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# **A Modular Approach for the Design of Phased Arrays Based on Self-Replicating L-Shaped Tiles**

**N. Anselmi, L. Tosi, P. Rocca, and A. Massa**

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# 1 Mathematical formulation

Let us consider a rectangular  $M \times N$  planar array, where each element represents an isotropic radiator, equally spaced by  $d_x$  and  $d_y$  along the x and y axis respectively. The array is partitioned into tiles gathering contiguous clusters of elements over single amplifiers. Assuming that the array is partitioned into  $Q < M \times N$  tiles, the far field pattern can be computed as

$$E(u, v) = \sum_{m=1}^M \sum_{n=1}^N \sum_{q=1}^Q a_q \delta_{c_{mn}q} e^{j \frac{2\pi}{\lambda} (d_x u + d_y v)} \quad (1)$$

where  $\underline{a} = \{a_q; q = 1, \dots, Q\}$ ,  $q=1, \dots, Q$  are the tiles amplification coefficients,  $\lambda$  is the wavelength, while  $u = \sin(\theta) \cos(\phi)$  and  $v = \sin(\theta) \sin(\phi)$ ,  $(\theta, \phi)$  being the angular direction. In Eq. 1 the tiling configuration is defined by the vector  $\underline{c} = \{c_{mn}; m = 1, \dots, M; n = 1, \dots, N\}$  which associates to each array element the tile index  $c_{mn} \in [1, \dots, Q]$ ,  $\delta_{c_{mn}q}$  being the Kronecker delta function, ( $\delta_{c_{mn}q} = 1$  if the (m,n)-th element belongs to the (q)-th cluster, otherwise  $\delta_{c_{mn}q} = 0$ ). Given a specific tiling of the array, the values of the amplifiers gains are obtained from a set of reference excitations amplitudes  $\underline{a}^{ref} = \{a_{mn}^{ref}; m = 1, \dots, M; n = 1, \dots, N\}$  as in the following:

$$a_q = \frac{1}{\gamma_q} \sum_{m=1}^M \sum_{n=1}^N a_{mn}^{ref} \delta_{c_{mn}q}, \quad q = 1, \dots, Q$$

where  $\gamma_q = \sum_{m=1}^M \sum_{n=1}^N \delta_{c_{mn}q}$  is the number of elements grouped by the (q)-th tile. The goal of the method is to achieve a radiated power pattern as compliant as possible to a power pattern mask  $\psi(u, v)$ , while using the lowest number of tiles. The discrepancy between  $\psi(u, v)$  and the radiated power pattern  $P(u, v) = |E(u, v)|^2$  is given by the mask matching metric defined as:

$$\Gamma(u, v) = \int_{\Omega} [P(u, v) - \psi(u, v)] H\{P(u, v) - \psi(u, v)\} du dv$$

To perform this task the chosen geometry for the tiles is the *L-tromino* (i.e., 3 cell L-shaped polyominoes). L-tromino can be tessellated using  $\sigma = 4$  smaller L-trominos.

The rep-tiling procedure can be divided into three macro steps:

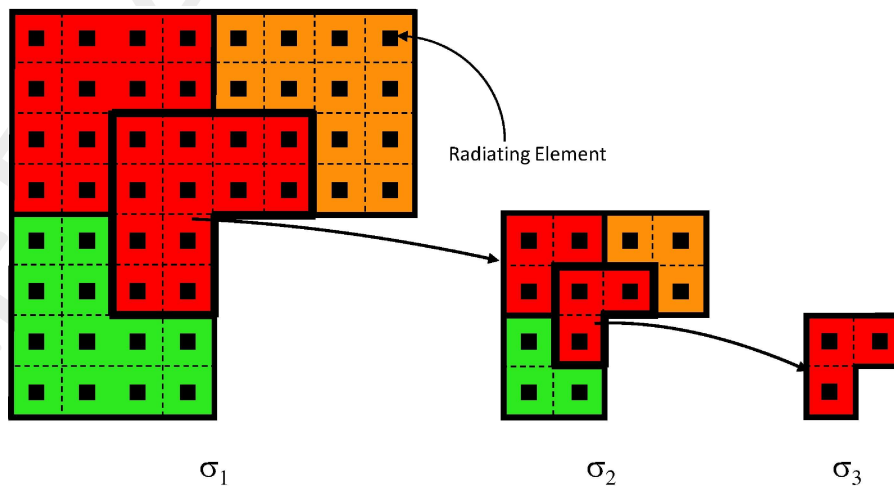


Figure 1: Three tiles levels,  $\sigma_1$  with  $\gamma_1 = 48$ ,  $\sigma_2$  with  $\gamma_2 = 12$  and,  $\sigma_3$  with  $\gamma_3 = 3$  elements

**Step 1:** The whole array is tiled using  $Q^{(i)}|_{i=1}$  large tiles, grouping  $\gamma_i$  elements,  $i$  being the recursive step index ( $\gamma_q^{(i)}|_{i=1} = 3 \times \sigma^{i_{max}-1}$ ,  $q = 1, \dots, Q^{(i)}$ , to allow  $i_{max}$  recursive steps for each cluster). The use of large tiles allows to exhaustively search the optimal configuration  $\underline{c}_{opt}^{(i)}$  and tiles amplitudes  $\underline{a}_{opt}^{(i)}$ , solving the following minimization problem

$$(\underline{c}_{opt}^{(i)}, \underline{a}_{opt}^{(i)}) = \arg \min[\Gamma(u, v; \underline{c}; \underline{a})]$$

The optimization problem is solved through a nested optimization approach, exploiting the Algorithm-X for the generation of the whole set of existing L-tromino tilings, and using (2) for the computation of the clusters amplitude coefficients.

**Step 2** If  $\Gamma > \Gamma_{max}$  or  $Q < Q_{max}$  the tiling step is incremented [ $i \leftarrow (i + 1)$ ] and a priority function is defined for each tile of the current iterative step as

$$\xi_q = \frac{1}{\xi_{max}} \sum_{m=1}^M \sum_{n=1}^N |\operatorname{Re}\{a_{mn}^{ref} - a_q\} + j\operatorname{Im}\{a_{mn}^{ref} - a_q\}| \delta_{c_{mn}q}, q = 1, \dots, Q^{(i)}$$

where  $\xi_{max}$  is the normalization factor. This function is used to evaluate amplitudes quantization errors with respect to the reference distribution for each tile.

**Step 3** The priority values  $\xi_q^{(i)}$ ,  $q = 1, \dots, Q^{(i)}$  are sorted in a descending order, and the first  $K$  tiles corresponding to the first  $K$  sorted  $\xi_q^{(i)}$  values are re-tiled using the respective smaller rep-tiles.

At this point if  $\Gamma < \Gamma_{max}$  and  $Q < Q_{max}$  the second and third step are iterated until the requirement is met or the maximum number of clusters is reached, or alternatively when all clusters have reached the  $i_{max}$  level of clustering.

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## 2 Array $6 \times 9$

### L Tromino Tiling

#### Parameters

- Number of elements:  $6 \times 9$  elements array, grouped in 18 clusters of 3
- Number of rows: 6
- Number of columns: 9
- Samples:  $u \rightarrow 384, v \rightarrow 384$
- Evaluated tilings:  $T = 3412$
- Elements spacing:  $dx = dy = 0.5\lambda$

The cost function only considers the mask matching.

#### Results

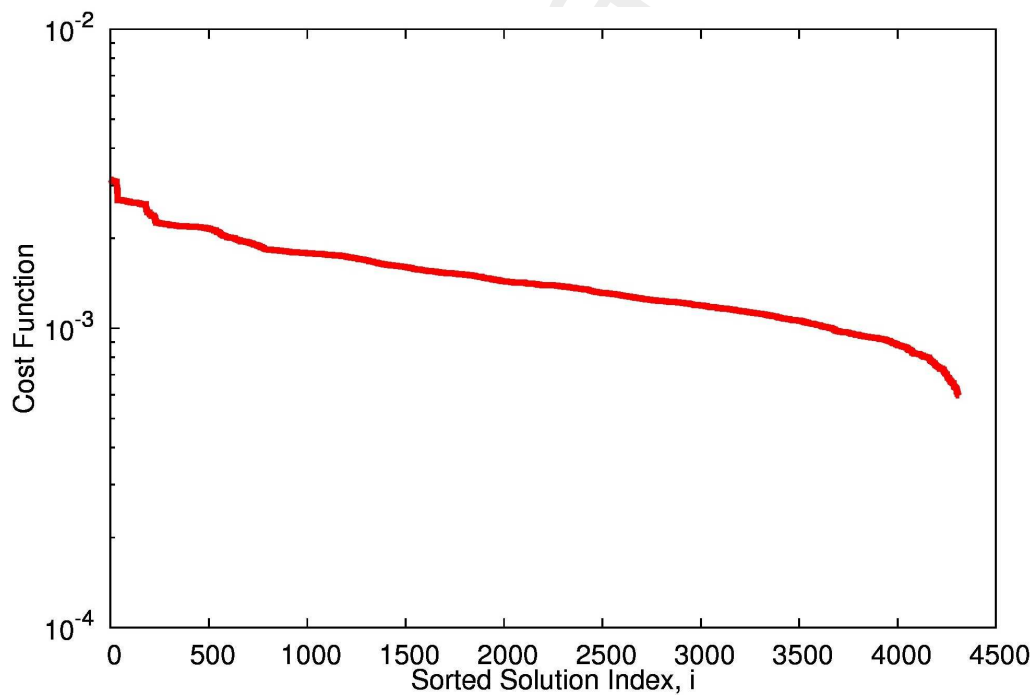


Figure 2: Solution index vs Cost function.

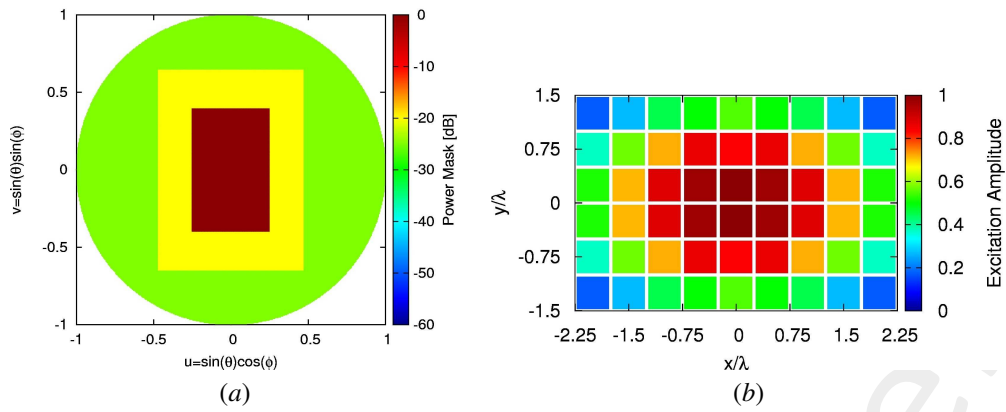


Figure 3: (a) Mask used for the computation of the cost function (b) Reference amplitudes.

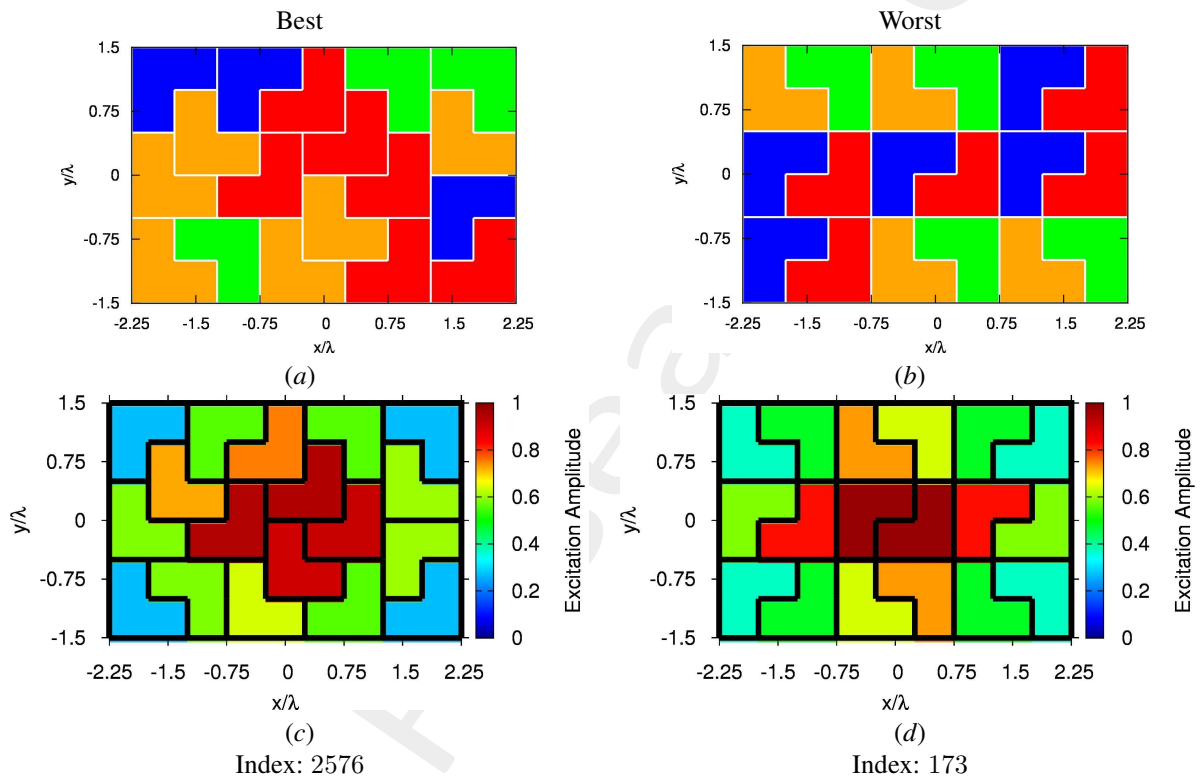


Figure 4: Numerical Assessment ( $M = 6$ ,  $N = 9$ ,  $d = 0.5\lambda$ ,  $(\theta_0, \phi_0) = (0.0, 0.0)$  [deg];  $Q = 18$ ) - Plots of (a) optimal solution clustering and of the (b) worst solution clustering, with the respective (c) clustered excitations value for the best solution and (d) the clustered excitations for the worst performance solution.

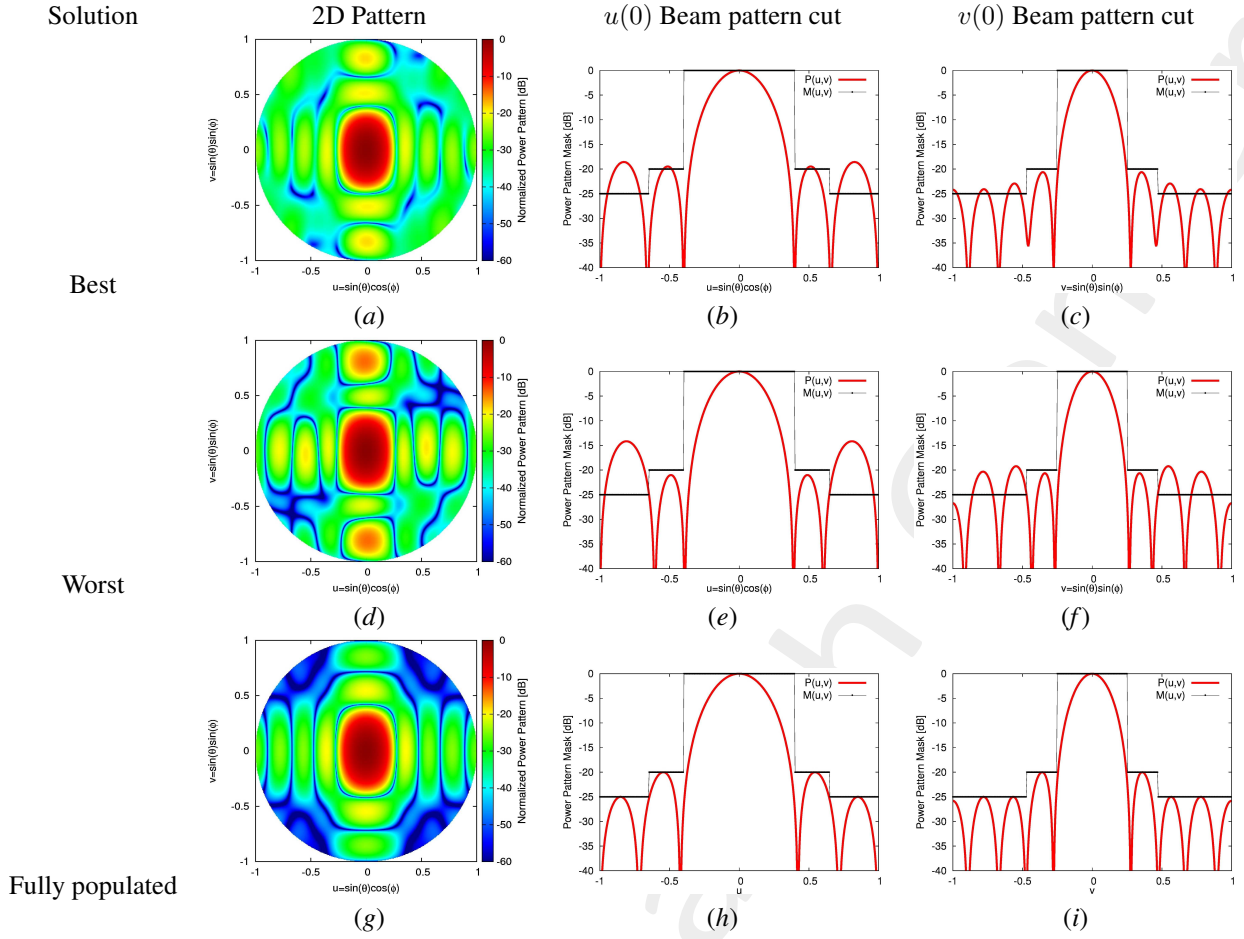


Figure 5: Numerical Assessment ( $M = 6$ ,  $N = 9$ ,  $d = 0.5\lambda$ ,  $(\theta_0, \phi_0) = (0.0, 0.0)$  [deg];  $Q = 18$ ) - Plots of (a) the normalized power pattern radiated in the whole angular range ( $-1 \leq u \leq 1$ ,  $-1 \leq v \leq 1$ ) and along (b) the  $\phi = 0$  [deg] and (c) the  $\phi = 90$  [deg] planes for the best solution. Plots of (d) the normalized power pattern radiated in the whole angular range ( $-1 \leq u \leq 1$ ,  $-1 \leq v \leq 1$ ) and along (e) the  $\phi = 0$  [deg] and (f) the  $\phi = 90$  [deg] planes for the worst solution. Plots of (g) the normalized power pattern radiated in the whole angular range ( $-1 \leq u \leq 1$ ,  $-1 \leq v \leq 1$ ) and along (h) the  $\phi = 0$  [deg] and (i) the  $\phi = 90$  [deg] planes for the best solution.

Solution	SLL [dB]	Max. Directivity [dBi]	Mask Matching	HPBW (AZ) [deg]	HPBW (EL) [deg]
Best	-18.557	21.569	$5.983 \times 10^{-4}$	13.03	19.13
Worst	-14.121	21.596	$3.131 \times 10^{-3}$	12.64	18.64
Fully populated	-20.000	21.525	$4.593 \times 10^{-10}$	13.13	20.03

Table I: Numerical Assessment ( $M = 6$ ,  $N = 9$ ,  $d = 0.5\lambda$ ,  $(\theta_0, \phi_0) = (0.0, 0.0)$  [deg]) - Pattern features obtained parameters.

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More information on the topics of this document can be found in the following list of references.

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