# Failure Correction in Linear Arrays with Compressive Sensing - A Comparative Analysis

F. Zardi, G. Oliveri, M. Salucci, and A. Massa

# Contents

1	Nur	nerical	l Results - Non-Iterative MCFC	3
	1.1	Test c	ase 3—Comparison with [Yeo 1999], N=32, 2 faulty elements	3
		1.1.1	Goal of the analysis	3
		1.1.2	Parameters	3
		1.1.3	Results	4
		1.1.4	Observations	7
	1.2	Test c	ase 4—Comparison with [Yeo 1999], N=32, 3 faulty elements	8
		1.2.1	Goal of the analysis	8
		1.2.2	Parameters	8
		1.2.3	Results	9
		1.2.4	Observations	12

# 1 Numerical Results - Non-Iterative MCFC

## 1.1 Test case 3—Comparison with [Yeo 1999], N=32, 2 faulty elements

#### 1.1.1 Goal of the analysis

The goal of test case 3 is that of comparing the MFC method developed with the one presented by Yeo et al. in [Yeo.1999], which is based on Genetic Algorithms. We expect that the corrected pattern obtained with the two methods is similar. Moreover, we expect that the number of excitations changed by the proposed method is lower.

#### 1.1.2 Parameters

The array considered in test case 3 has the following properties

- Number of array elements: N = 32
- Tapering: provided in Table I of [Yeo.1999], SLL=-30 [dB]
- Damaged element indexes set:  $\Omega = \{2, 5\}$
- Number of faulty elements: D=2

Figure 1 shows the original excitations and the damaged ones.

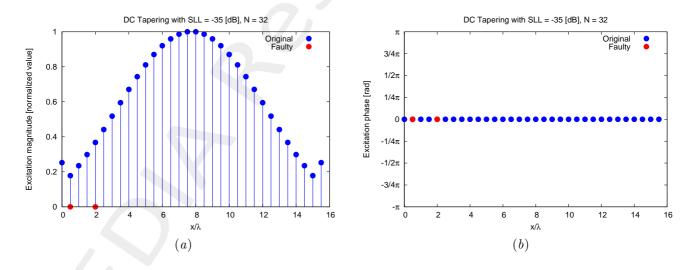


Figure 1: Original and damaged excitations for the array considered in test case 3: amplitude (a) and phase (b).

The parameters used to configure the software are the following:

- Phase 1
  - Desired SLL:  $SLL^{(1)} = -35.5$  [dB]

- Mask main lobe width:  $BW^{(1)} = 13.05$  [deg]

– Mask u samples count:  $K^{(1)} = 320$ 

• Phase 2

– Desired SLL:  $SLL^{(1)} = -35$  [dB]

- Mask main lobe width:  $BW^{(2)} = 13.05$  [deg]

– Mask u samples count:  $K^{(2)} = 320$ 

• Use Hessian: Yes

#### 1.1.3 Results

Figure 2 compares the original excitations with the corrected excitations obtained with the proposed method.

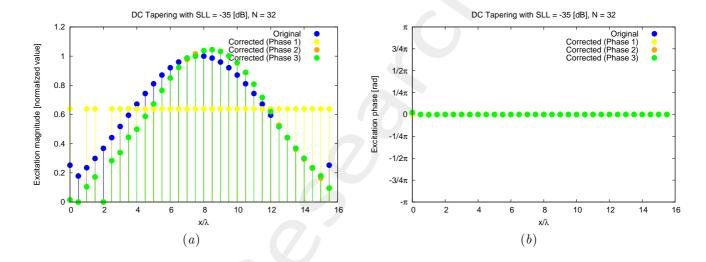


Figure 2: Original and corrected excitations for the array considered in test case 1: amplitude (a) and phase (b).

Figure 3 compares the original, faulty and corrected radiation patterns.

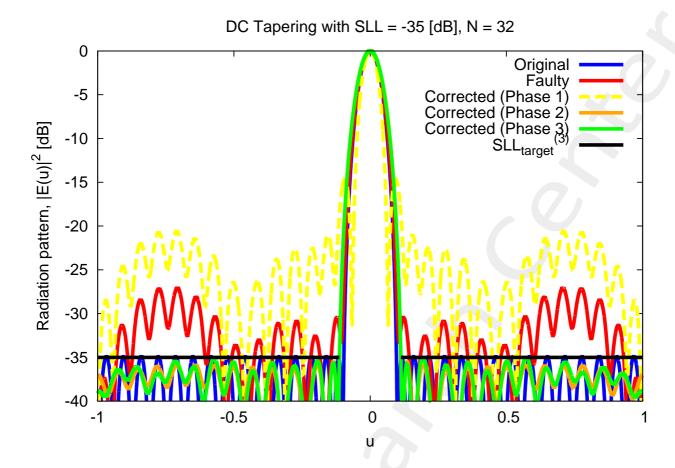


Figure 3: The radiation pattern for the original, faulty and corrected excitations.

Figure 4 shows the value of the L1-norm cost function for each iteration of the algorithm.

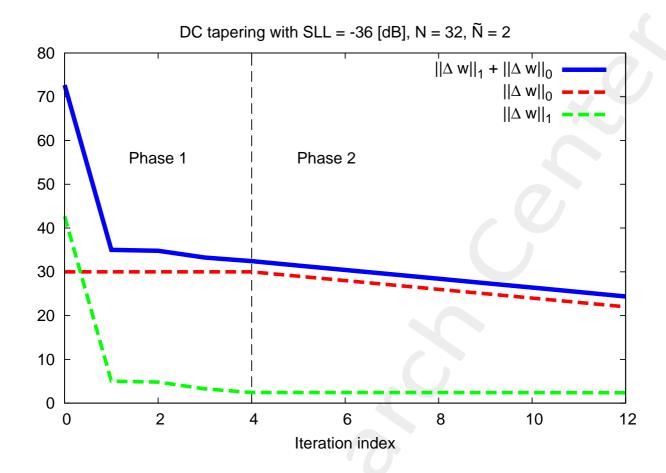


Figure 4: The value of the L1-norm cost function for each iteration of the algorithm.

Table I reports the SLL of the radiation patterns for the original, faulty and corrected excitations.

	Pattern SLL [dB]	HPBW [deg]	DRR	$\left\ \mathbf{w}_{\mathrm{corr,mut}} - \mathbf{w}_{\mathrm{orig,mut}}\right\ _{1}$	$\left\ \mathbf{w}_{\mathrm{corr,mut}} - \mathbf{w}_{\mathrm{orig,mut}}\right\ _{0}$
Original excitations	-34.92	4.15	0.178		
Faulty excitations	-27.11	4.29	0.178		
Corrected excitations (init.)	-14.67	3.31	1.0	7.42	30
Corrected excitations (Phase 1)	-35.55	4.77	0.0137	2.44	30
Corrected excitations (Phase 2)	-35.04	4.75	0.0137	2.37	22
State of the art [Yeo.1999]	-34.76	4.78	0.0584	3.57	30

Table I: Comparison of the original, faulty and corrected excitations.

Figure 5 shows the corrected patterns obtained with the proposed and reference methods are shown, along with the original and faulty patterns.

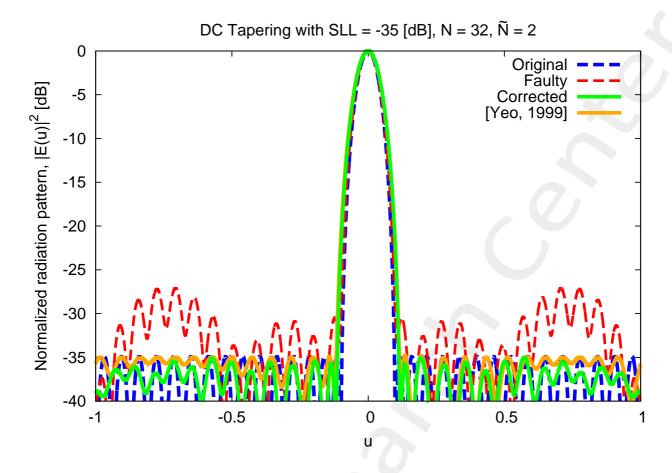


Figure 5: The corrected patterns obtained with the proposed and reference methods are shown, along with the original and faulty patterns.

#### 1.1.4 Observations

The proposed method succeeded in providing a set of corrected excitations. Moreover, it provided a solution that matches the radiation power of the original pattern, has better beamwidth and SLL than the solution presented in [Yeo.1999], and uses fewer corrections.

## 1.2 Test case 4—Comparison with [Yeo 1999], N=32, 3 faulty elements

#### 1.2.1 Goal of the analysis

The goal of test case 4 is that of comparing the MFC method developed with the one presented by Yeo et al. in [Yeo.1999], which is based on Genetic Algorithms. We expect that the corrected pattern obtained with the two methods is similar. Moreover, we expect that the number of excitations changed by the proposed method is lower.

#### 1.2.2 Parameters

The array considered in test case 4 has the following properties

• Number of array elements: N = 32

• Tapering: provided in Table I of [Yeo.1999], SLL=-30 [dB]

• Damaged element indexes set:  $\Omega = \{2, 5, 6\}$ 

• Number of faulty elements: D=3

• Damaged element excitation:  $\mathbf{w}_{corr,immut} = [0, 0, 0]$ 

Figure 6 shows the original excitations and the damaged ones.

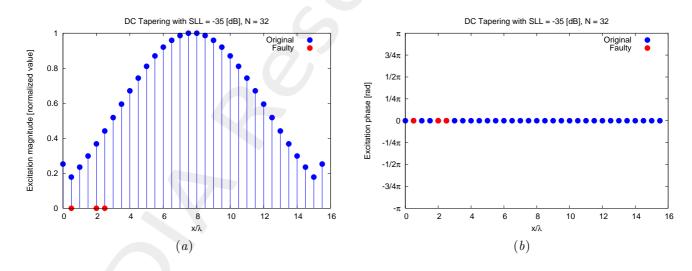


Figure 6: Original and damaged excitations for the array considered in test case 4: amplitude (a) and phase (b).

The parameters used to configure the software are the following:

- Phase 1
  - Desired SLL:  $SLL^{(1)} = -35.7$  [dB]
  - Mask main lobe width:  $BW^{(1)} = 14.2$  [deg]

– Mask u samples count:  $K^{(1)} = 320$ 

• Phase 2

- Desired SLL:  $SLL^{(2)} = -35.28$  [dB]

- Mask main lobe width:  $BW^{(2)} = 14.2$  [deg]

– Mask u samples count:  $K^{(2)} = 320$ 

• Use Hessian: Yes

#### 1.2.3 Results

Figure 7 compares the original excitations with the corrected excitations obtained with the proposed method.

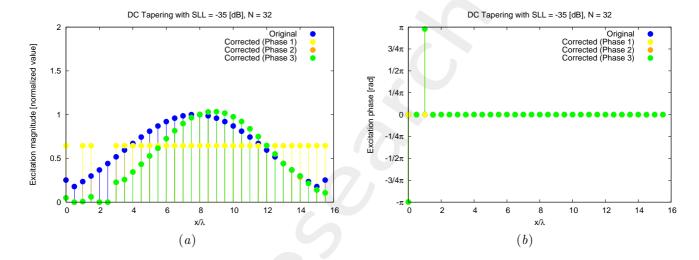


Figure 7: Original and corrected excitations for the array considered in test case 1: amplitude (a) and phase (b).

Figure 8 compares the original, faulty and corrected radiation patterns.

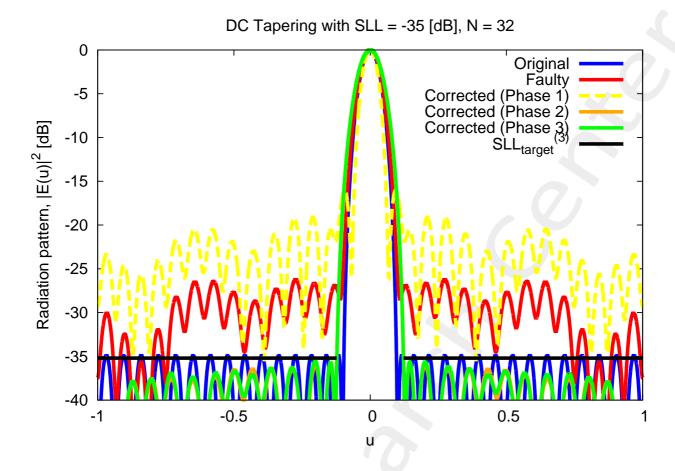


Figure 8: The radiation pattern for the original, faulty and corrected excitations.

Figure 9 shows the value of the L1-norm cost function for each iteration of the algorithm.

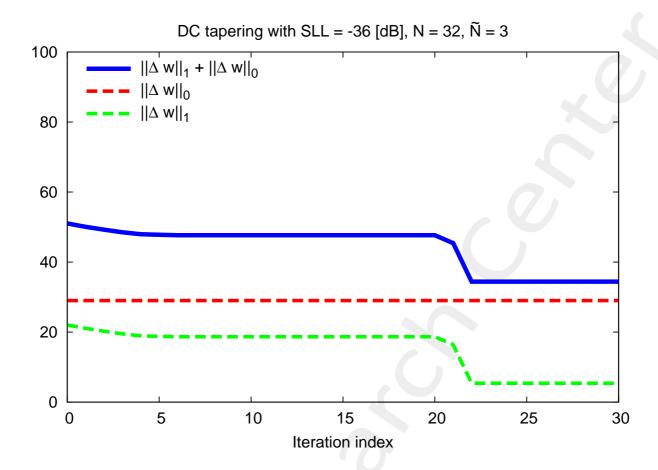


Figure 9: The value of the L1-norm cost function for each iteration of the algorithm.

Table II reports the SLL of the radiation patterns for the original, faulty and corrected excitations.

	Pattern SLL [dB]	HPBW [deg]	DRR	$\left\ \mathbf{w}_{\mathrm{corr,mut}} - \mathbf{w}_{\mathrm{orig,mut}}\right\ _{1}$	$\left\ \mathbf{w}_{\mathrm{corr,mut}} - \mathbf{w}_{\mathrm{orig,mut}}\right\ _{0}$
Original excitations	-34.92	4.15	0.178		
Faulty excitations	-26.24	4.38	0.178		
Corrected excitations (init.)	-16.08	3.35	1.0	7.20	29
Corrected excitations (Phase 1)	-35.75	5.26	0.005	3.92	29
Corrected excitations (Phase 2)	-35.28	5.25	0.005	3.87	24
State of the art [Yeo.1999]	-35.28	5.31	0.041	5.62	29

Table II: Comparison of the original, faulty and corrected excitations.

Figure 10 shows the corrected patterns obtained with the proposed and reference methods are shown, along with the original and faulty patterns.

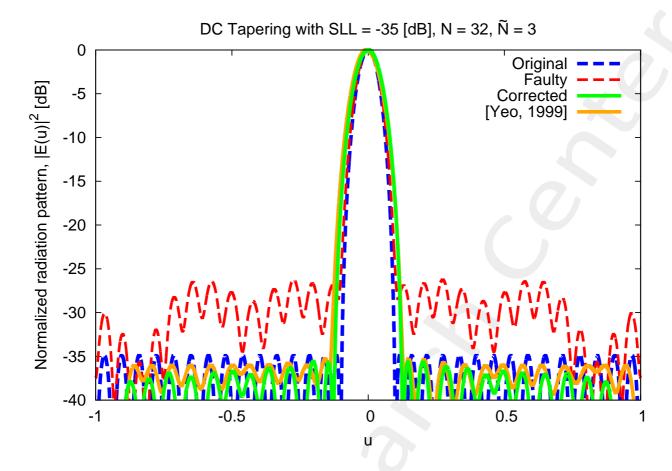


Figure 10: The corrected patterns obtained with the proposed and reference methods are shown, along with the original and faulty patterns.

# 1.2.4 Observations

The proposed method succeeded in providing a set of corrected excitations. Moreover, it provided a solution whose SLL and HPBW is slightly batter those of the solution provided by the method presented in [Yeo.1999]. Finally, the solution of the proposed method matches the peak of the original pattern.

However, the number of excitations changed is rather high (24 out of 29).

More information on the topics of this document can be found in the following list of references.

# References

- [1] A. Massa, P. Rocca, and G. Oliveri, "Compressive sensing in electromagnetics A review," *IEEE Antennas Propag. Mag.*, pp. 224-238, vol. 57, no. 1, Feb. 2015.
- [2] A. Massa and F. Texeira, "Guest-Editorial: Special Cluster on Compressive Sensing as Applied to Electromagnetics," *IEEE Antennas Wireless Propag. Lett.*, vol. 14, pp. 1022-1026, 2015.
- [3] F. Zardi, G. Oliveri, M. Salucci, and A. Massa, "Minimum-complexity failure correction in linear arrays via compressive processing," *IEEE Trans. Antennas Propag.*, vol. 69, no. 8, pp. 4504-4516, Aug. 2021.
- [4] N. Anselmi, G. Gottardi, G. Oliveri, and A. Massa, "A total-variation sparseness-promoting method for the synthesis of contiguously clustered linear architectures" *IEEE Trans. Antennas Propag.*, vol. 67, no. 7, pp. 4589-4601, Jul. 2019.
- [5] M. Salucci, A. Gelmini, G. Oliveri, and A. Massa, "Planar arrays diagnosis by means of an advanced Bayesian compressive processing," *IEEE Tran. Antennas Propag.*, vol. 66, no. 11, pp. 5892-5906, Nov. 2018.
- [6] L. Poli, G. Oliveri, P. Rocca, M. Salucci, and A. Massa, "Long-Distance WPT Unconventional Arrays Synthesis" Journal of Electromagnetic Waves and Applications, vol. 31, no. 14, pp. 1399-1420, Jul. 2017.
- [7] G. Oliveri, M. Salucci, and A. Massa, "Synthesis of modular contiguously clustered linear arrays through a sparseness-regularized solver," *IEEE Trans. Antennas Propag.*, vol. 64, no. 10, pp. 4277-4287, Oct. 2016.
- [8] M. Carlin, G. Oliveri, and A. Massa, "Hybrid BCS-deterministic approach for sparse concentric ring isophoric arrays," *IEEE Trans. Antennas Propag.*, vol. 63, no. 1, pp. 378-383, Jan. 2015.
- [9] G. Oliveri, E. T. Bekele, F. Robol, and A. Massa, "Sparsening conformal arrays through a versatile BCS-based method," *IEEE Trans. Antennas Propag.*, vol. 62, no. 4, pp. 1681-1689, Apr. 2014.
- [10] F. Viani, G. Oliveri, and A. Massa, "Compressive sensing pattern matching techniques for synthesizing planar sparse arrays," *IEEE Trans. Antennas Propag.*, vol. 61, no. 9, pp. 4577-4587, Sept. 2013.
- [11] G. Oliveri, P. Rocca, and A. Massa, "Reliable diagnosis of large linear arrays A Bayesian Compressive Sensing approach," *IEEE Trans. Antennas Propag.*, vol. 60, no. 10, pp. 4627-4636, Oct. 2012.
- [12] G. Oliveri, M. Carlin, and A. Massa, "Complex-weight sparse linear array synthesis by Bayesian Compressive Sampling," *IEEE Trans. Antennas Propag.*, vol. 60, no. 5, pp. 2309-2326, May 2012.
- [13] G. Oliveri and A. Massa, "Bayesian compressive sampling for pattern synthesis with maximally sparse non-uniform linear arrays," *IEEE Trans. Antennas Propag.*, vol. 59, no. 2, pp. 467-481, Feb. 2011.

- [14] P. Rocca, M. A. Hannan, M. Salucci, and A. Massa, "Single-snapshot DoA estimation in array antennas with mutual coupling through a multi-scaling BCS strategy," *IEEE Trans. Antennas Propag.*, vol. 65, no. 6, pp. 3203-3213, Jun. 2017.
- [15] B. Li, M. Salucci, W. Tang, and P. Rocca, "Reliable field strength prediction through an adaptive total-variation CS technique," *IEEE Antennas Wireless Propag. Lett.*, vol. 19, no. 9, pp. 1566-1570, Sep. 2020.
- [16] M. Salucci, M. D. Migliore, P. Rocca, A. Polo, and A. Massa, "Reliable antenna measurements in a near-field cylindrical setup with a sparsity promoting approach," *IEEE Trans. Antennas Propag.*, vol. 68, no. 5, pp. 4143-4148, May 2020.
- [17] A. Benoni, P. Rocca, N. Anselmi, and A. Massa, "Hilbert-ordering based clustering of complex-excitations linear arrays," *IEEE Trans. Antennas Propag.*, vol. 70, no. 8, pp. 6751-6762, Aug. 2022.
- [18] P. Rocca, L. Poli, N. Anselmi, and A. Massa, "Nested optimization for the synthesis of asymmetric shaped beam patterns in sub-arrayed linear antenna arrays," *IEEE Trans. Antennas Propag.*, vol. 70, no. 5, pp. 3385 - 3397, May 2022.
- [19] P. Rocca, L. Poli, A. Polo, and A. Massa, "Optimal excitation matching strategy for sub-arrayed phased linear arrays generating arbitrary shaped beams," *IEEE Trans. Antennas Propag.*, vol. 68, no. 6, pp. 4638-4647, Jun. 2020.
- [20] G. Oliveri, G. Gottardi and A. Massa, "A new meta-paradigm for the synthesis of antenna arrays for future wireless communications," *IEEE Trans. Antennas Propag.*, vol. 67, no. 6, pp. 3774-3788, Jun. 2019.
- [21] P. Rocca, M. H. Hannan, L. Poli, N. Anselmi, and A. Massa, "Optimal phase-matching strategy for beam scanning of sub-arrayed phased arrays," *IEEE Trans. Antennas Propag.*, vol. 67, no. 2, pp. 951-959, Feb. 2019.
- [22] N. Anselmi, P. Rocca, M. Salucci, and A. Massa, "Contiguous phase-clustering in multibeam-on-receive scanning arrays" *IEEE Trans. Antennas Propag.*, vol. 66, no. 11, pp. 5879-5891, Nov. 2018.
- [23] G. Gottardi, L. Poli, P. Rocca, A. Montanari, A. Aprile, and A. Massa, "Optimal Monopulse Beamforming for Side-Looking Airborne Radars," *IEEE Antennas Wireless Propag. Lett.*, vol. 16, pp. 1221-1224, 2017.
- [24] P. Rocca, G. Oliveri, R. J. Mailloux, and A. Massa, "Unconventional phased array architectures and design Methodologies - A review" Proceedings of the IEEE - Special Issue on 'Phased Array Technologies', Invited Paper, vol. 104, no. 3, pp. 544-560, March 2016.
- [25] P. Rocca, M. D'Urso, and L. Poli, "Advanced strategy for large antenna array design with subarray-only amplitude and phase control," *IEEE Antennas and Wireless Propag. Lett.*, vol. 13, pp. 91-94, 2014.
- [26] L. Manica, P. Rocca, G. Oliveri, and A. Massa, "Synthesis of multi-beam sub-arrayed antennas through an excitation matching strategy," *IEEE Trans. Antennas Propag.*, vol. 59, no. 2, pp. 482-492, Feb. 2011.

- [27] M. Salucci, G. Oliveri, and A. Massa, "An innovative inverse source approach for the feasibility-driven design of reflectarrays," *IEEE Trans. Antennas Propag.*, vol. 70, no. 7, pp. 5468-5480, July 2022.
- [28] L. T. P. Bui, N. Anselmi, T. Isernia, P. Rocca, and A. F. Morabito, "On bandwidth maximization of fixed-geometry arrays through convex programming," *Journal of Electromagnetic Waves and Applications*, vol. 34, no. 5, pp. 581-600, 2020.
- [29] N. Anselmi, L. Poli, P. Rocca, and A. Massa, "Design of simplified array layouts for preliminary experimental testing and validation of large AESAs," *IEEE Trans. Antennas Propag.*, vol. 66, no. 12, pp. 6906-6920, Dec. 2018.
- [30] G. Gottardi, L. Poli, P. Rocca, A. Montanari, A. Aprile, and A. Massa, "Optimal Monopulse Beamforming for Side-Looking Airborne Radars," *IEEE Antennas Wireless Propag. Lett.*, vol. 16, pp. 1221-1224, 2017.
- [31] G. Oliveri and T. Moriyama, "Hybrid PS-CP technique for the synthesis of n-uniform linear arrays with maximum directivi" *Journal of Electromagnetic Waves and Applications*, vol. 29, no. 1, pp. 113-123, Jan. 2015.
- [32] P. Rocca and A. Morabito, "Optimal synthesis of reconfigurable planar arrays with simplified architectures for monopulse radar applications" *IEEE Trans. Antennas Propag.*, vol. 63, no. 3, pp. 1048-1058, Mar. 2015.
- [33] A. F. Morabito and P. Rocca, "Reducing the number of elements in phase-only reconfigurable arrays generating sum and difference patterns," *IEEE Antennas Wireless Propag. Lett.*, vol. 14, pp. 1338-1341, 2015.
- [34] P. Rocca, N. Anselmi, and A. Massa, "Optimal synthesis of robust beamformer weights exploiting interval analysis and convex optimization," *IEEE Trans. Antennas Propag.*, vol. 62, no. 7, pp. 3603-3612, Jul. 2014.