
Compressive Sensing Techniques for Enhanced Near Field Antenna Evaluation

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1 Test Case 4: AUT with only a magnitude failure affecting the 3rd row ($\nu^{(3)} = 0.45$); incremented failure ranges to build the over-complete basis ($\nu^{(s)} \in [0.0, 1.0]$, $F^{(s)} = 7$ and $\gamma^{(s)} \in [-\pi, \pi]$, $P^{(s)} = 5$)

Parameters

Gold Antenna (Without Defects)

- Geometry : Planar array of microstrip patches on the (x, y) plane;
- Working Frequency : $f = 3.6 [GHz]$ ($\lambda = 83.27 \times 10^{-3} [m]$ in free space);
- Substrate (PEC-backed) :
 - Dimensions : infinite;
 - Relative Permittivity : $\epsilon_{r,sub} = 4.7$;
 - Loss Tangent : $\tan \delta_{sub} = 0.014$;
 - Thickness : $h_{sub} = 0.019 [\lambda]$ ($1.6 [mm]$);
- Microstrip patches :
 - Dimensions : $l_x \approx 0.22 [\lambda]$ ($18.16 [mm]$), $l_y \approx 0.33 [\lambda]$ ($27.25 [mm]$);
 - Feeding : pin-fed;
- Spacing between elements : $d_x = d_y = \frac{\lambda}{2}$;
- Number of elements in each row : $N_x = 6$;
- Number of elements in each column : $N_y = 10$;
- Total number of elements : $N = (N_x \times N_y) = 60$;
- Total size of the antenna : $L_x = 5 [\lambda]$, $L_y = 9 [\lambda]$;
- Element excitations : $w_n^{(s)} = 1.0 + j0.0$, $n = 1, \dots, N^{(s)}$, $s = 1, \dots, S$;

Antenna Under Test (AUT - With Defects)

1. Failures of the excitation magnitude of the 3rd row;
 - Failure factor of the elements in the 3rd row ($s = 3$) : $\nu^{(3)} = 0.45$;

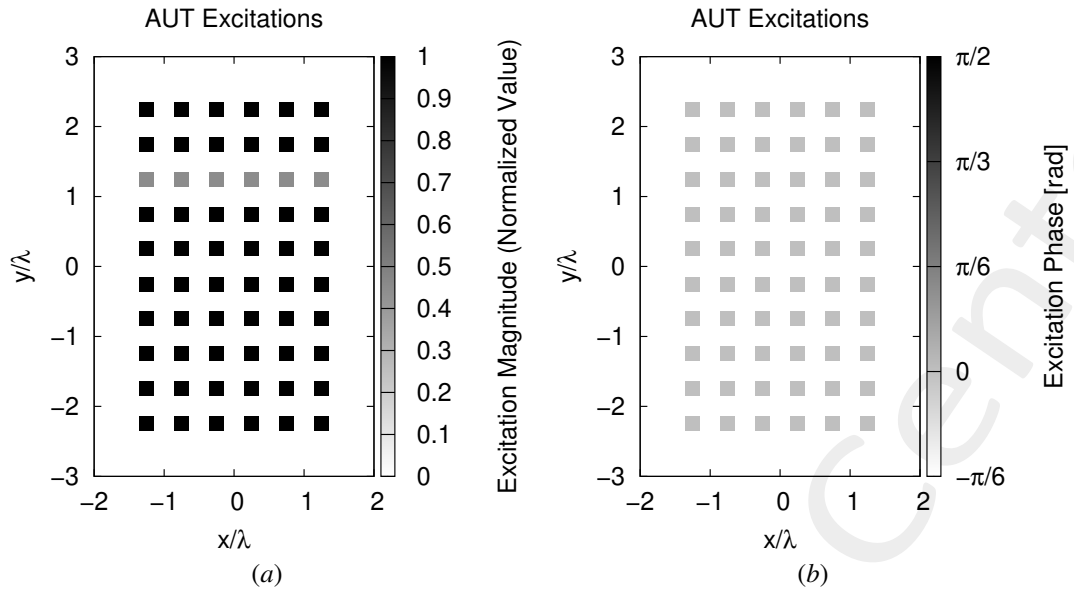


Figure 1: (a) Magnitude of the element excitations in the AUT ($\nu^{(3)} = 0.45$), (b) phase of the element excitations in the AUT.

Measurement Set-Up

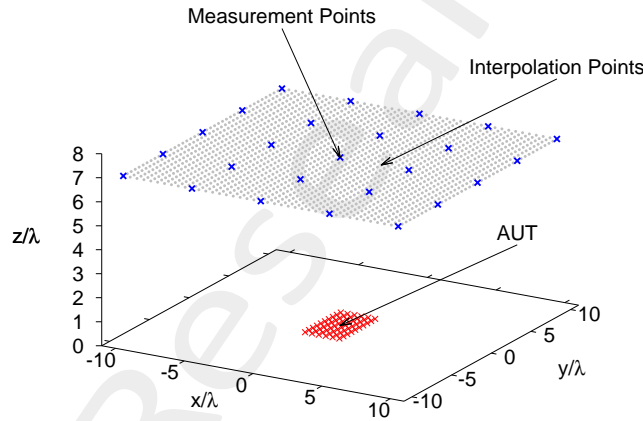


Figure 2: Disposition of the interpolation points ($T = 1681$) and of the measurement points ($M = 25$) in the near-field region of the AUT

- Type of measurements : near-field;
- Height of the measurement region : $H = 7 [\lambda]$;
- Interpolation points :
 - Number of points : $T = 41 \times 41 = 1681$;
 - Coordinates : $x_t \in [-10, 10] [\lambda]$, $y_t \in [-10, 10] [\lambda]$, $z_t = H [\lambda]$, $t = 1, \dots, T$;
 - Interpolation step : $\Delta_{x/y}^{int} = 0.5 [\lambda]$;
- Measurement points :
 - Coordinates : $x_m^{meas} \in [-10, 10] [\lambda]$, $y_m^{meas} \in [-10, 10] [\lambda]$, $z_m^{meas} = H [\lambda]$, $m = 1, \dots, M$;

- Number of points : $M_{x/y} = 5 \rightarrow M = 25$;
- Measurement step : $\Delta_{x/y}^{meas} = 5 [\lambda]$
- Ratio between number of measurements and total number of elements : $(M/N) = 0.42$;

Measurement-by-Design Technique

- Number of generated bases : $B = 20$;
- Bases $b = 1, \dots, 10$: magnitude failures in each row ($s = 1, \dots, 10$)
 - **Failure factor of the elements** : $\nu^{(s)} \in [0.0, 1.0]$, $s = 1, \dots, 10$;
 - Number of simulated failure factors : $F^{(s)} = 7$, $s = 1, \dots, 10$;
- Bases $b = 11, \dots, 20$: phase failures in each row ($s = 1, \dots, 10$)
 - **Phase shift of the elements** : $\gamma^{(s)} \in [-\pi, \pi] [rad]$, $s = 1, \dots, 10$;
 - Number of simulated phase shifts: $P^{(s)} = 5$, $s = 1, \dots, 10$;
- Threshold on the singular values magnitude (normalized) : $\eta = -40 [dB]$;
- Total number of simulated *AUT* configurations : $K = S \times (F^{(s)} + P^{(s)}) = 10 \times (7 + 5) = 120$;

Dimension of the Over-Complete Basis

The dimension of the over-complete basis is

$$Q = 40$$

This number is given by the sum of the vectors belonging to the two considered bases:

1. Magnitude failures : $Q_1, \dots, Q_{10} = 2$;
2. Phase failures : $Q_{11}, \dots, Q_{20} = 2$.

Alternative (BCS) MbD parameters

- Toleration factor for *BCS* solver: $Tolerance = 1 \times 10^{-8}$;
- Initial noise variance for *BCS* solver: $\eta_0^{opt1} = 10^{-2}$ and $\eta_0^{opt2} = 5 \times 10^{-4}$. This values have been obtained as a result of a calibration procedure;

Original (OMP) MbD parameters

- Max. number of iterations of the *OMP* algorithm : $I = \{1; 2; 3; \dots; 10\}$;
- Selected iteration to report the results: $I = 6$; this choice is justified by the fact that at this iteration the *OMP* algorithm reaches the best near field error as shown in the following Fig. 3.

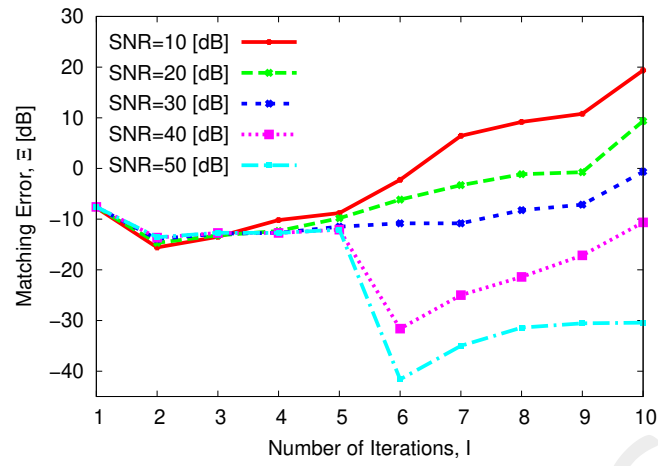


Figure 3: Behaviour of the near-field matching error versus the number of *OMP* iterations, I .

Noise

- *SNR* on the measured data : $SNR = \{50; 40; 30; 20; 10\} [dB]$;
- Noise seed : $Noise_Seed = 11$.

1.1 Comparison between original (*OMP*) and alternative (*BCS*) MbD

Near-Field Error

The comparison, in terms of near field error, between the original (*OMP*) and the alternative (*BCS*) MbD is reported in the following Fig. 4.

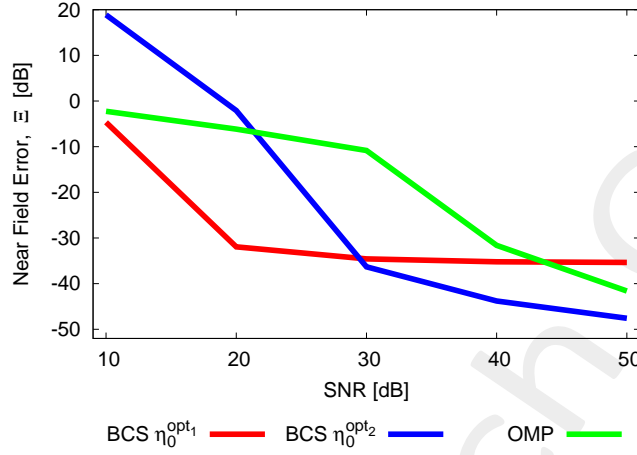


Figure 4: Near Field Error comparison between original (*OMP*) and alternative (*BCS*) MbD for different *SNR* values.

| <i>SNR</i> [dB] | Near Field Error, Ξ [dB] | | |
|-----------------|------------------------------|------------------|------------|
| | <i>BCS</i> | | <i>OMP</i> |
| | $\eta_0^{opt_1}$ | $\eta_0^{opt_2}$ | |
| 50 | -35.36 | -47.59 | -41.61 |
| 40 | -35.21 | -43.80 | -31.61 |
| 30 | -34.58 | -36.32 | -10.82 |
| 20 | -31.93 | -2.09 | -6.15 |
| 10 | -4.67 | 18.89 | -2.24 |

Table I: Near Field Errors obtained by the original (*OMP*) and alternative (*BCS*) MbD

Observations

- The *OMP* algorithm performs poorly for $SNR \leq 30$ [dB] where obtains an error $\Xi \geq -10$ [dB] leading to an error difference up to $\simeq 25$ [dB] compared to the *BCS* results at $SNR = 30$ [dB]. However, for $SNR \geq 40$ [dB] the *OMP* results are comparable to those of the *BCS*;
- About the *BCS* solver:
 - using $\eta_0^{opt_1}$, the *BCS* algorithm achieves the best results for $SNR \leq 30$ [dB], in particular at $SNR = 20$ [dB] where its error is more than 25 [dB] lower than that of the others. After that the behaviour of the error of this *BCS* version is quite flat which leads to obtain the higher error for $SNR = 50$ [dB];
 - using $\eta_0^{opt_2}$, the *BCS* solver obtains the worst result if compared to both the other methods for $SNR \leq 20$ [dB] but for higher SNR values it performs good and achieves the best results for $SNR \geq 30$ [dB].

Estimated Near-Field

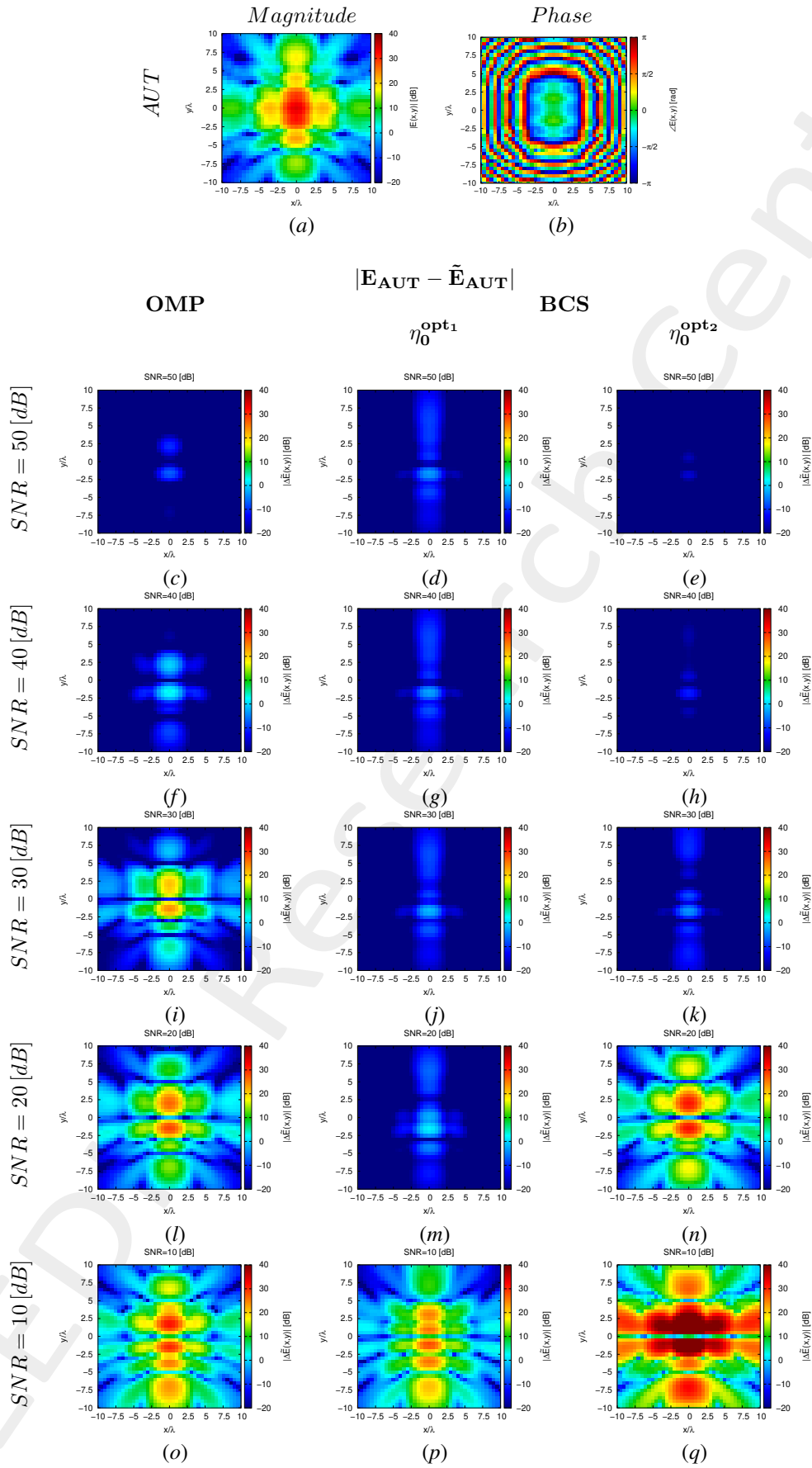


Figure 5: Magnitude difference between the actual and estimated 2 – D near-field pattern when processing noisy measurements at different SNRs.

Estimated Coefficients

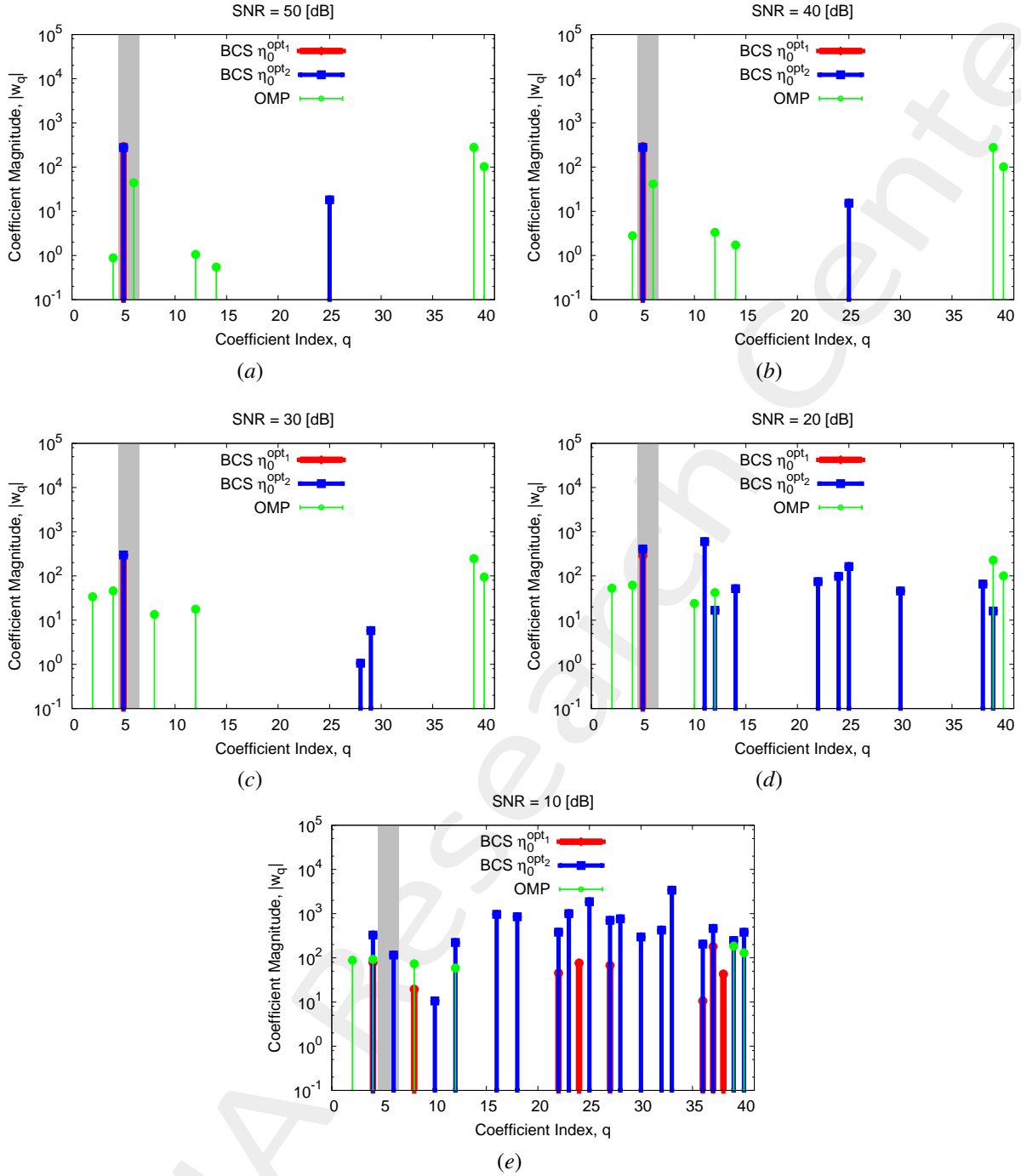


Figure 6: Coefficient comparison between original (*OMP*) and alternative (*BCS*) MbD : (a) $SNR = 50$ [dB], (b) $SNR = 40$ [dB], (c) $SNR = 30$ [dB], (d) $SNR = 20$ [dB], (e) $SNR = 10$ [dB]

- The *AUT* is affected only by a magnitude failure of the 3rd row and the *OMP* solver is able to correctly detect this failure for $SNR \geq 40$ [dB], even if other vectors are also selected. Moreover, 3 out of the 6 selected vectors are always the same independently from the SNR value (vector indexes $q = 12, 39, 40$);
- The *BCS* algorithm, when η_0^{opt2} is used, presents a solution which is not sparse for $SNR \leq 20$ [dB] but becomes sparse as the SNR value increases; moreover, this *BCS* version correctly detects the magnitude failure affecting the *AUT* with an accuracy that increases as the SNR increases. Instead, when η_0^{opt1} is used, the *BCS* failure detection is

extremely precise already for $SNR \geq 20$ [dB].

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1.1.1 OMP vs best BCS

The main idea of this section is to compare the performance of the *OMP* algorithm and the best *BCS* configuration.

Near-Field Error

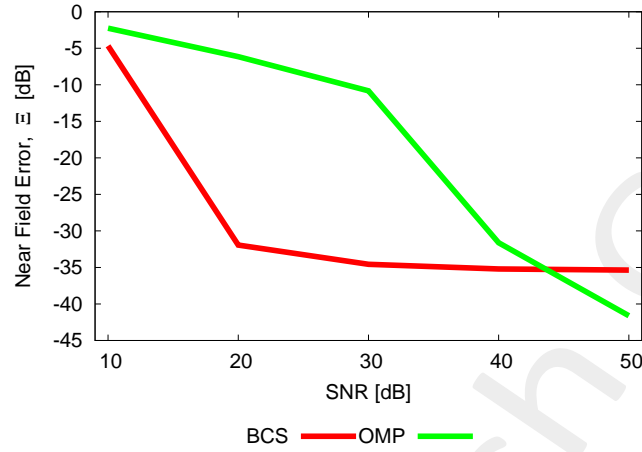


Figure 7: Near Field Error comparison between original (*OMP*) and alternative (*BCS*) MbD for different *SNR* values.

| <i>SNR</i> [dB] | Near Field Error, Ξ [dB] | |
|-----------------|------------------------------|------------|
| | <i>BCS</i> | <i>OMP</i> |
| 50 | -35.36 | -41.61 |
| 40 | -35.21 | -31.61 |
| 30 | -34.58 | -10.82 |
| 20 | -31.93 | -6.15 |
| 10 | -4.67 | -2.24 |

Table II: Near Field Errors obtained by the original (*OMP*) and alternative (*BCS*) MbD

Estimated Far-Field

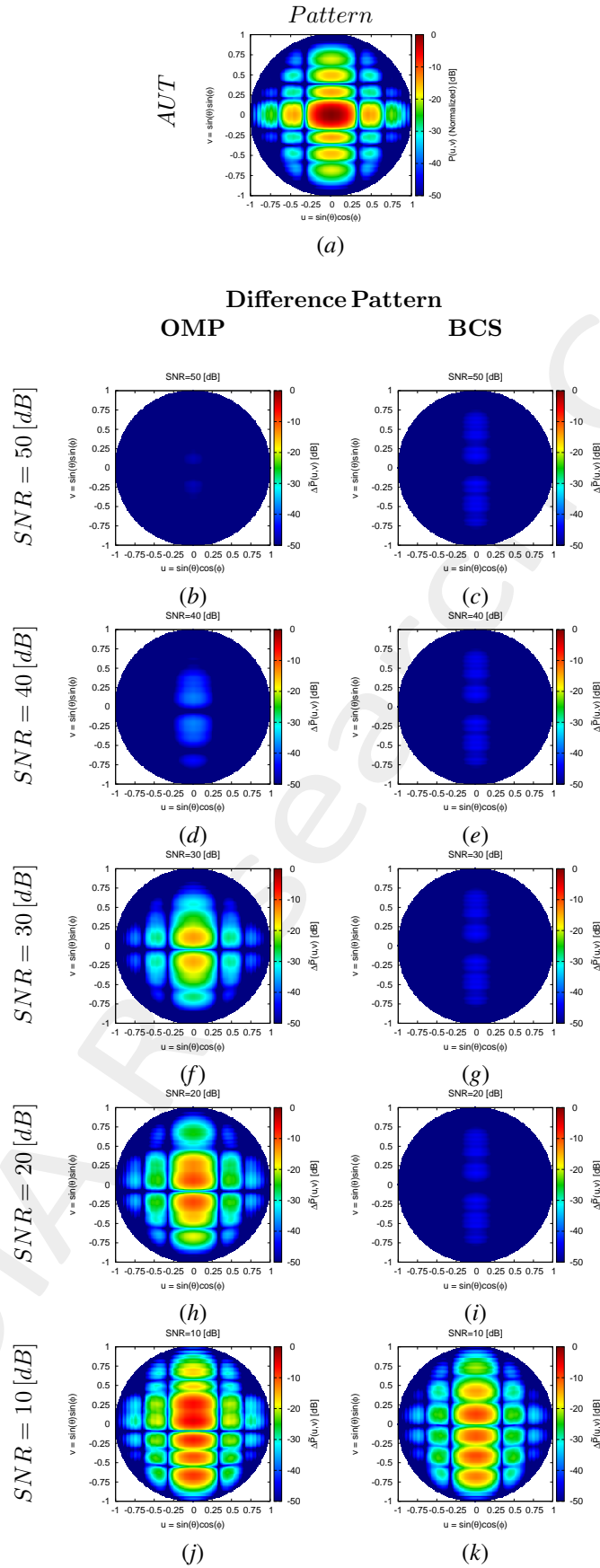


Figure 8: Difference between the actual and estimated 2 – D far-field pattern when processing noisy measurements at different $SNRs$.

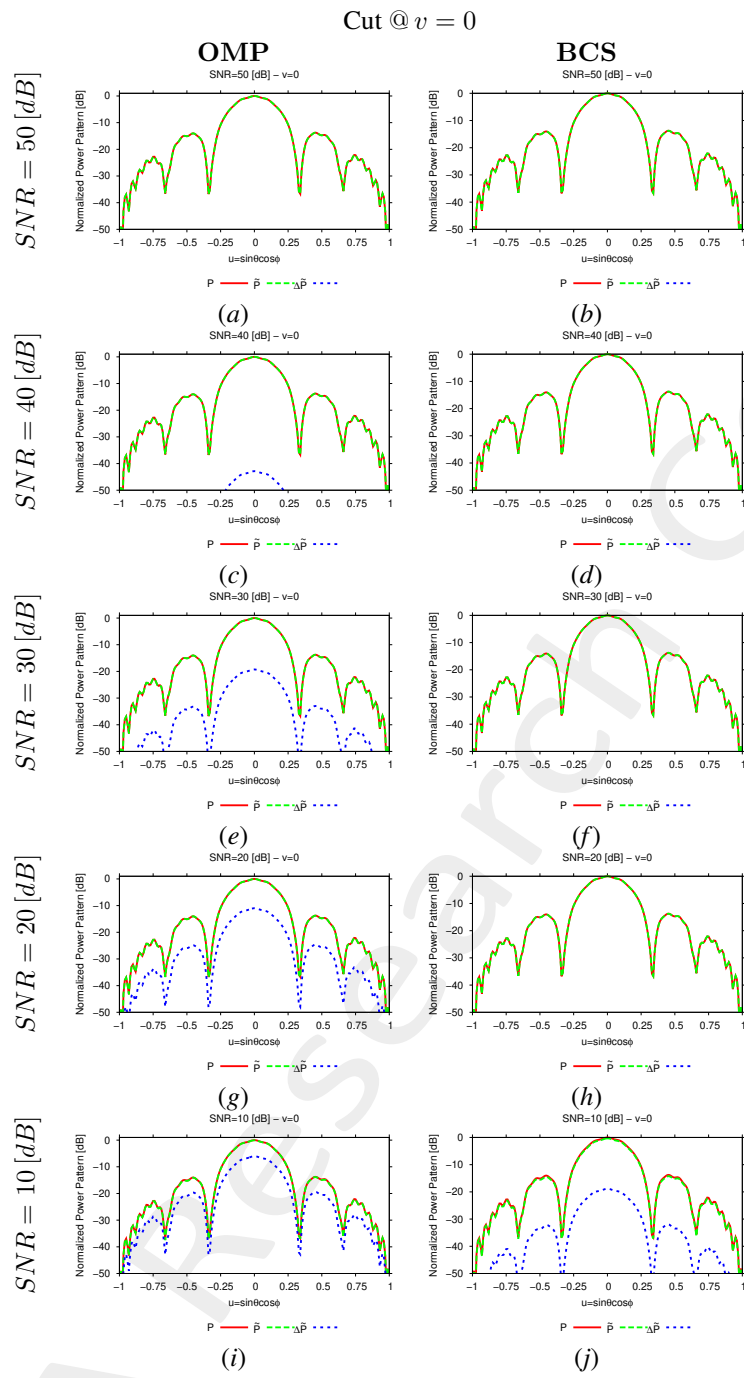


Figure 9: 1 - D cuts of the estimated far-field pattern (obtained through near-to-far-field transformation from the estimated near-field patterns) under several noisy conditions

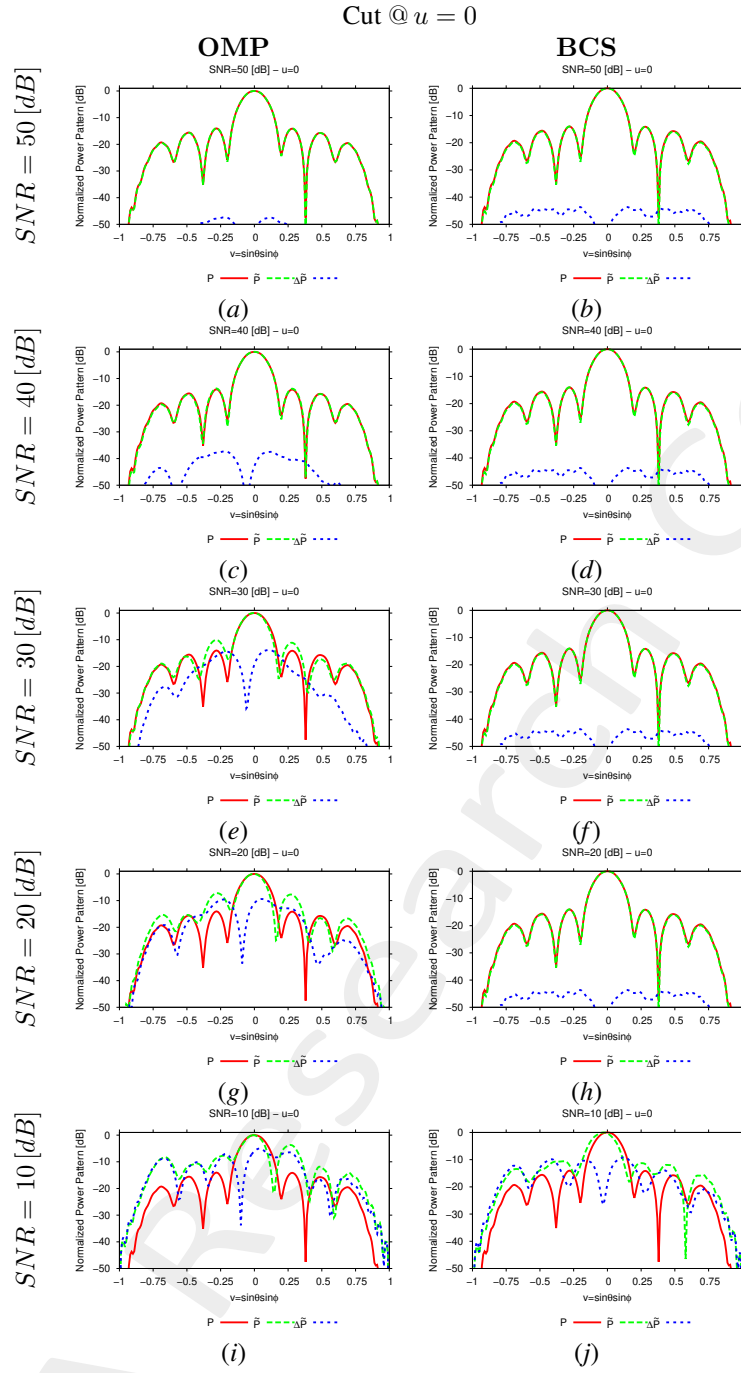


Figure 10: 1 – D cuts of the estimated far-field pattern (obtained through near-to-far-field transformation from the estimated near-field patterns) under several noisy conditions

| SNR [dB] | Far – Field Error, χ [dB] | |
|------------|--------------------------------|--------|
| | BCS | OMP |
| 50 | -36.69 | -42.55 |
| 40 | -36.69 | -32.52 |
| 30 | -36.69 | -10.82 |
| 20 | -36.69 | -5.82 |
| 10 | -4.90 | -1.41 |

Table III: Far-field matching error between the actual and estimated AUT patterns (both obtained through near-to-far-field transformation from the corresponding near-field patterns) under several noisy conditions.

Estimated Coefficients

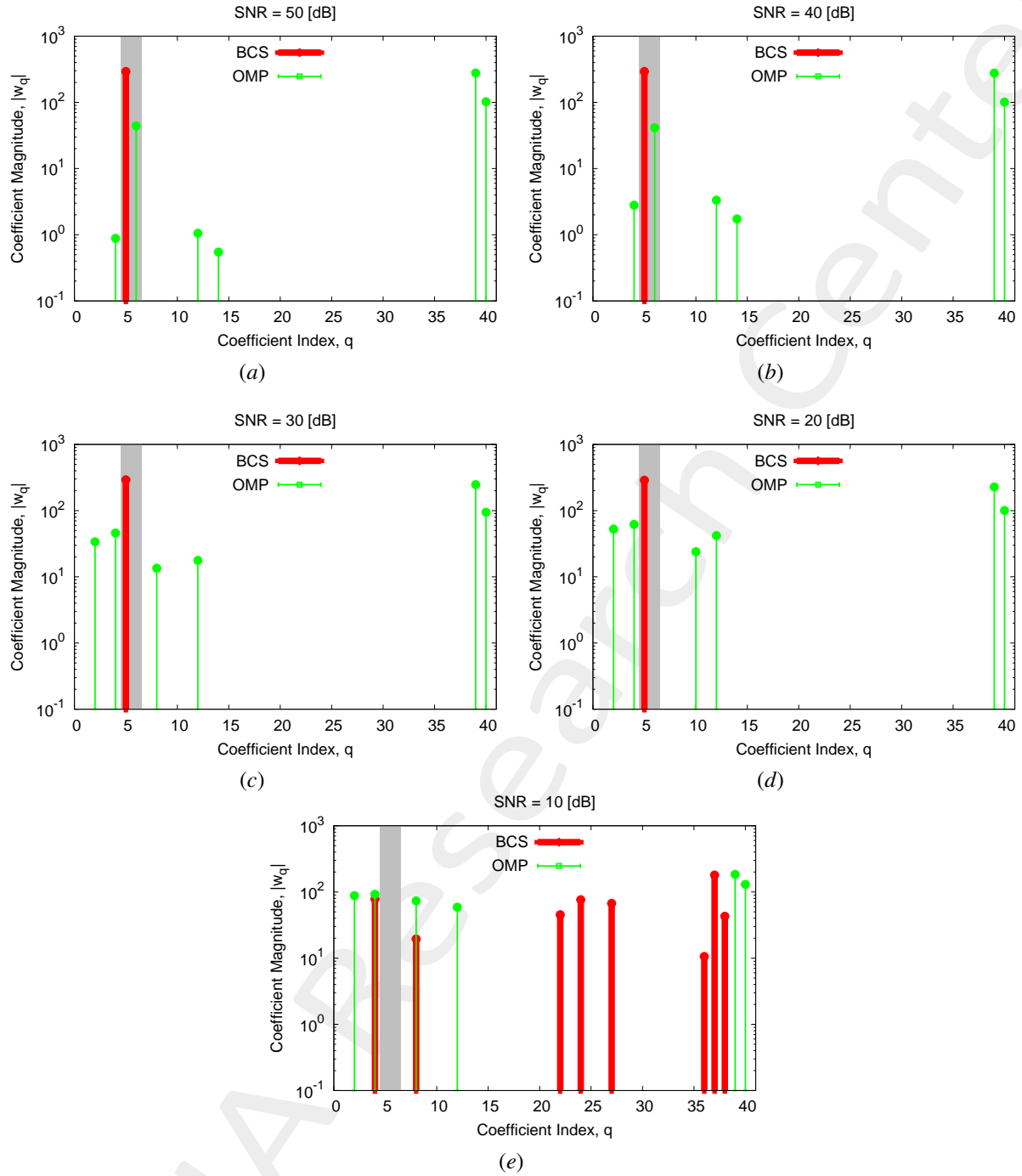


Figure 11: Coefficient comparison between original (*OMP*) and alternative (*BCS*) MbD: (a) $SNR = 50$ [dB], (b) $SNR = 40$ [dB], (c) $SNR = 30$ [dB], (d) $SNR = 20$ [dB], (e) $SNR = 10$ [dB]

2 Test Case 5: AUT with only a phase shift affecting the 3rd row ($\gamma^{(3)} = \frac{\pi}{3}$); incremented failure ranges to build the over-complete basis ($\nu^{(s)} \in [0.0, 1.0]$, $F^{(s)} = 7$ and $\gamma^{(s)} \in [-\pi, \pi]$, $P^{(s)} = 5$)

Parameters

Gold Antenna (Without Defects)

- Geometry : Planar array of microstrip patches on the (x, y) plane;
- Working Frequency : $f = 3.6 [GHz]$ ($\lambda = 83.27 \times 10^{-3} [m]$ in free space);
- Substrate (PEC-backed) :
 - Dimensions : infinite;
 - Relative Permittivity : $\varepsilon_{r,sub} = 4.7$;
 - Loss Tangent : $\tan \delta_{sub} = 0.014$;
 - Thickness : $h_{sub} = 0.019 [\lambda]$ ($1.6 [mm]$);
- Microstrip patches :
 - Dimensions : $l_x \approx 0.22 [\lambda]$ ($18.16 [mm]$), $l_y \approx 0.33 [\lambda]$ ($27.25 [mm]$);
 - Feeding : pin-fed;
- Spacing between elements : $d_x = d_y = \frac{\lambda}{2}$;
- Number of elements in each row : $N_x = 6$;
- Number of elements in each column : $N_y = 10$;
- Total number of elements : $N = (N_x \times N_y) = 60$;
- Total size of the antenna : $L_x = 5 [\lambda]$, $L_y = 9 [\lambda]$;
- Element excitations : $w_n^{(s)} = 1.0 + j0.0$, $n = 1, \dots, N^{(s)}$, $s = 1, \dots, S$;

Antenna Under Test (AUT - With Defects)

1. Failures of the excitation phase of the 3rd row;
 - Phase shift of the elements in the 3rd row ($s = 3$) : $\gamma^{(3)} = \frac{\pi}{3} [rad]$;

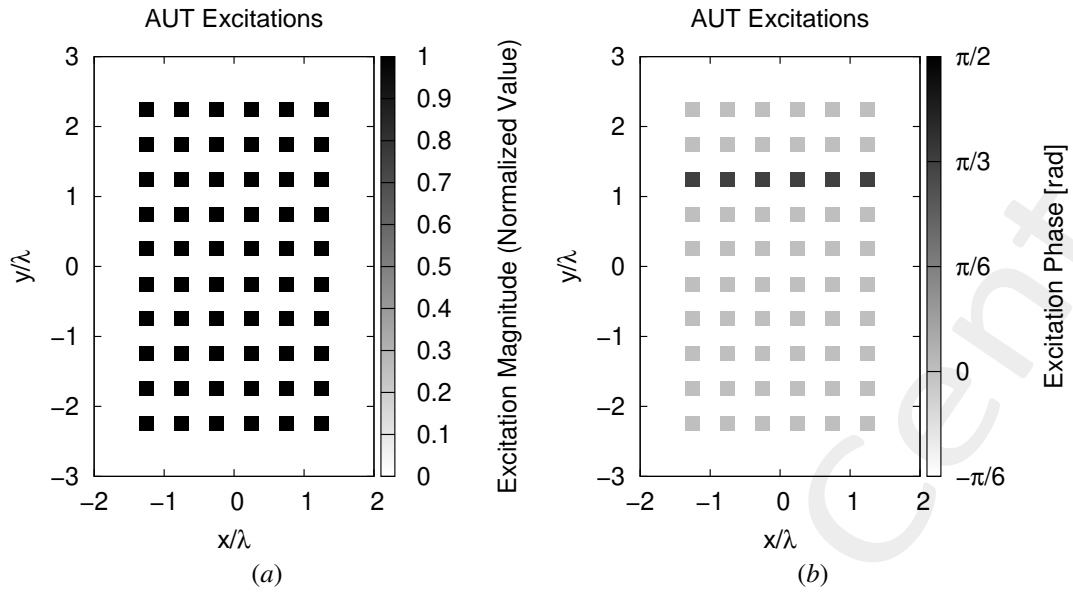


Figure 12: (a) Magnitude of the element excitations in the *AUT*, (b) phase of the element excitations in the *AUT* ($\gamma^{(3)} = \frac{\pi}{3}$ [rad]).

Measurement Set-Up

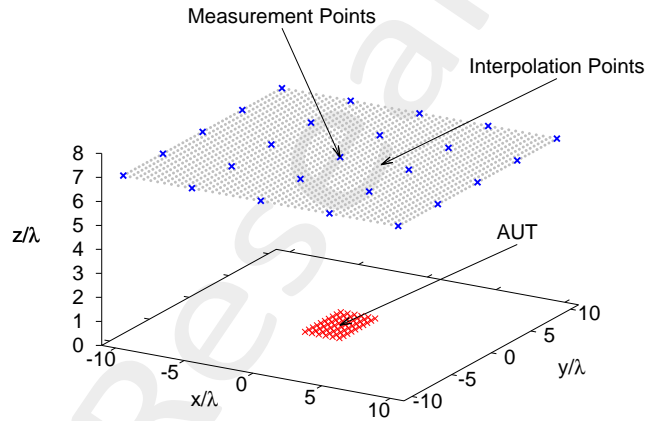


Figure 13: Disposition of the interpolation points ($T = 1681$) and of the measurement points ($M = 25$) in the near-field region of the *AUT*

- Type of measurements : near-field;
- Height of the measurement region : $H = 7$ [λ];
- Interpolation points :
 - Number of points : $T = 41 \times 41 = 1681$;
 - Coordinates : $x_t \in [-10, 10]$ [λ], $y_t \in [-10, 10]$ [λ], $z_t = H$ [λ], $t = 1, \dots, T$;
 - Interpolation step : $\Delta_{x/y}^{int} = 0.5$ [λ];
- Measurement points :
 - Coordinates : $x_m^{meas} \in [-10, 10]$ [λ], $y_m^{meas} \in [-10, 10]$ [λ], $z_m^{meas} = H$ [λ], $m = 1, \dots, M$;

- Number of points : $M_{x/y} = 5 \rightarrow M = 25$;
- Measurement step : $\Delta_{x/y}^{meas} = 5 [\lambda]$
- Ratio between number of measurements and total number of elements : $(M/N) = 0.42$;

Measurement-by-Design Technique

- Number of generated bases : $B = 20$;
- Bases $b = 1, \dots, 10$: magnitude failures in each row ($s = 1, \dots, 10$)
 - **Failure factor of the elements** : $\nu^{(s)} \in [0.0, 1.0]$, $s = 1, \dots, 10$;
 - Number of simulated failure factors : $F^{(s)} = 7$, $s = 1, \dots, 10$;
- Bases $b = 11, \dots, 20$: phase failures in each row ($s = 1, \dots, 10$)
 - **Phase shift of the elements** : $\gamma^{(s)} \in [-\pi, \pi] [rad]$, $s = 1, \dots, 10$;
 - Number of simulated phase shifts: $P^{(s)} = 5$, $s = 1, \dots, 10$;
- Threshold on the singular values magnitude (normalized) : $\eta = -40 [dB]$;
- Total number of simulated *AUT* configurations : $K = S \times (F^{(s)} + P^{(s)}) = 10 \times (7 + 5) = 120$;

Dimension of the Over-Complete Basis

The dimension of the over-complete basis is

$$Q = 40$$

This number is given by the sum of the vectors belonging to the two considered bases:

1. Magnitude failures : $Q_1, \dots, Q_{10} = 2$;
2. Phase failures : $Q_{11}, \dots, Q_{20} = 2$.

Alternative (BCS) MbD parameters

- Toleration factor for *BCS* solver: $Tolerance = 1 \times 10^{-8}$;
- Initial noise variance for *BCS* solver: $\eta_0^{opt1} = 10^{-2}$ and $\eta_0^{opt2} = 5 \times 10^{-4}$. This values have been obtained as a result of a calibration procedure;

Original (OMP) MbD parameters

- Max. number of iterations of the *OMP* algorithm : $I = \{1; 2; 3; \dots; 10\}$;
- Selected iteration to report the results: $I = 6$; this choice is justified by the fact that at this iteration the *OMP* algorithm reaches the best near field error as shown in the following Fig. 14.

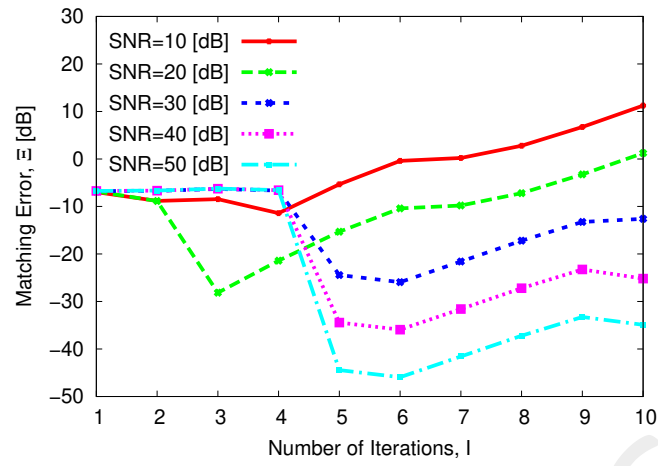


Figure 14: Behaviour of the near-field matching error versus the number of *OMP* iterations, I .

Noise

- *SNR* on the measured data : $SNR = \{50; 40; 30; 20; 10\} [dB]$;
- Noise seed : $Noise_Seed = 63$.

2.1 Comparison between original (*OMP*) and alternative (*BCS*) MbD

Near-Field Error

The comparison, in terms of near field error, between the original (*OMP*) and the alternative (*BCS*) MbD is reported in the following Fig. 15.

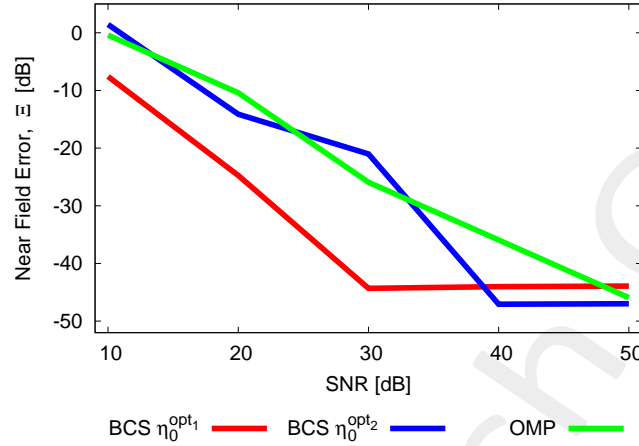


Figure 15: Near Field Error comparison between original (*OMP*) and alternative (*BCS*) MbD for different *SNR* values.

| <i>SNR</i> [dB] | Near Field Error, Ξ [dB] | | |
|-----------------|------------------------------|------------------|------------|
| | <i>BCS</i> | | <i>OMP</i> |
| | $\eta_0^{opt_1}$ | $\eta_0^{opt_2}$ | |
| 50 | -43.92 | -46.98 | -45.93 |
| 40 | -44.02 | -47.07 | -35.93 |
| 30 | -44.32 | -21.00 | -25.93 |
| 20 | -24.74 | -14.11 | -10.40 |
| 10 | -7.57 | 1.45 | -0.40 |

Table IV: Near Field Errors obtained by the original (*OMP*) and alternative (*BCS*) MbD

Observations

- The *OMP* algorithm is the one that in this test case achieves the worst result; in particular, the *OMP* error is quite high for $SNR < 30$ [dB] and good for higher *SNR* values, even its error curve is almost always above the *BCS* curves;
- About the *BCS* solver:
 - using $\eta_0^{opt_1}$, the *BCS* algorithm achieves the best result almost for the entire *SNR* range, with a remarkable (≥ 20 [dB]) error difference for $SNR = 30$ [dB];
 - using $\eta_0^{opt_2}$, the *BCS* obtains results comparable to those of the *OMP*, except for $SNR = 40$ [dB] where it outperforms the *OMP*.

Estimated Near-Field

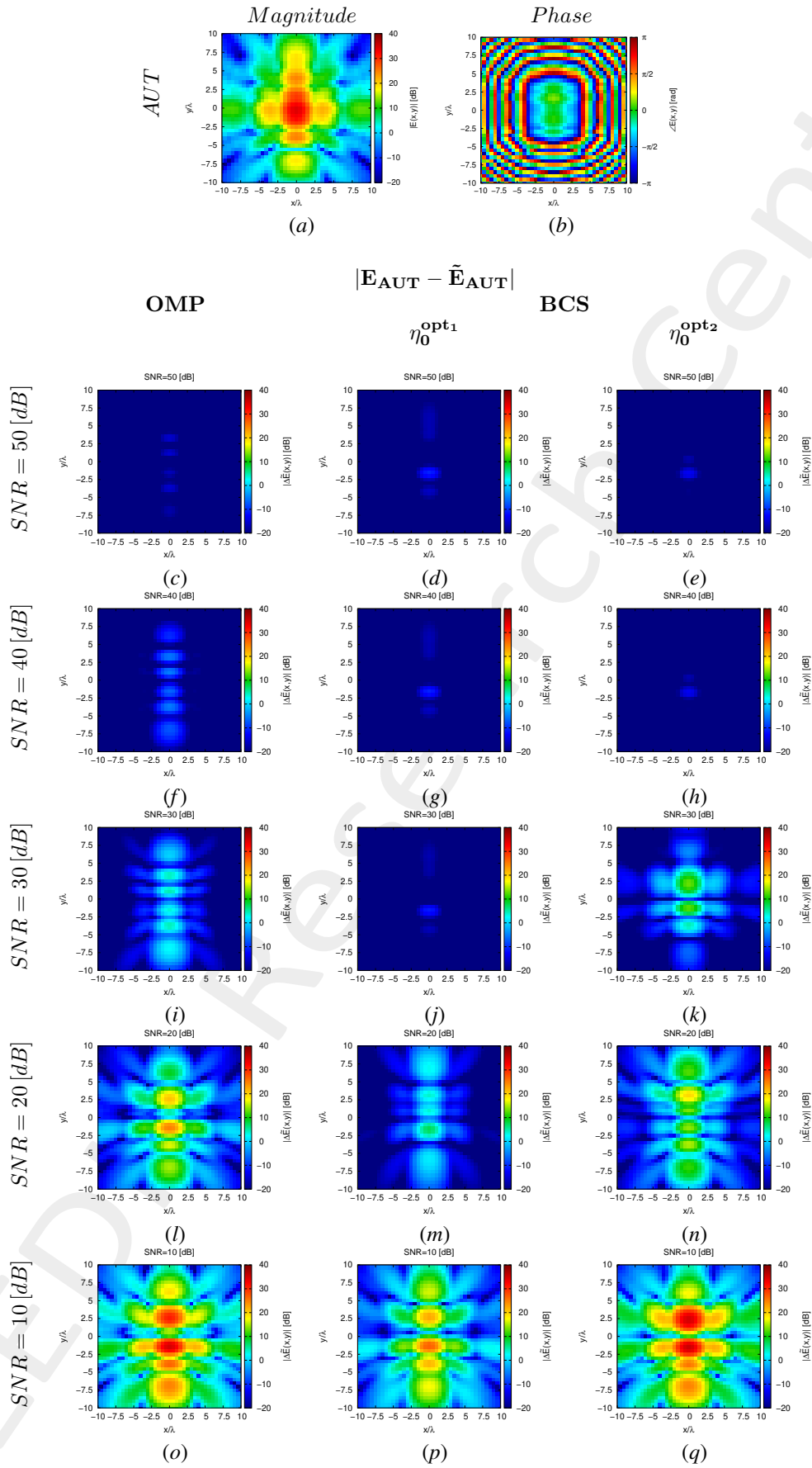


Figure 16: Magnitude difference between the actual and estimated 2 – D near-field pattern when processing noisy measurements at different SNRs.

Estimated Coefficients

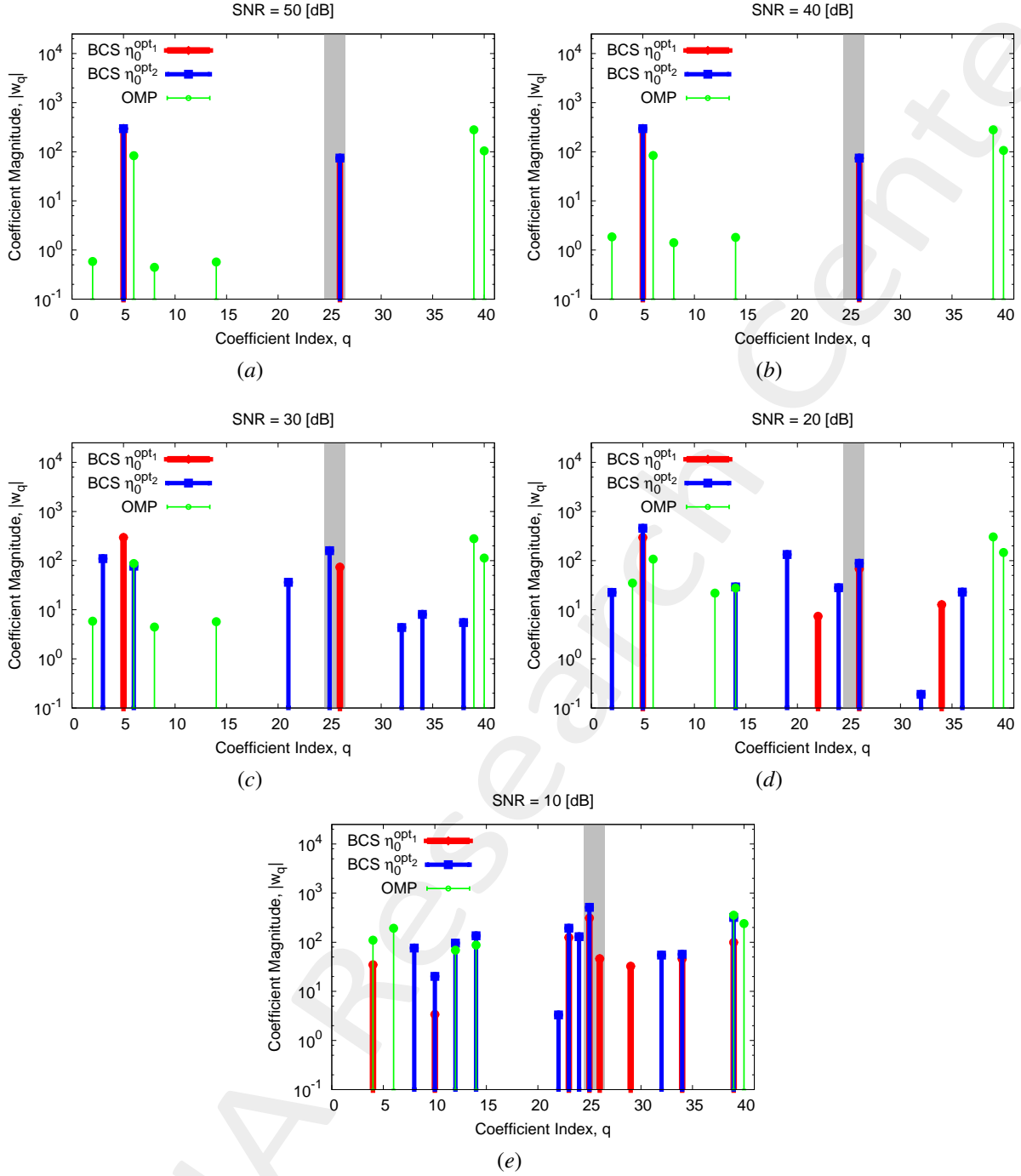


Figure 17: Coefficient comparison between original (*OMP*) and alternative (*BCS*) MbD : (a) $SNR = 50$ [dB], (b) $SNR = 40$ [dB], (c) $SNR = 30$ [dB], (d) $SNR = 20$ [dB], (e) $SNR = 10$ [dB]

Observations

- The *AUT* presents only a phase failure affecting the 3^{rd} row and the *OMP* algorithm is never able to detect this failure; in particular, the *OMP* selects mainly vectors related to magnitude rather than phase failures. Moreover, the *OMP* solver always selects the same 4 vectors whatever the SNR value (vector indexes $q = 6, 14, 39, 40$);
- The *BCS* always detects the phase failure affecting the *AUT*, even if for low SNR values this detection is not precise since many other vectors are chosen by the method; independently from the value of η_0 the vectors selected by the

BCS are the same for $SNR \geq 40$ [dB] (vector indexes $q = 5, 26$).

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2.1.1 OMP vs best BCS

The main idea of this section is to compare the performance of the *OMP* algorithm and the best *BCS* configuration.

Near-Field Error

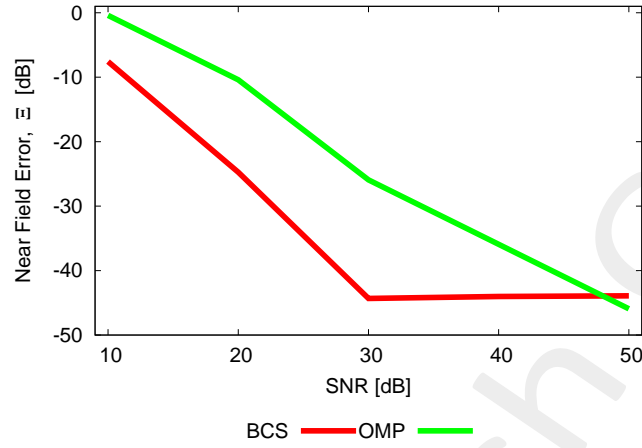


Figure 18: Near Field Error comparison between original (*OMP*) and alternative (*BCS*) MbD for different *SNR* values.

| <i>SNR</i> [dB] | Near Field Error, Ξ [dB] | |
|-----------------|------------------------------|------------|
| | <i>BCS</i> | <i>OMP</i> |
| 50 | -43.92 | -45.93 |
| 40 | -44.02 | -35.93 |
| 30 | -44.32 | -25.93 |
| 20 | -24.74 | -10.40 |
| 10 | -7.57 | -0.40 |

Table V: Near Field Errors obtained by the original (*OMP*) and alternative (*BCS*) MbD

Estimated Far-Field

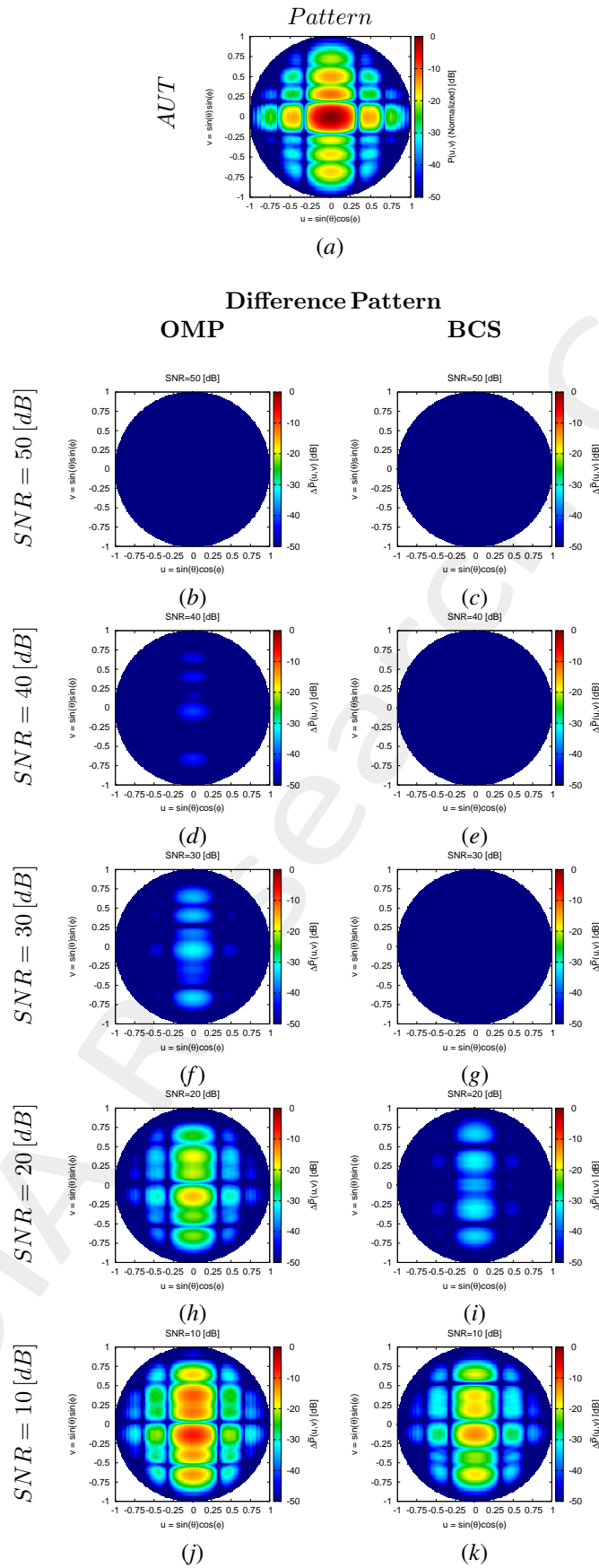


Figure 19: Difference between the actual and estimated 2 – D far-field pattern when processing noisy measurements at different $SNRs$.

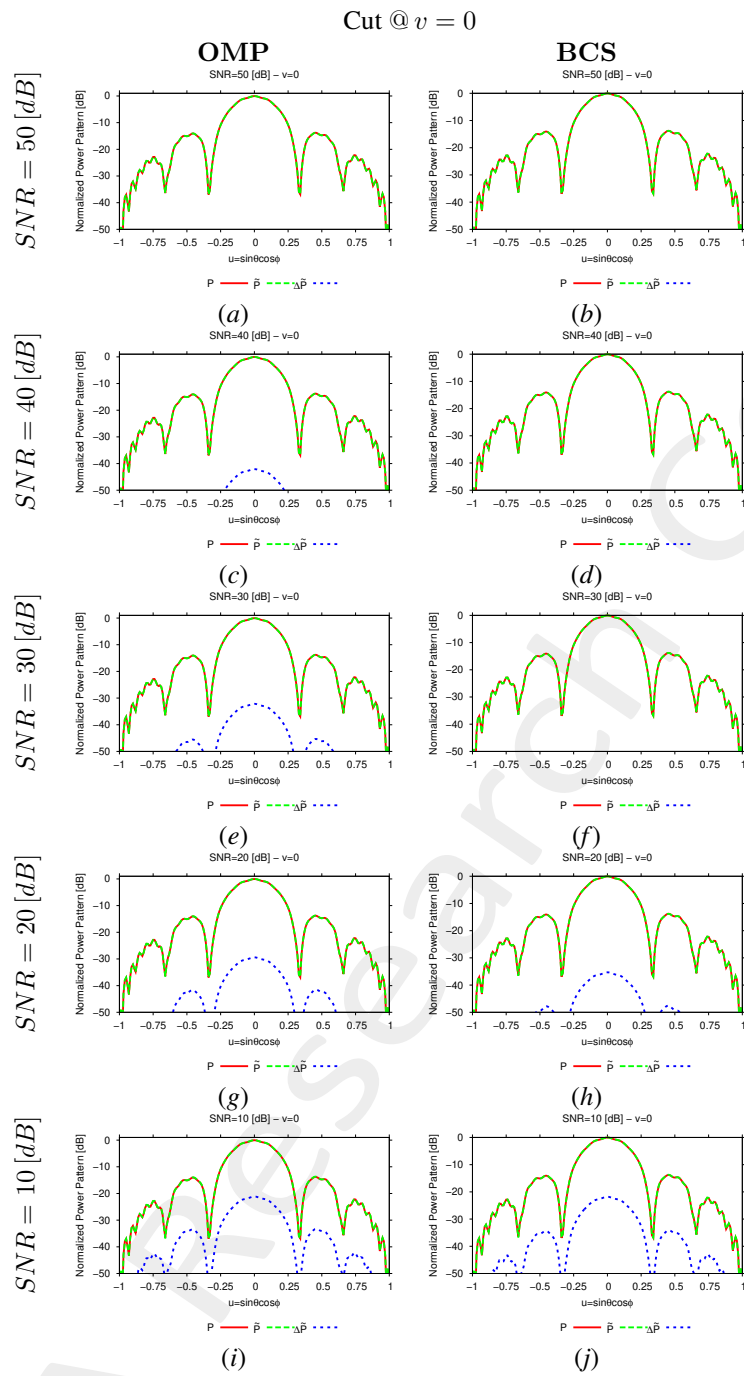


Figure 20: 1 – D cuts of the estimated far-field pattern (obtained through near-to-far-field transformation from the estimated near-field patterns) under several noisy conditions

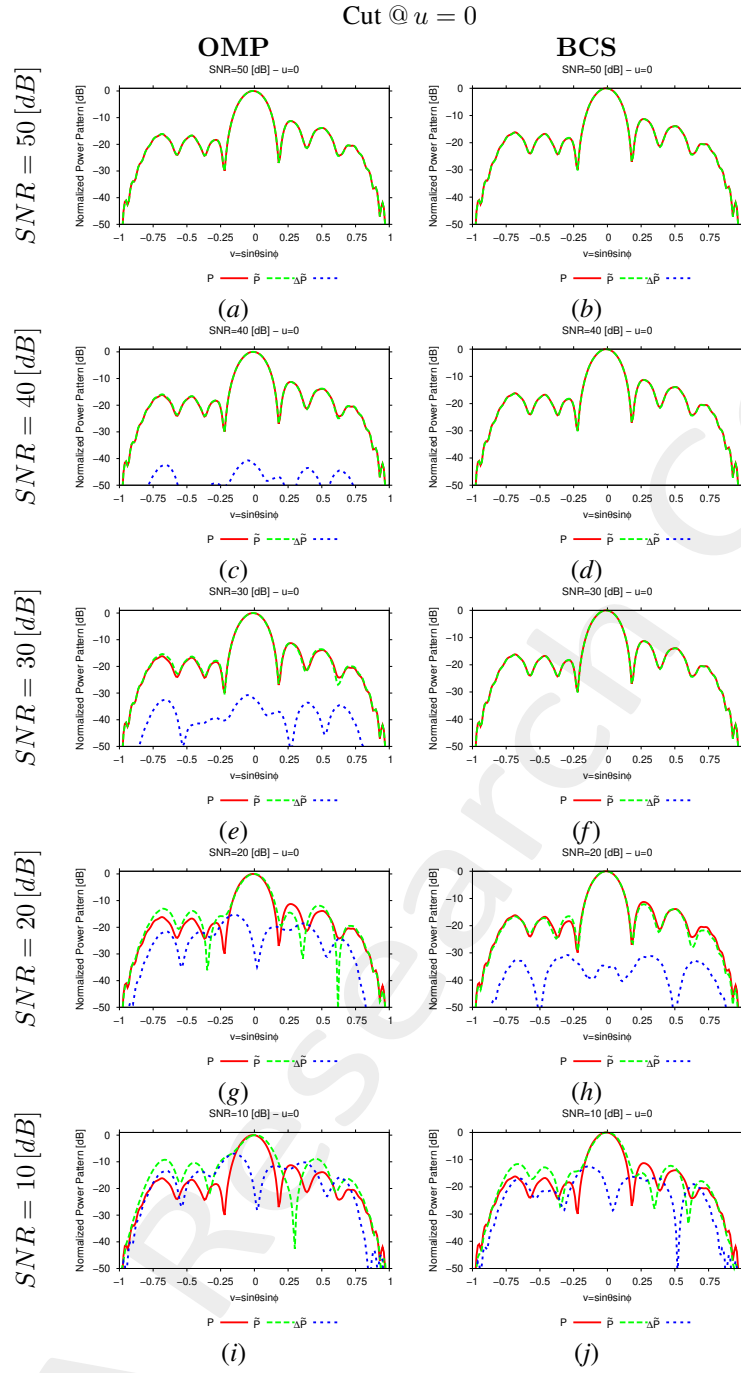


Figure 21: 1 – D cuts of the estimated far-field pattern (obtained through near-to-far-field transformation from the estimated near-field patterns) under several noisy conditions

| SNR [dB] | Far – Field Error, χ [dB] | |
|------------|--------------------------------|--------|
| | BCS | OMP |
| 50 | -45.31 | -47.28 |
| 40 | -45.36 | -37.30 |
| 30 | -45.52 | -27.37 |
| 20 | -25.82 | -12.35 |
| 10 | -9.47 | -4.06 |

Table VI: Far-field matching error between the actual and estimated AUT patterns (both obtained through near-to-far-field transformation from the corresponding near-field patterns) under several noisy conditions.

Estimated Coefficients

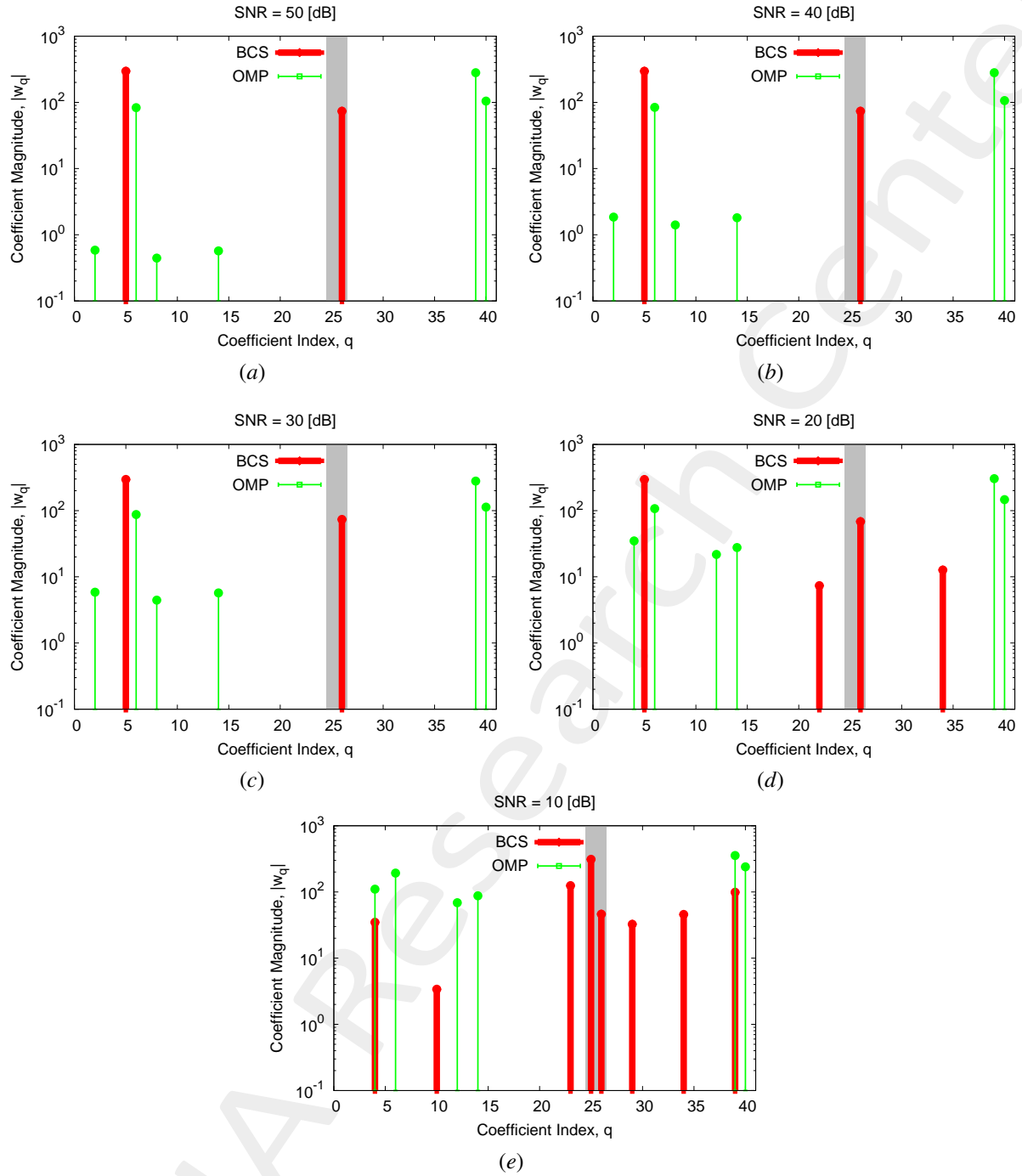


Figure 22: Coefficient comparison between original (*OMP*) and alternative (*BCS*) MbD: (a) $SNR = 50$ [dB], (b) $SNR = 40$ [dB], (c) $SNR = 30$ [dB], (d) $SNR = 20$ [dB], (e) $SNR = 10$ [dB]

More information on the topics of this document can be found in the following list of references.

References

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