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# **Innovative Approaches to Antenna Characterization: A Compressive Sensing Framework**

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# 1 Magnitude Failure Factor and Phase Shift values impact on the Near Field Error

## 1.1 Test performed using the parameters : $M = 25$ , $F^{(s)} = 7$ and $P^{(s)} = 5$

By using a number of :

- simulated configurations to build the over-complete basis :
  - number of failure factors,  $F^{(s)} = 7$ ;
  - number of phase shifts,  $P^{(s)} = 5$ ;
- measurement points,  $M = 25$ ;

the objective of this section is to verify if the near-field error has a relation with the value of the failure (either in magnitude or in phase) or if it is completely insensitive to the failure intensity.

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**1.1.1 AUT with only a magnitude failure affecting the 3<sup>rd</sup> row ( $\nu^{(3)} = [0, 0.15, 0.45, 0.65, 1]$ ); incremented failure ranges to build the over-complete basis ( $\nu^{(s)} \in [0.0, 1.0]$ ,  $F^{(s)} = 7$ ,  $\gamma^{(s)} \in [-\pi, \pi]$ ,  $P^{(s)} = 5$ ) and  $M = 25$**

## Parameters

### Gold Antenna (Without Defects)

- Geometry : Planar array of microstrip patches on the  $(x, y)$  plane;
- Working Frequency :  $f = 3.6 [GHz]$  ( $\lambda = 83.27 \times 10^{-3} [m]$  in free space);
- Substrate (PEC-backed) :
  - Dimensions : infinite;
  - Relative Permittivity :  $\varepsilon_{r,sub} = 4.7$ ;
  - Loss Tangent :  $\tan \delta_{sub} = 0.014$ ;
  - Thickness :  $h_{sub} = 0.019 [\lambda]$  ( $1.6 [mm]$ );
- Microstrip patches :
  - Dimensions :  $l_x \approx 0.22 [\lambda]$  ( $18.16 [mm]$ ),  $l_y \approx 0.33 [\lambda]$  ( $27.25 [mm]$ );
  - Feeding : pin-fed;
- Spacing between elements :  $d_x = d_y = \frac{\lambda}{2}$ ;
- Number of elements in each row :  $N_x = 6$ ;
- Number of elements in each column :  $N_y = 10$ ;
- Total number of elements :  $N = (N_x \times N_y) = 60$ ;
- Total size of the antenna :  $L_x = 5 [\lambda]$ ,  $L_y = 9 [\lambda]$ ;
- Element excitations :  $w_n^{(s)} = 1.0 + j0.0$ ,  $n = 1, \dots, N^{(s)}$ ,  $s = 1, \dots, S$ ;

### Antenna Under Test (AUT - With Defects)

1. Failures of the excitation magnitude of the 3<sup>rd</sup> row;

- Magnitude failure of the elements in the 3<sup>rd</sup> row ( $s = 3$ ) :  $\nu^{(3)} = [0, 0.15, 0.45, 0.65, 1]$ ;

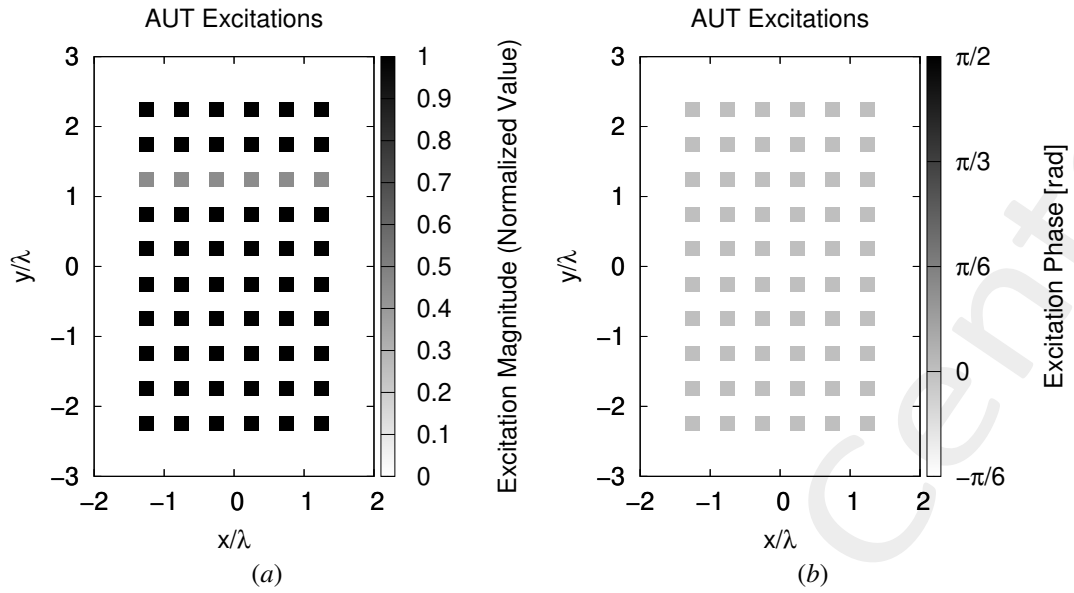


Figure 1: (a) Magnitude of the element excitations in the AUT (e.g.  $\nu^{(3)} = 0.45$ ), (b) phase of the element excitations in the AUT .

### Measurement Set-Up

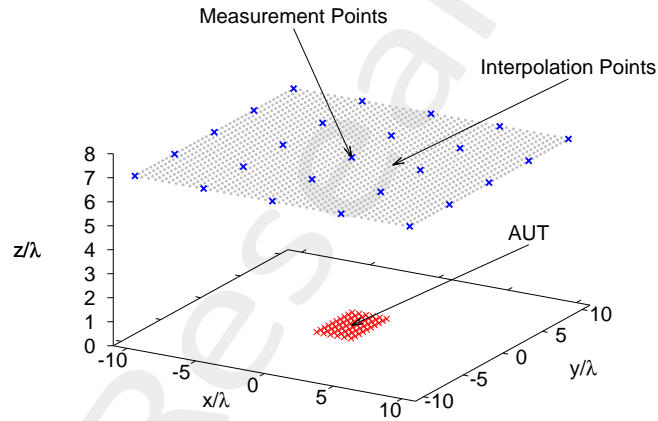


Figure 2: Disposition of the interpolation points ( $T = 1681$ ) and of the measurement points ( $M=25$ ) in the near-field region of the AUT.

- Type of measurements : near-field;
- Height of the measurement region :  $H = 7 [\lambda]$ ;
- Interpolation points :
  - Number of points :  $T = 41 \times 41 = 1681$ ;
  - Coordinates :  $x_t \in [-10, 10] [\lambda]$ ,  $y_t \in [-10, 10] [\lambda]$ ,  $z_t = H [\lambda]$ ,  $t = 1, \dots, T$ ;
  - Interpolation step :  $\Delta_{x/y}^{int} = 0.5 [\lambda]$ ;
- Measurement points :
  - Coordinates :  $x_m^{meas} \in [-10, 10] [\lambda]$ ,  $y_m^{meas} \in [-10, 10] [\lambda]$ ,  $z_m^{meas} = H [\lambda]$ ,  $m = 1, \dots, M$ ;

- Number of points :  $M = 25$ ;
- Measurement step :  $\Delta_{x/y}^{meas} = 5 [\lambda]$
- Ratio between number of measurements and total number of elements :  $(M/N) = 0.42$ ;

### Measurement-by-Design Technique

- Number of generated bases :  $B = 20$ ;
- Bases  $b = 1, \dots, 10$  : magnitude failures in each row ( $s = 1, \dots, 10$ )
  - Failure factor of the elements :  $\nu^{(s)} = [0.0, 1.0]$ ,  $s = 1, \dots, 10$ ;
  - Number of simulated failure factors :  $F^{(s)} = 7$ ,  $s = 1, \dots, 10$ ;
- Bases  $b = 11, \dots, 20$  : phase failures in each row ( $s = 1, \dots, 10$ )
  - Phase shift of the elements :  $\gamma^{(s)} \in [-\pi, \pi] [rad]$ ,  $s = 1, \dots, 10$ ;
  - Number of simulated phase shifts:  $P^{(s)} = 5$ ,  $s = 1, \dots, 10$ ;
- Threshold on the singular values magnitude (normalized) :  $\eta = -40 [dB]$ ;
- Total number of simulated *AUT* configurations :  $K = S \times (F^{(s)} + P^{(s)}) = 10 \times (7 + 5) = 120$ ;

### Dimension of the Over-Complete Basis

The dimension of the over-complete basis is

$$Q = 40$$

This number is given by the sum of the vectors belonging to the two considered bases:

1. Magnitude failures :  $Q_1, \dots, Q_{10} = 2$ ;
2. Phase failures :  $Q_{11}, \dots, Q_{20} = 2$ .

### Alternative (BCS) MbD parameters

- Toleration factor for *BCS* solver:  $Tolerance = 1 \times 10^{-8}$ ;
- Initial noise variance for *BCS* solver:  $\eta_0^{opt1} = 10^{-2}$ . This value has been obtained as a result of a calibration procedure;

### Original (OMP) MbD parameters

- Max. number of iterations of the *OMP* algorithm :  $I = \{1; 2; 3; \dots; 10\}$ ;
- Selected iteration to report the results: depends on the particular considered case.

### Noise

- *SNR* on the measured data :  $SNR = \{50; 40; 30; 20; 10\} [dB]$ ;
- Noise seed :  $Noise\_Seed = 11$ .

### Near-Field vs Magnitude Failure Factor ( $\nu^{(3)}$ )

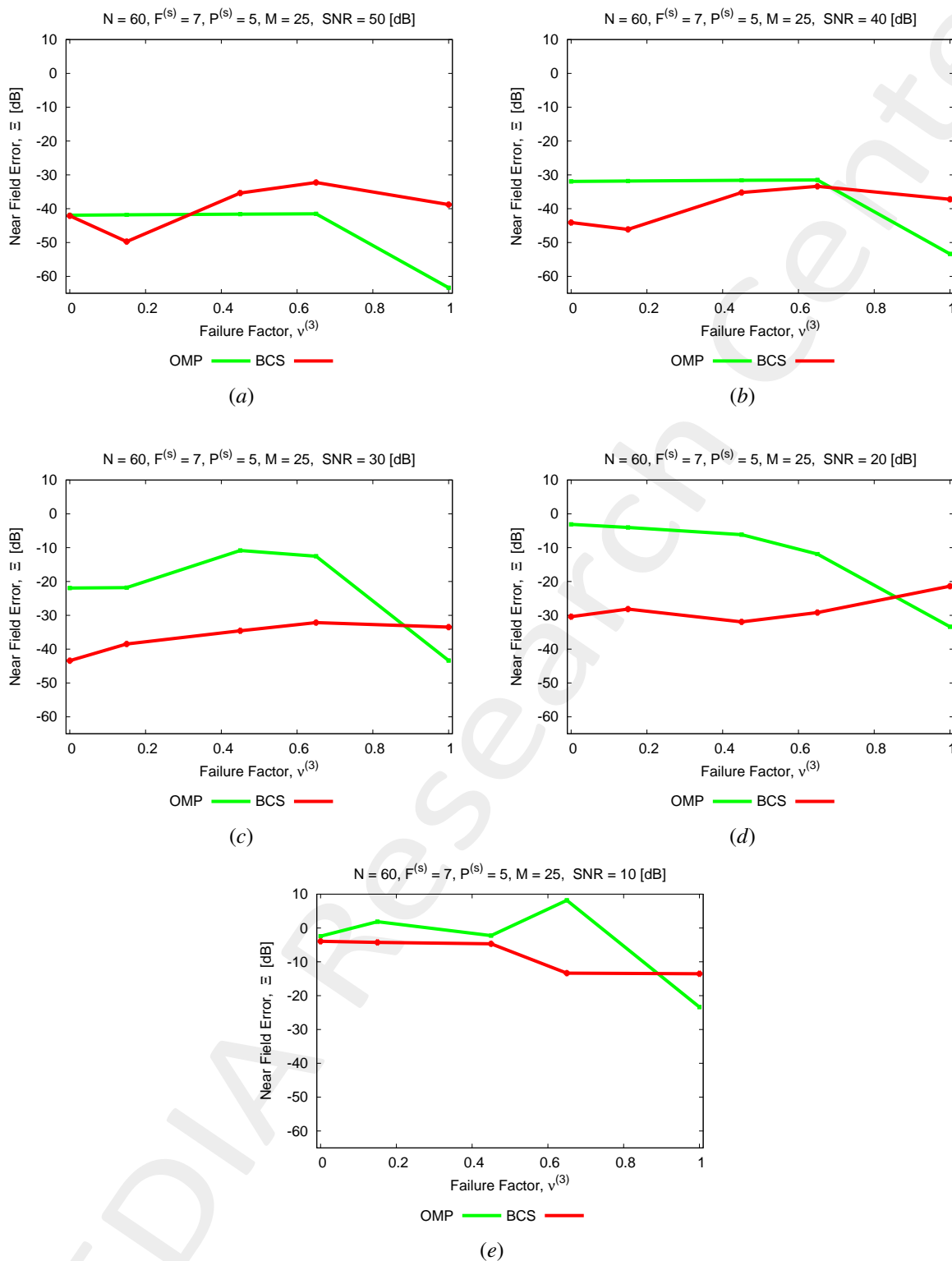


Figure 3: Behaviour of the near-field matching error,  $\Xi$ , as a function of the magnitude failure factor affecting the 3<sup>rd</sup> row of the AUT,  $\nu^{(3)}$ : (a)  $SNR = 50$  [dB], (b)  $SNR = 40$  [dB], (c)  $SNR = 30$  [dB], (d)  $SNR = 20$  [dB], (e)  $SNR = 10$  [dB]

#### Comments

The reported results show that:

- 
- the *OMP* solver is quite insensitive to the variation of the magnitude failure factor (the curve is almost flat) except when the magnitude failure is very low (note: higher the value of  $\nu^{(s)}$  and more the *AUT* behaves like the *Gold* antenna); indeed, for  $\nu^{(3)} \geq 0.65$ , it is possible to observe an important error decrease of about 20 [dB] or more. This is true for all the considered *SNR* values;
  - the near-field error of the *BCS* algorithm, even if there are some small variations of the resulting curve, appears to be more independent from the variation of the magnitude failure factor than the *OMP* solver; indeed, whatever the *SNR* value, the error variation is always less than 10 [dB].



**1.1.2 AUT with only a phase failure affecting the 3<sup>rd</sup> row** ( $\gamma^{(3)} = [-180, -150, -45, 0, 60, 135, 180]$  [Deg]); incremented failure ranges to build the over-complete basis ( $\nu^{(s)} \in [0.0, 1.0]$ ,  $F^{(s)} = 7$ ,  $\gamma^{(s)} \in [-\pi, \pi]$ ,  $P^{(s)} = 5$ ) and  $M = 25$

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  - Thickness :  $h_{sub} = 0.019$  [ $\lambda$ ] (1.6 [mm]);
- Microstrip patches :
  - Dimensions :  $l_x \approx 0.22$  [ $\lambda$ ] (18.16 [mm]),  $l_y \approx 0.33$  [ $\lambda$ ] (27.25 [mm]);
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- Total size of the antenna :  $L_x = 5$  [ $\lambda$ ],  $L_y = 9$  [ $\lambda$ ];
- Element excitations :  $w_n^{(s)} = 1.0 + j0.0$ ,  $n = 1, \dots, N^{(s)}$ ,  $s = 1, \dots, S$ ;

### Antenna Under Test (AUT - With Defects)

1. Failures of the excitation phase of the 3<sup>rd</sup> row;

- Phase shift of the elements in the 3<sup>rd</sup> row ( $s = 3$ ) :  $\gamma^{(3)} = [-\pi, -\frac{5}{6}\pi, -\frac{\pi}{4}, 0, \frac{\pi}{3}, \frac{3}{4}\pi, \pi]$  [rad];

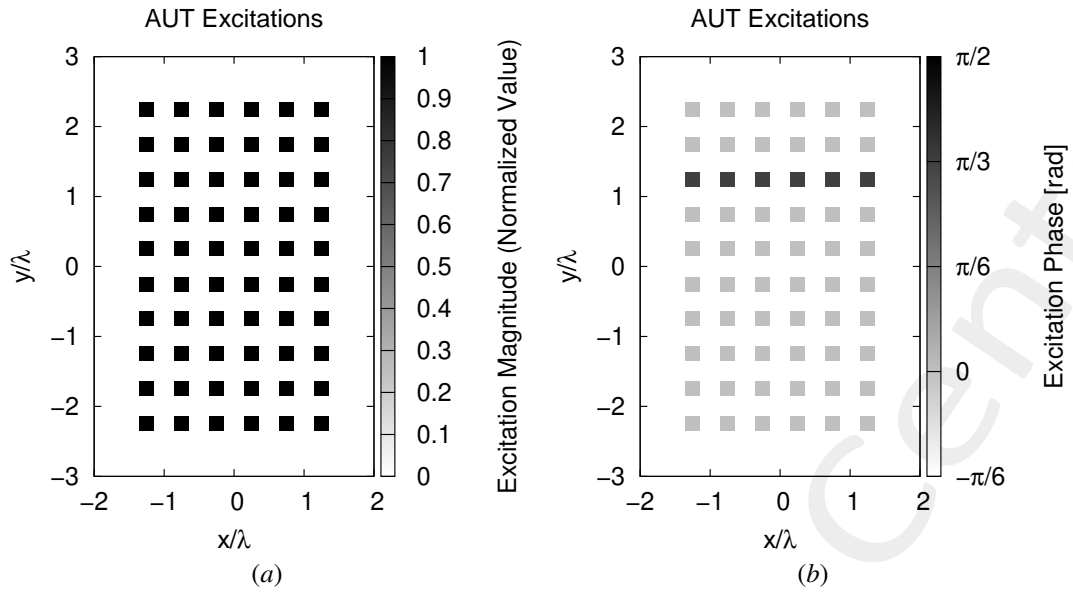


Figure 4: (a) Magnitude of the element excitations in the *AUT*, (b) phase of the element excitations in the *AUT* (e.g.  $\gamma^{(3)} = \frac{\pi}{3}$  [rad]).

### Measurement Set-Up

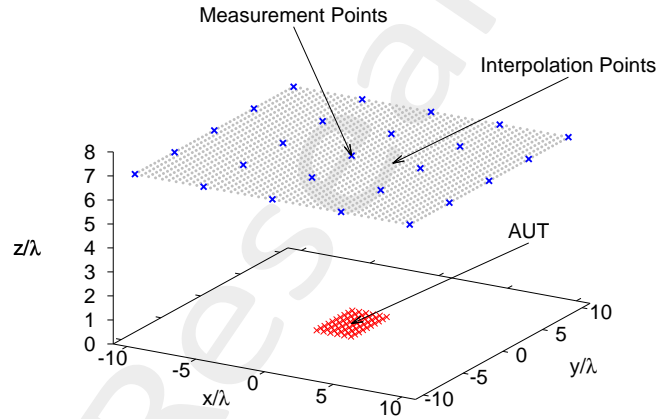


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  - Coordinates :  $x_t \in [-10, 10]$  [ $\lambda$ ],  $y_t \in [-10, 10]$  [ $\lambda$ ],  $z_t = H$  [ $\lambda$ ],  $t = 1, \dots, T$ ;
  - Interpolation step :  $\Delta_{x/y}^{int} = 0.5$  [ $\lambda$ ];
- Measurement points :
  - Coordinates :  $x_m^{meas} \in [-10, 10]$  [ $\lambda$ ],  $y_m^{meas} \in [-10, 10]$  [ $\lambda$ ],  $z_m^{meas} = H$  [ $\lambda$ ],  $m = 1, \dots, M$ ;

- Number of points :  $M = 25$ ;
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  - Phase shift of the elements :  $\gamma^{(s)} \in [-\pi, \pi] [rad]$ ,  $s = 1, \dots, 10$ ;
  - Number of simulated phase shifts:  $P^{(s)} = 5$ ,  $s = 1, \dots, 10$ ;
- Threshold on the singular values magnitude (normalized) :  $\eta = -40 [dB]$ ;
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### Original (OMP) MbD parameters

- Max. number of iterations of the *OMP* algorithm :  $I = \{1; 2; 3; \dots; 10\}$ ;
- Selected iteration to report the results: depends on the particular considered case.

### Noise

- *SNR* on the measured data :  $SNR = \{50; 40; 30; 20; 10\} [dB]$ ;
- Noise seed :  $Noise\_Seed = 63$ .

## Near-Field vs Phase Shift Factor ( $\gamma^{(3)}$ )

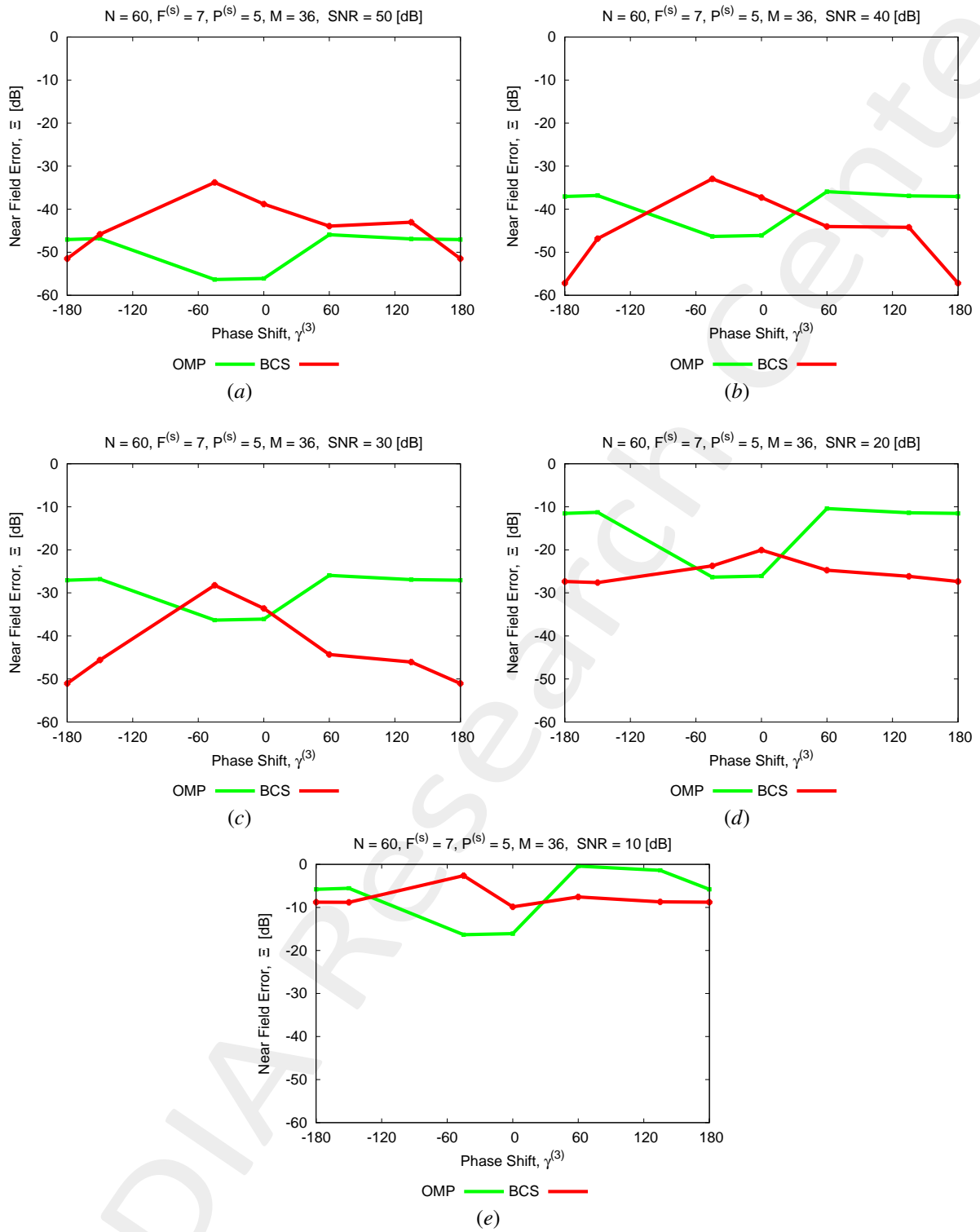


Figure 6: Behaviour of the near-field matching error,  $\Xi$ , as a function of the phase shift factor affecting the 3<sup>rd</sup> row of the AUT,  $\gamma^{(3)}$ : (a) SNR = 50 [dB], (b) SNR = 40 [dB], (c) SNR = 30 [dB], (d) SNR = 20 [dB], (e) SNR = 10 [dB]

### Comments

The reported results show a slight dependence of the near-field error of both *OMP* and *BCS* solver. The phase shift factors of main interest are those in the middle of the considered range; in particular, the performance of the two algorithms are different because:

- 
- the *OMP* solver reaches the minimum error for  $\gamma^{(3)} = -65 [Deg]$  and  $\gamma^{(3)} = 0 [Deg]$  (the latter case corresponds to the situation in which the AUT has no defects) which results in a resulting curve valley at those points;
  - on the contrary, the *BCS* algorithm, at  $\gamma^{(3)} = -65 [Deg]$  and  $\gamma^{(3)} = 0 [Deg]$ , obtains its higher error so that its resulting curve presents a peak at those points.

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More information on the topics of this document can be found in the following list of references.

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