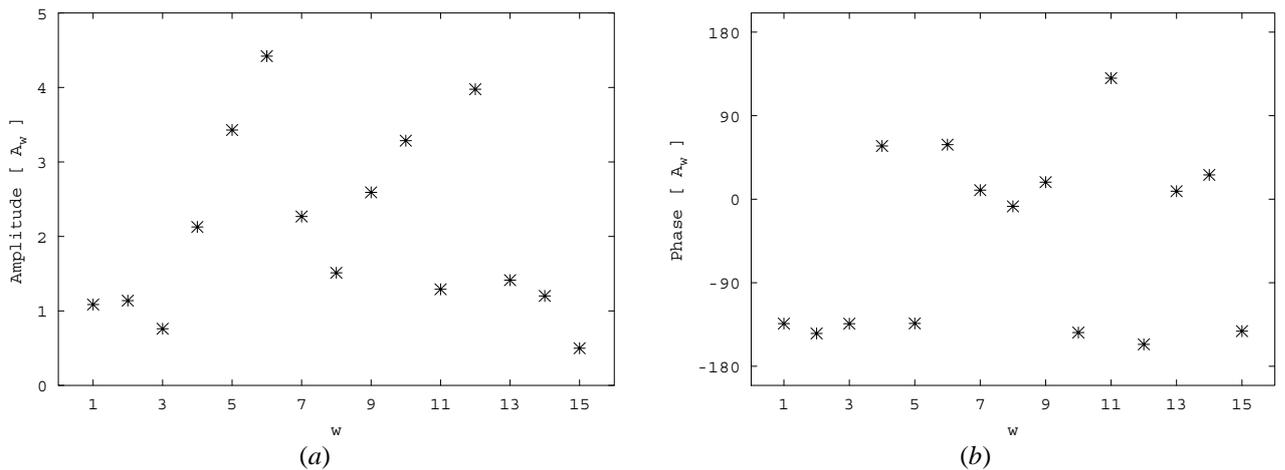
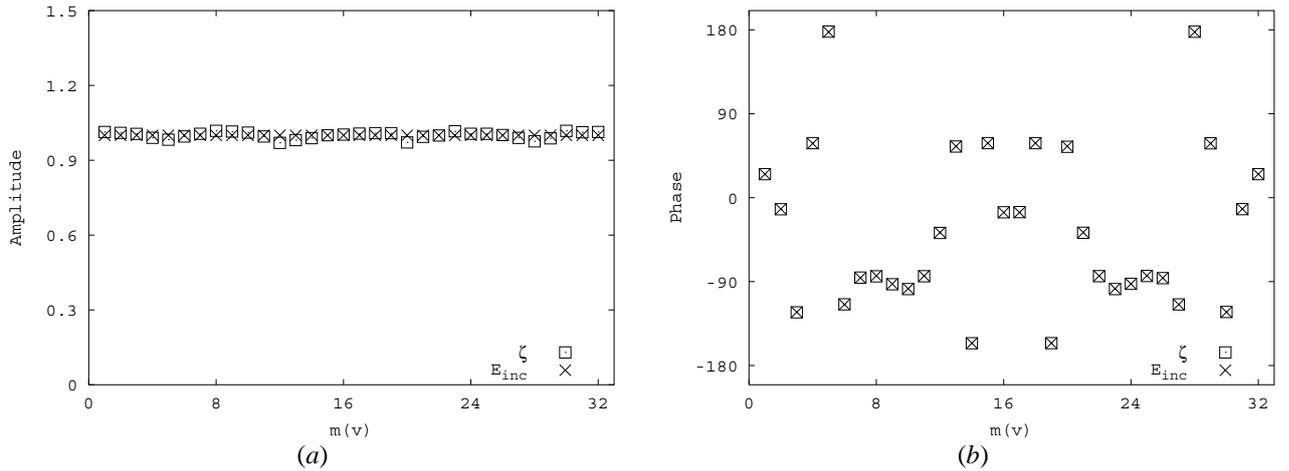


Fig. 3. (a) Amplitude and (b) phase of the optimal configuration of the weighting coefficients for the numerical test case.

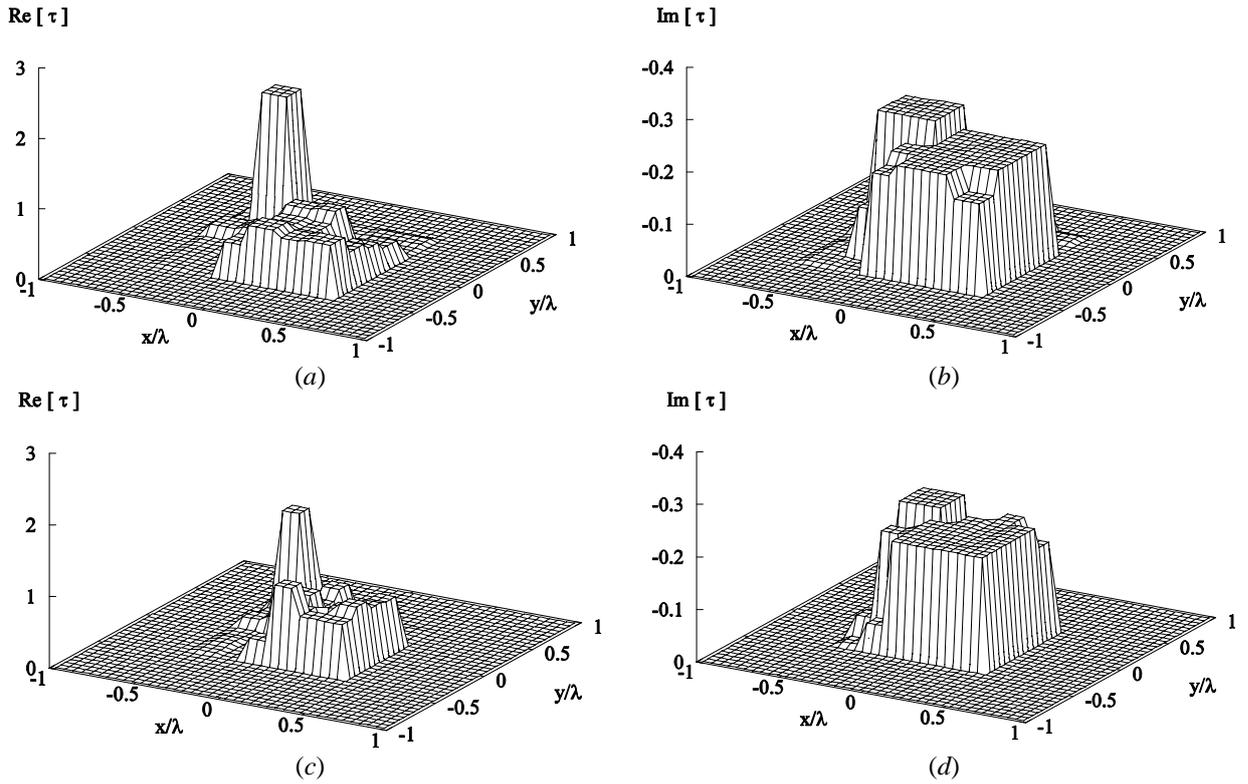


**Fig. 4.** (a) Amplitude and (b) phase matching between synthesized and measured values of the incident field in  $D_M$ .

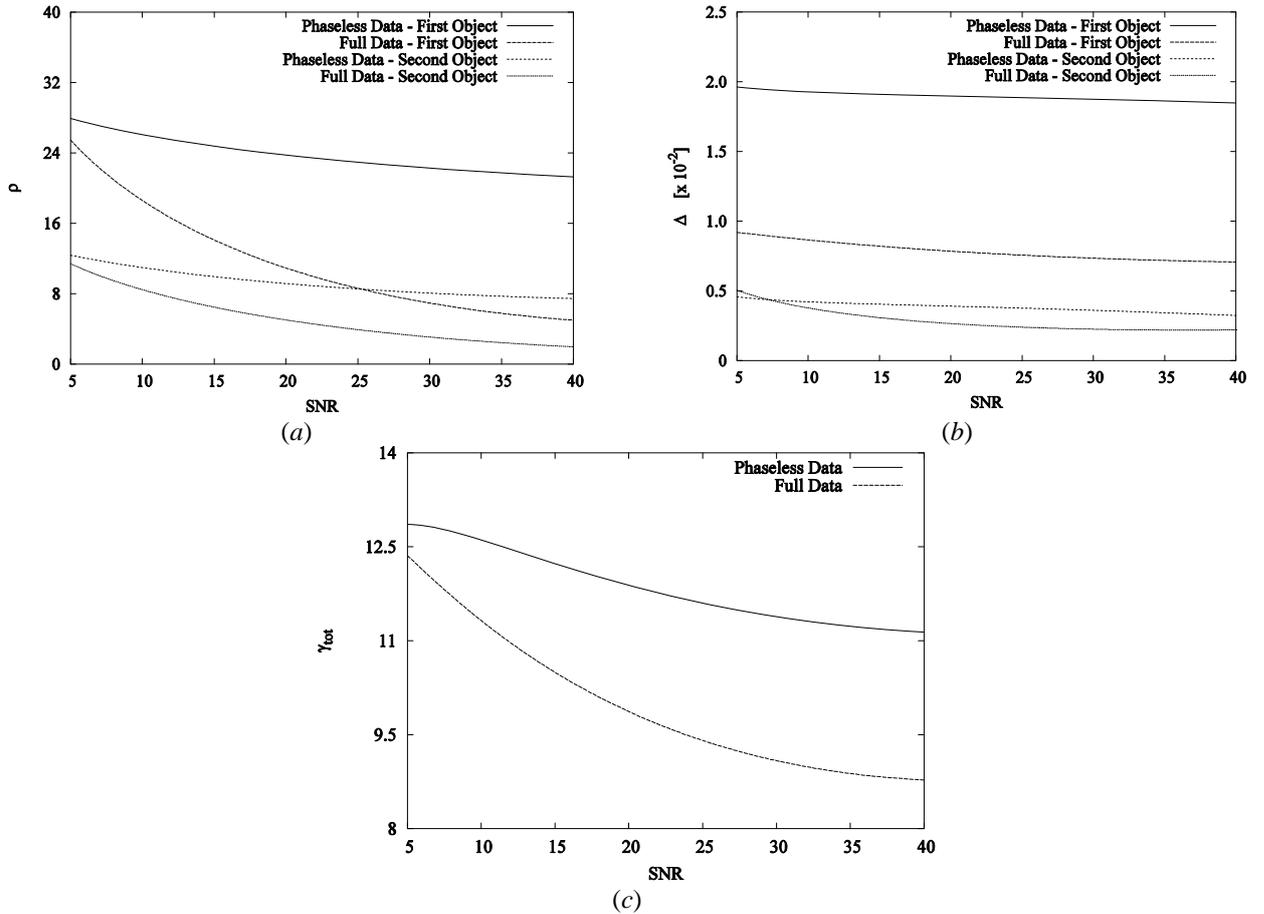
After tuning the model of the source in order to compute the incident field in whatever position of the investigation area, the reconstruction of object function has been carried out exploiting the iterative multi-scaling approach. For comparison purposes, the profiles estimated with the Phaseless Data [PD Fig. 5(a)(b)] and Full Data [FD Fig. 5(c)(d)] approach are reported. Even though the lack of the phase information reduces the quality of the reconstructed profile especially in the real part, we can notice that the result of the inversion process are still satisfactory.

In order to test the robustness of the technique, the data  $(|E_{tot}^v(\mathbf{r}_{m(v)})|)$ , being  $(\mathbf{r}_{m(v)}) \in D_M$  have been blurred by means of an additive Gaussian noise and the error figures have been compared for different values of Signal-to-Noise-Ratio (SNR). The SNR has been varied between 5 dB and 40 dB and 100 realizations have been averaged for each value for obtaining a statistical evaluation of the reconstruction accuracy. Fig. 6 points out that the methodology presents a satisfactory robustness to the noise especially as far as the localization and the dimensions of the profiles is concerned. In particular, one can observe that the qualitative error figures [Figs. 6(a)(b)] of the PD approach have similar behaviour of those corresponding to the FD case. However, as expected, this latter overcomes the PD one since it can also exploit the phase information of the measured fields. As far as the reconstruction error is concerned, for the considered experiments, both the PD and the FD obtain  $\gamma_{tot} < 13\%$  [Fig. 6(c)].

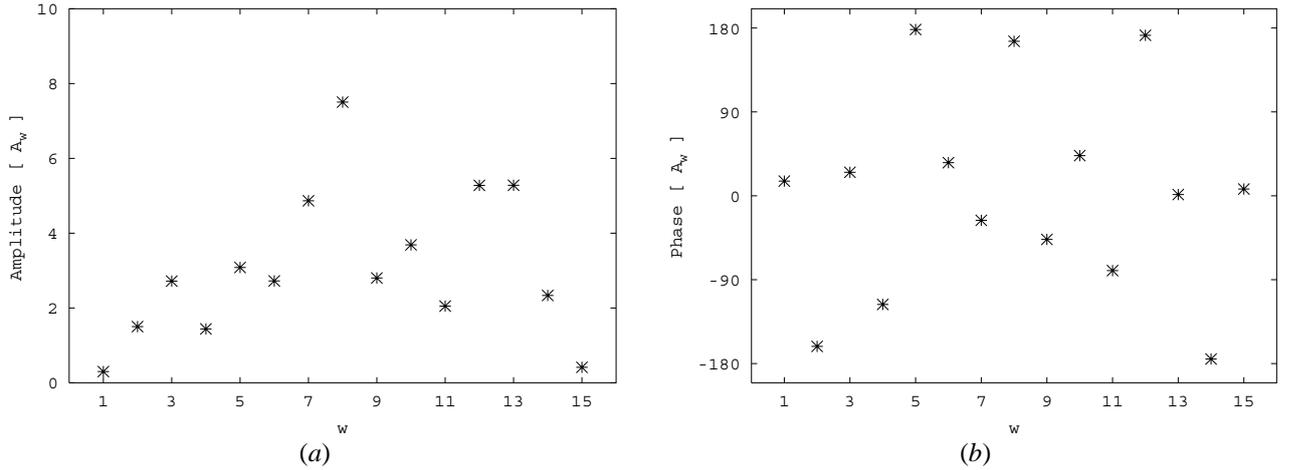
The results obtained through the numerical simulations suggest a further assessment of the effectiveness of the algorithm. Toward this aim, the experimental dataset kindly provided by *Institute Fresnel* (for details see [18]) has been used. The considered test case, the inhomogeneous configuration called “*FoamDiellIntTM*”, is characterized by the following parameters:  $\tau_{obj}^1 = 2.0 \pm 0.3$ ,  $R_{obj}^1 = 1.5 \times 10^{-2} m$  (object radius),  $\tau_{obj}^2 = 0.45 \pm 0.15$ ,  $R_{obj}^2 = 4.0 \times 10^{-2} m$ . The target is located in a square investigation domain of side  $L_I = 3.0 \times 10^{-1} m$  and  $V = 8$  different views and  $M^{(v)} = 241$  measurement points have been taken into account. Single frequency data ( $f = 2GHz$ ) have been inverted.



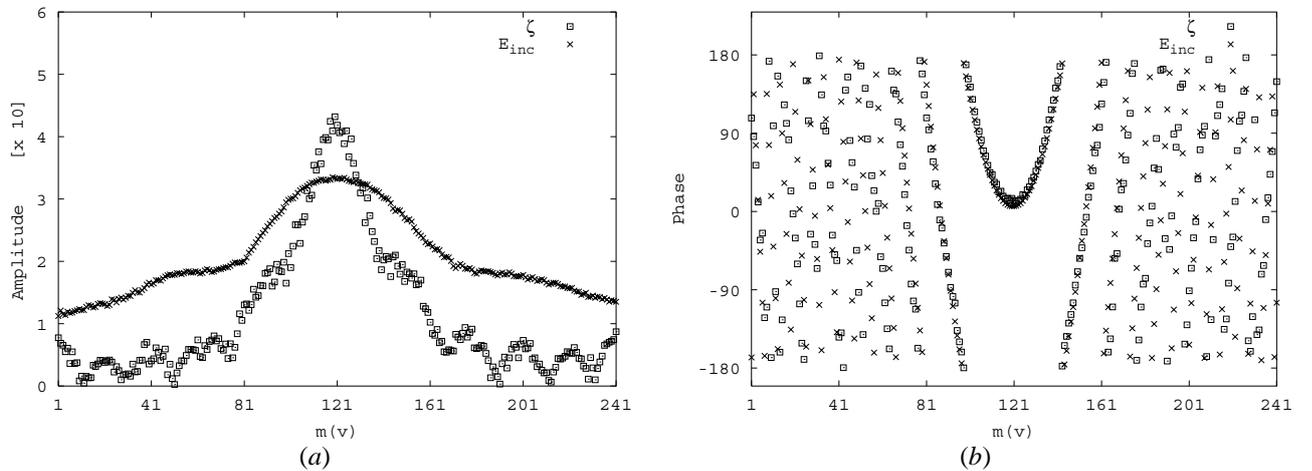
**Fig. 5.** Numerical test case. Reconstruction of the (a)(c) real and (b)(d) imaginary part of the contrast function from Full Data (a)(b) and Phaseless Data (c)(d).



**Fig. 6.** Numerical test case. (a) Localization ( $\rho$ ), (b) dimensional ( $\Delta$ ) and (c) reconstruction ( $\gamma_{tot}$ ) error versus SNR.



**Fig. 7.** (a) Amplitude and (b) phase of the optimal configuration of the weighting coefficients for the experimental test case.

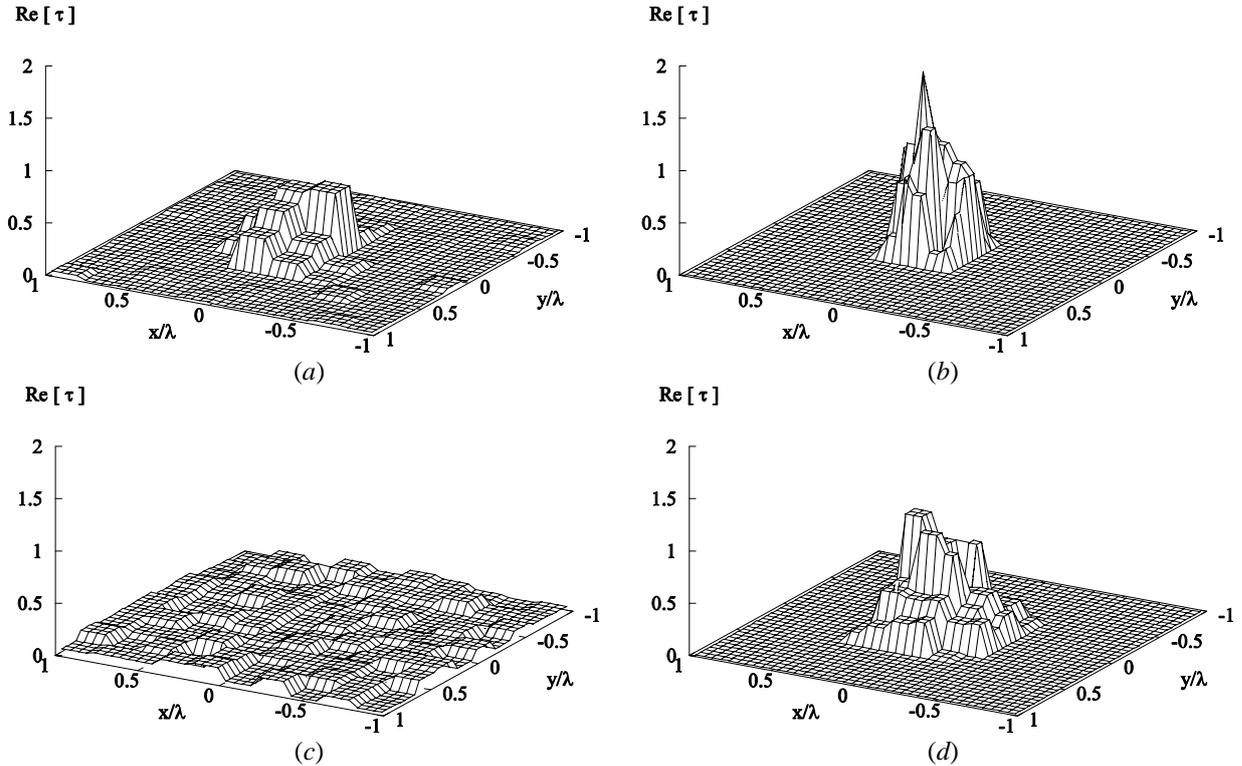


**Fig. 8.** (a) Amplitude and (b) phase matching between synthesized and measured amplitude of the incident field in  $D_M$ .

Firstly, let us consider the synthesis of the source. The tuning of the weighting coefficients (Fig. 7) of the *DCW* model allows to obtain a good agreement between measured and synthesized values of the phase of the electric incident field as shown in Fig. 8. On the other hand, the reconstruction of the amplitude turns out to be more critical, but it still remain an acceptable approximation to our aims. As a matter of fact the retrieved profile of Fig. 9(d) points out the presence of two different levels of contrast. The scatterer is satisfactory located and dimensioned though the reconstructed shape is not so accurate. Moreover, the obtained profiles provide an acceptable indication about the dielectric properties of the object under test.

In order to compare the accuracy of the proposed PD technique, the profile retrieved through the Full Data inversion is plotted in Fig. 9(b). Moreover, Figs. 9(a)(c) report the results of the *bare-PSO* approach ([17]), that is achieved by means of the single resolution PD [Fig. 9(a)] and FD [Fig. 9(c)] strategies. If on one side the IMSA-FD approach allows to improve the reconstruction quality [compare Fig. 9(a) and Fig. 9(b)], on the other side when PD experiments are considered the use of the IMSA procedure becomes non-negligible to detect the object. As a matter of fact, in this test case the *PSO-bare* approach, Fig. 9(c), is not able to extract the necessary information from amplitude-only data. Finally, let us discuss the computational burden of the considered techniques.

The values reported in Table 1 indicate that the use of the multi-scaling technique reduces the number of parameters to be retrieved ( $U$ ). Consequently the mean time per iteration ( $T_k$ ) decreases for the IMSA approach with respect to the standard one and therefore the total computational time required ( $C_{tot}$ ) is reduced even though the total number of iterations of the minimization ( $K_{tot}$ ) procedure increases. Moreover, as expected, the computational load of the algorithms considering PD or FD does not significantly vary and it is strongly related to the number of employed resolution levels ( $S_{opt}$ ).



**Fig. 9.** “FoamDiellIntTM” configuration: Real part of the contrast function reconstructed through (a)(b) Full Data and (c)(d) Phaseless Data using (a)(c) bare-PSO and (b)(d) IMSA-PSO.

	$U$	$K_{tot}$	$S_{opt}$	$T_k$ [s]	$C_{tot}$ [s]
<i>IMSA-PSO</i> FD	324	8000	4	$5.8 \times 10^{-2}$	$4.7 \times 10^2$
<i>IMSA-PSO</i> PD	324	6000	3	$6.3 \times 10^{-2}$	$3.8 \times 10^2$
<i>PSO</i> FD	900	2000	-	$1.8 \times 10^{-1}$	$7.4 \times 10^2$
<i>PSO</i> PD	900	2000	-	$2.0 \times 10^{-1}$	$8.0 \times 10^2$

**Table 1.** Computational burden of the iterative reconstructions.

## 5 CONCLUSIONS

In this contribution, a two-step strategy for reconstructing unknown targets from phaseless data has been analyzed considering both synthetic and experimental data in order to quantify the decrease of accuracy of the PD approach with respect to the FD case. The results point out the effectiveness of the approach and the feasibility of the direct inversion of amplitude-only data without the need of expensive post processing of the data or phase retrieval algorithms. Moreover, the *DCW-Model* allows us to avoid the collection of the incident field sample in a dense grid of points in the investigation domain.

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