
Surrogate Assisted Design of Spline-Based Ogive Radome

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1 Design 2 - “wide training bounds” ($t_i \in [0.2\lambda_r, 0.8\lambda_r]$)

1.1 Analysis of the training set (LHS, $N = 250$)

This section reports the results of the simulations performed in order to analyze the accuracy of the Kriging-based predictor with different correlation models.

1.1.1 Parameters

Optimization targets

- Number of variables: $K = 5$;
- Frequency range:
 - Minimum frequency: $f_{min} = 10.75$ [GHz];
 - Maximum frequency: $f_{max} = 14.5$ [GHz];
 - Number of frequency steps: $N_f = 10$ ($\Delta f \simeq 0.42$ [GHz]);
 - Central frequency: $f_0 = \frac{f_{min} + f_{max}}{2} \simeq 12.63$ [GHz];
 - Free-space wavelength at the central frequency: $\lambda_0 = \frac{c}{f_0} = 2.38 \times 10^{-2}$ [m];
- Scanning angle range:
 - Minimum scanning angle: $\theta_{min} = 0$ [deg];
 - Maximum scanning angle: $\theta_{max} = 45$ [deg];
 - Number of angular steps: $N_\theta = 4$ ($\theta_1 = 0$ [deg], $\theta_2 = 15$ [deg], $\theta_3 = 30$ [deg], $\theta_4 = 45$ [deg]);

Kriging (Gaussian Process Regressor) parameters

- Regression model: constant (Ordinary Kriging);
- Correlation models:
 - Exponential ($p = 1$);
 - Gaussian ($p = 2$);
- Initial guess for hyper-parameters θ_h : $\theta_{h,0} = 0.5$, for $h = 1, \dots, K$;
- Lower bound for hyper-parameters θ_h : $\min \{\theta_h\} = 0.1$, for $h = 1, \dots, K$;
- Upper bound for hyper-parameters θ_h : $\max \{\theta_h\} = 20.0$, for $h = 1, \dots, K$;

Incremental training parameters

- Number of available simulations: $S = 250$ (LHS sampling);

- Dimension of the training sets: $N_1 = 20$, $N_{max} = N_L = 200$, step $\Delta N = 20$;

Not-optimized (static) radome parameter

| Parameter | Description | Value |
|-----------------|---|--|
| L | Length of the radome | $1.59 \times 10^{-1} [m] \simeq 6.69 \lambda_0$ |
| D | Base diameter of the radome | $1.27 \times 10^{-1} [m] \simeq 5.35 \lambda_0$ |
| t_0 | Thickness of the base and of the top of the radome | $8.20 \times 10^{-3} [m] \simeq \frac{\lambda_r}{2}$ |
| z_1 | z -coordinate of the spline control point 1 | $\frac{L-t_0}{6}$ |
| z_2 | z -coordinate of the spline control point 2 | $2 \frac{L-t_0}{6}$ |
| z_3 | z -coordinate of the spline control point 3 | $3 \frac{L-t_0}{6}$ |
| z_4 | z -coordinate of the spline control point 4 | $4 \frac{L-t_0}{6}$ |
| z_5 | z -coordinate of the spline control point 5 | $5 \frac{L-t_0}{6}$ |
| ν | External curvature of the radome ($\nu \in [1, 2]$) | 1.449 (tangent ogive) |
| ε_r | Permittivity of the radome material | 2.10 (Teflon) |
| $\tan \delta_r$ | Tangent delta of the radome material | $\tan \delta = 3.00 \times 10^{-4} @ 10.0 [GHz]$ (Teflon) |
| λ_r | Wavelength in the radome material | $\lambda_r \simeq \frac{c}{f_0 \sqrt{\varepsilon}} \simeq 1.64 \times 10^{-1} [m]$ |

Table I: List of non-optimized radome parameters.

Antenna Parameters

- Linear dipole array placed over circular ground plane (PEC).
- Number of array elements: $N_e = 8$
- Dipole length: $l_e = \frac{\lambda_0}{2}$
- Array elements spacing: $d_e = \lambda/2$
- Spacing between the array and the ground plane: $h_e = \frac{\lambda_0}{4}$

Parameters boundaries

| Parameter | Description | Min | Max |
|-----------|---|--|---|
| t_1 | Radome thickness at the quota $z = z_1$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_2 | Radome thickness at the quota $z = z_2$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_3 | Radome thickness at the quota $z = z_3$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_4 | Radome thickness at the quota $z = z_4$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_5 | Radome thickness at the quota $z = z_5$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |

Table II: List of all considered boundaries for the optimized radome descriptors.

1.1.2 Analysis of the training set

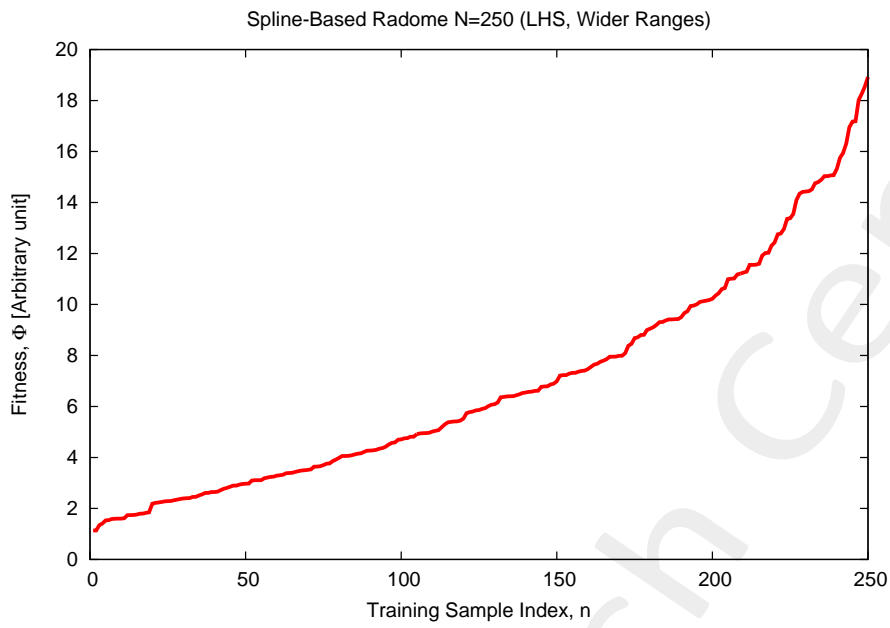


Figure 1: Fitness value computed for each training sample (sorted in ascending order).

- Best fitness in the training set: $\Phi_{train}^{opt} = 1.13$;
- Worst fitness in the training set: $\Phi_{train}^{worst} = 18.93$.

1.1.3 Predicted Fitness Values

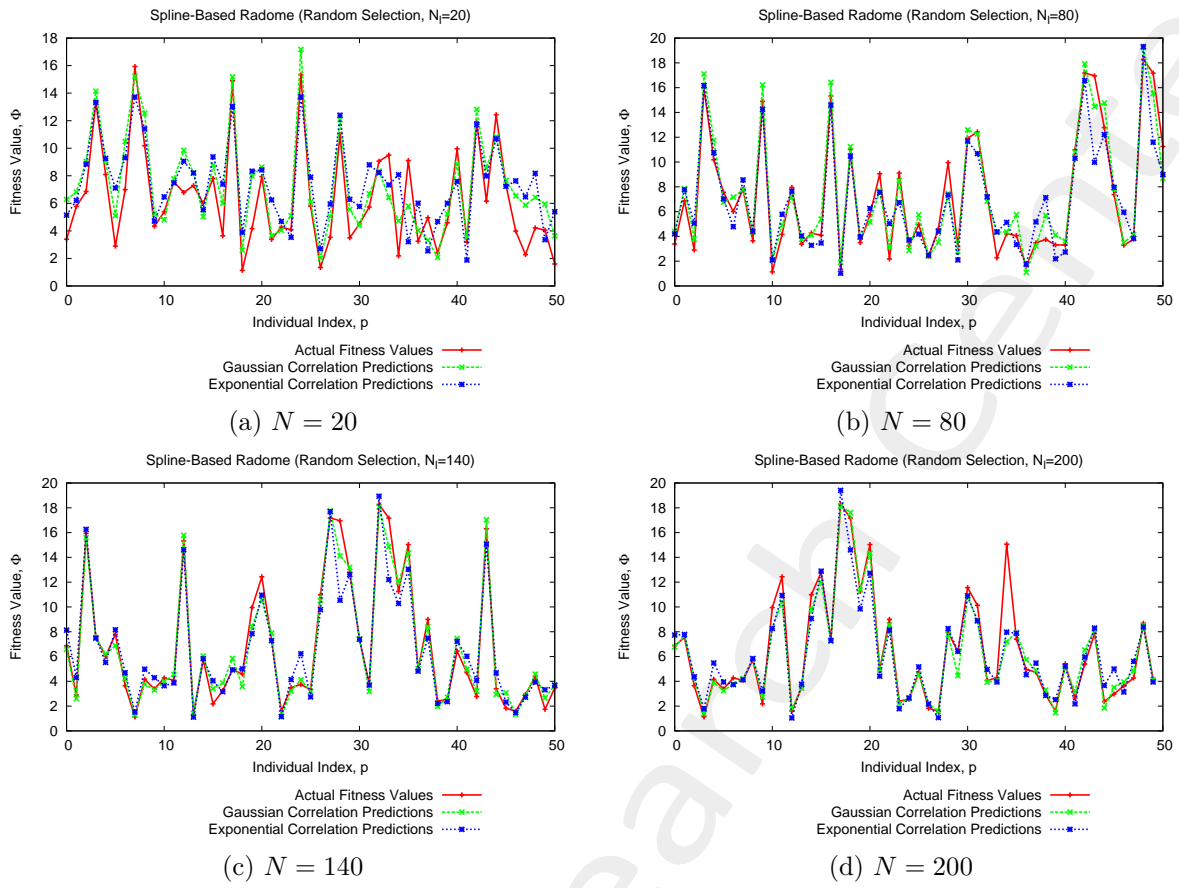


Figure 2: Actual and predicted functional values of 50 random individuals for different training sizes (N): (a) $N = 20$, (b) $N = 80$, (c) $N = 140$ and (d) $N = 200$.

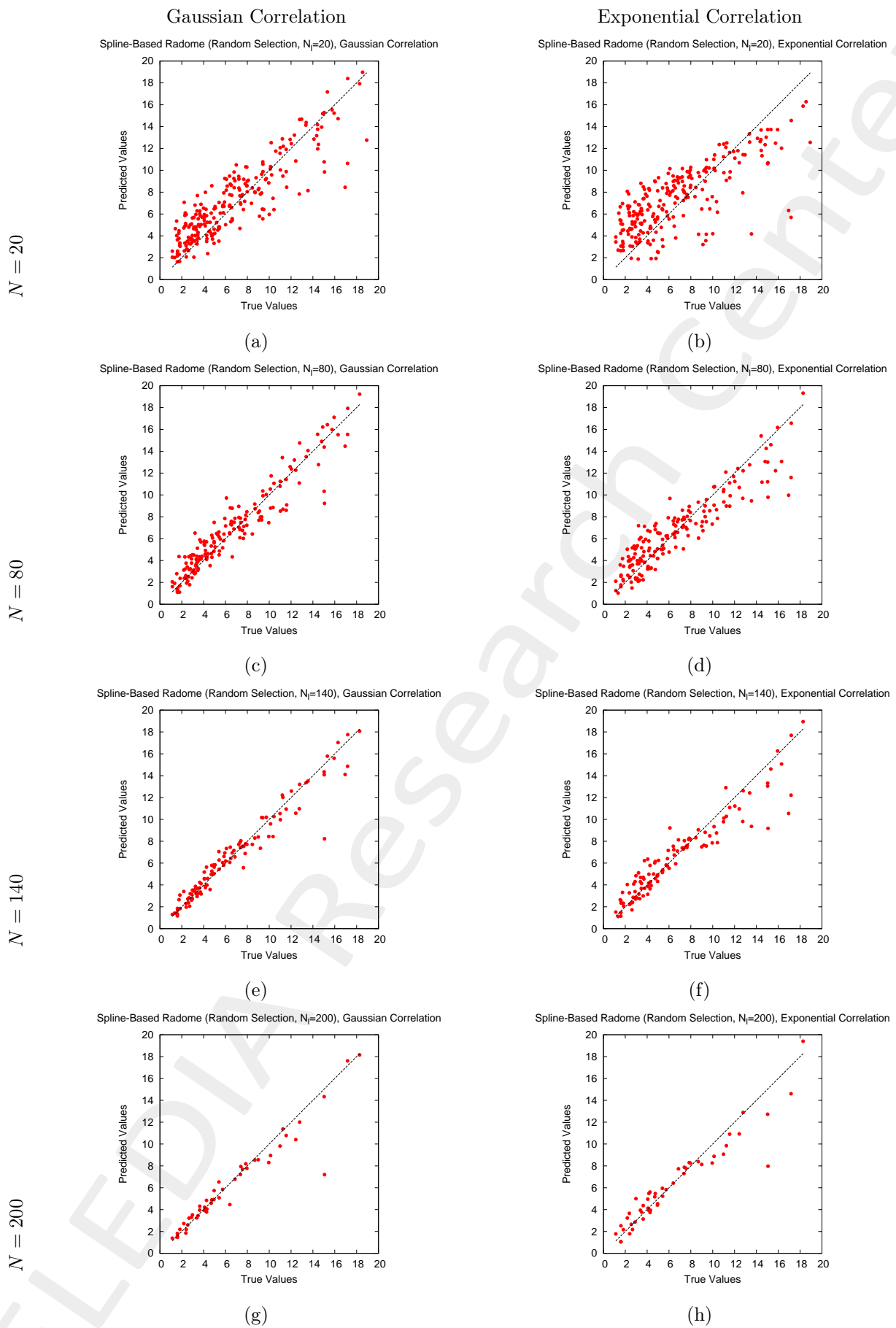


Figure 3: Plot of predicted vs actual values for (a), (c), (e), (g) Gaussian Correlation Model and (b), (d), (f), (h) Exponential Correlation Model for different training sizes (N): (a),(b) $N = 20$, (c),(d) $N = 80$, (e),(f) $N = 140$ and (g),(h) $N = 200$.

1.1.4 Prediction error vs. training size

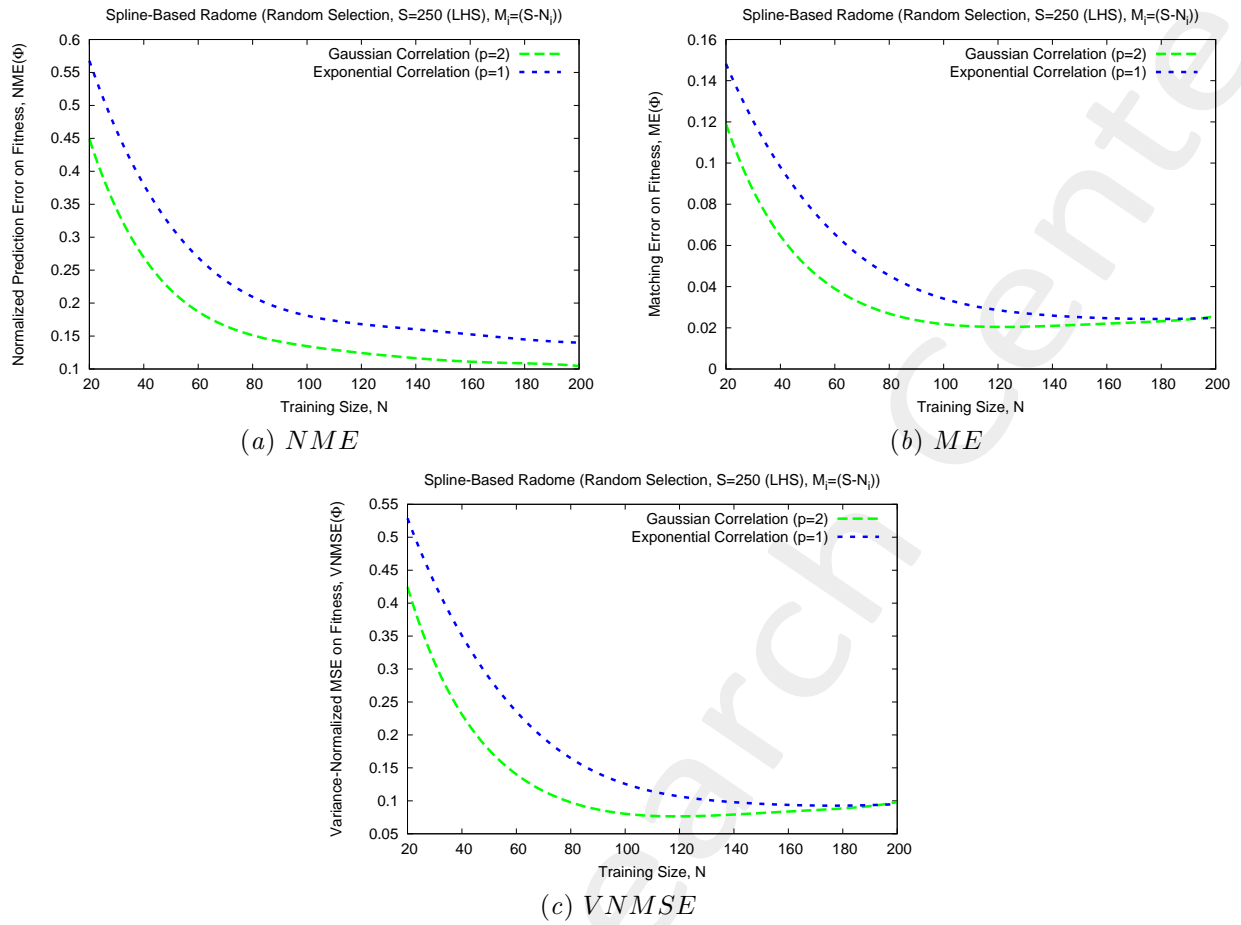


Figure 4: Prediction errors vs. training size (N) when considering an incremental training with random selection of N training samples from a set of $S = 250$ available simulations (LHS). Each time the trained Kriging model is tested on a test set made by the remaining $M = (S - N)$ simulations.

| | | Gaussian Correlation | | | Exponential Correlation | | |
|-----|-----|-----------------------|-----------------------|-----------------------|-------------------------|-----------------------|-----------------------|
| N | M | NME | ME | $VNMSE$ | NME | ME | $VNMSE$ |
| 20 | 230 | 3.53×10^{-1} | 6.52×10^{-2} | 4.25×10^{-1} | 5.44×10^{-1} | 1.27×10^{-1} | 5.29×10^{-1} |
| 80 | 170 | 1.94×10^{-1} | 2.78×10^{-2} | 7.08×10^{-2} | 2.70×10^{-1} | 4.74×10^{-2} | 1.13×10^{-1} |
| 140 | 110 | 1.13×10^{-1} | 1.65×10^{-2} | 8.09×10^{-2} | 1.80×10^{-1} | 3.38×10^{-2} | 9.99×10^{-2} |
| 200 | 50 | 9.77×10^{-2} | 2.82×10^{-2} | 9.81×10^{-2} | 1.71×10^{-1} | 3.25×10^{-2} | 9.50×10^{-2} |

Table III: Prediction errors vs. training size (N).

1.1.5 Prediction error vs. time saving

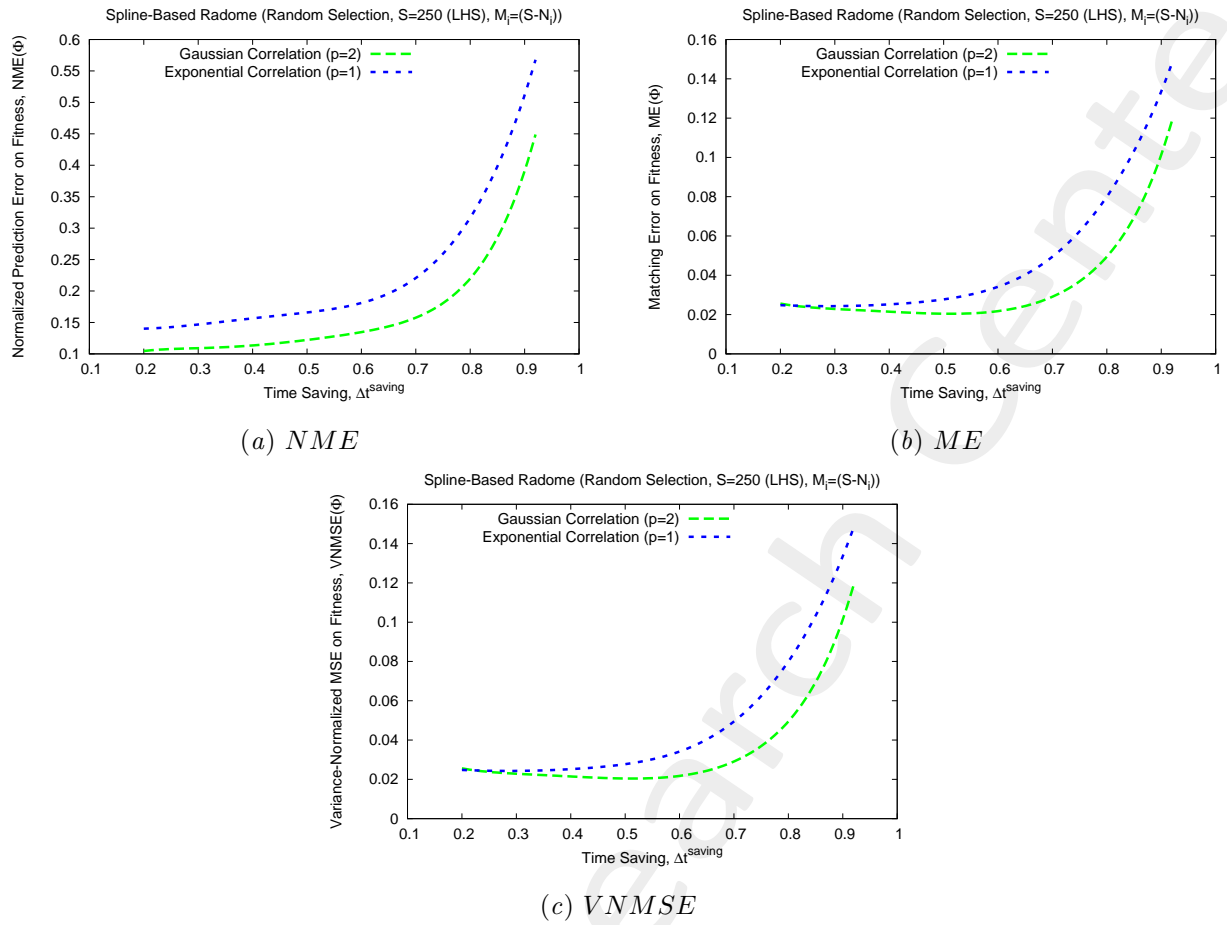


Figure 5: Prediction errors vs. Time Saving (Δt^{saving}) when considering an incremental training with random selection of N training samples form a set of $S = 250$ available simulations (LHS). Each time the trained Kriging model is tested on a test set made by the remaining $M = (S - N)$ simulations.

1.1.6 Prediction errors and time saving vs. training size

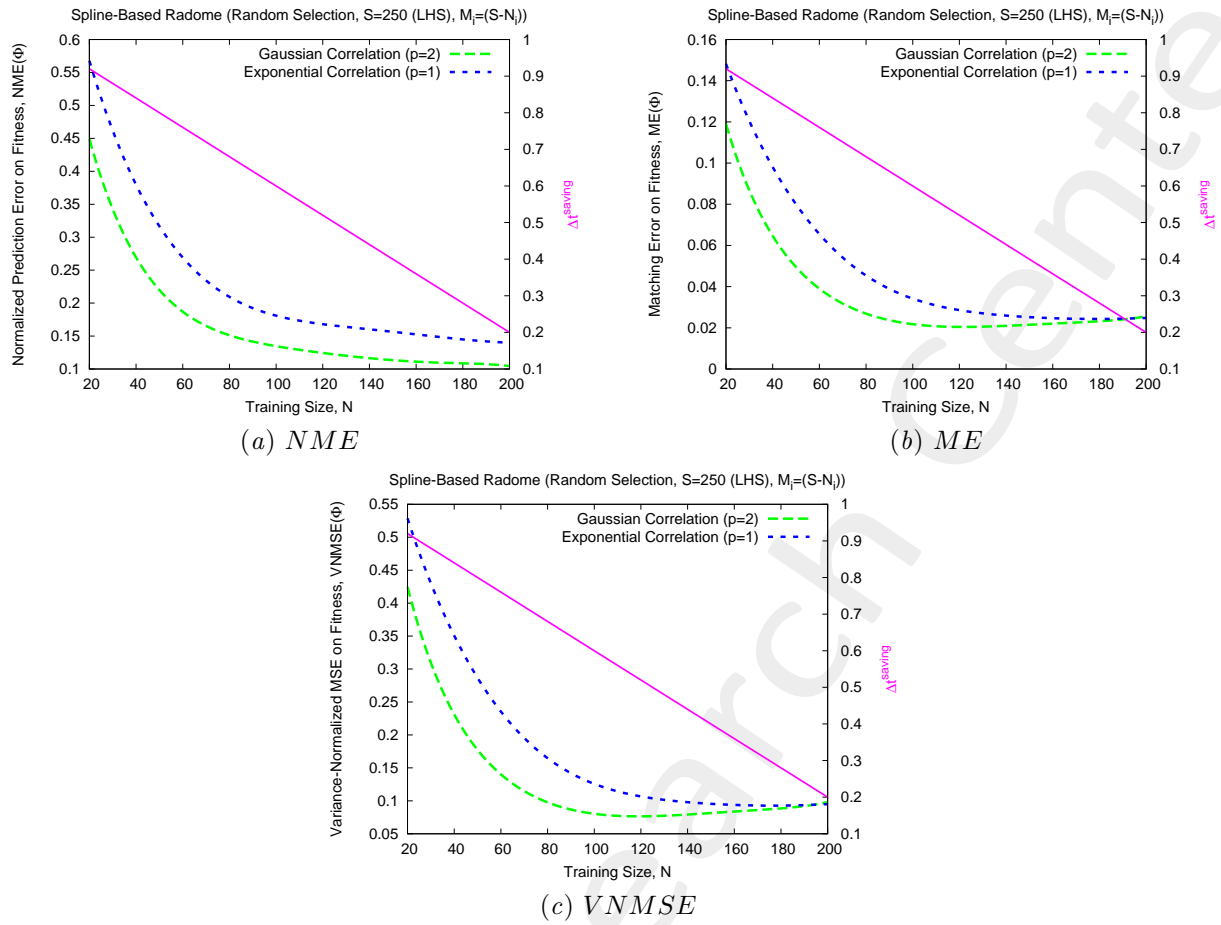


Figure 6: Prediction errors and time saving vs. training size (N) when considering an incremental training with random selection of N training samples from a set of $S = 250$ available simulations (LHS). Each time the trained Kriging model is tested on a test set made by the remaining $M = (S - N)$ simulations.

1.1.7 Comparative assessment (Narrow Bounds vs. Wide Bounds Training)

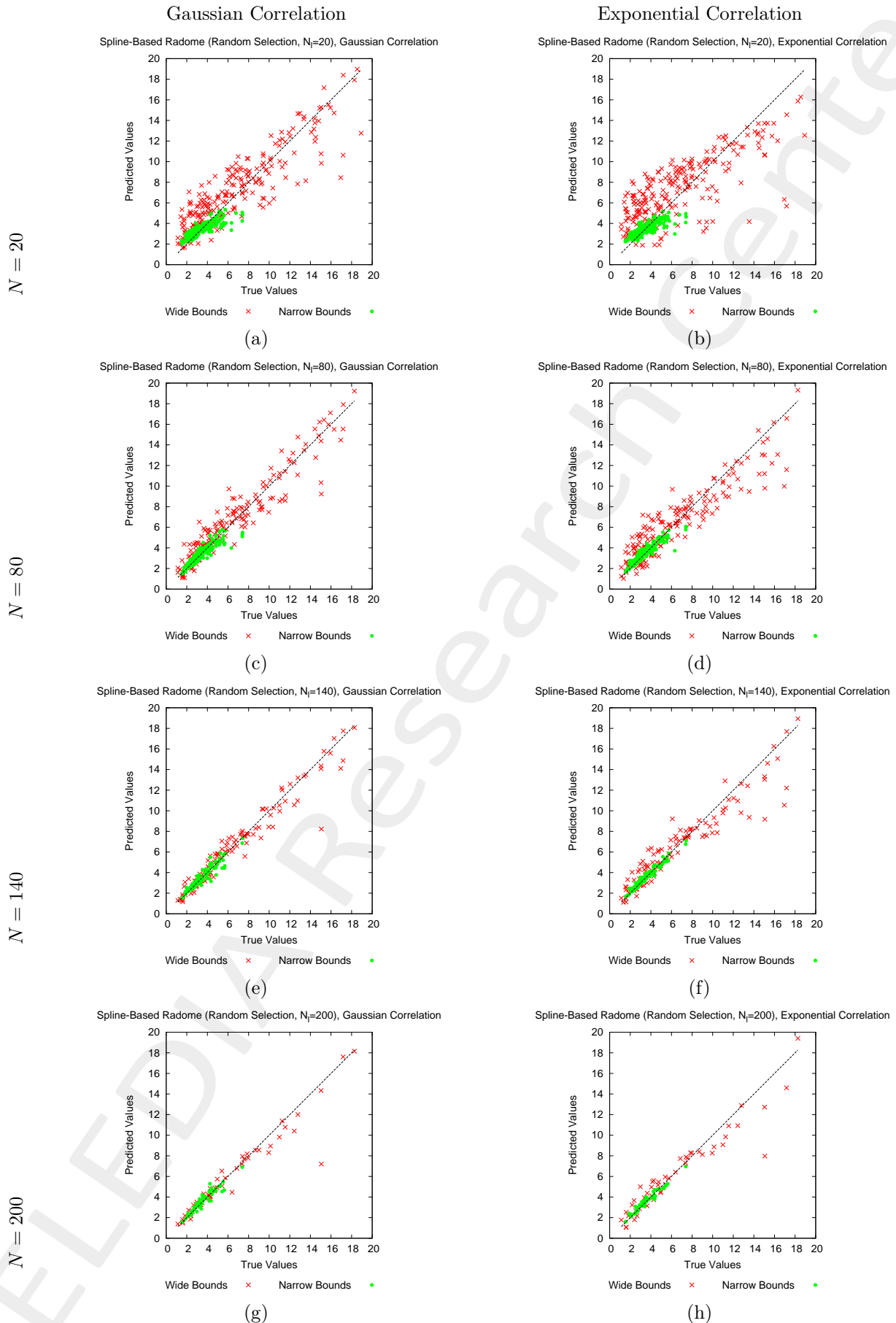


Figure 7: Plot of predicted vs actual values for (a), (c), (e), (g) Gaussian Correlation Model and (b), (d), (f), (h) Exponential Correlation Model for different training sizes (N): (a),(b) $N = 20$, (c),(d) $N = 80$, (e),(f) $N = 140$ and (g),(h) $N = 200$.

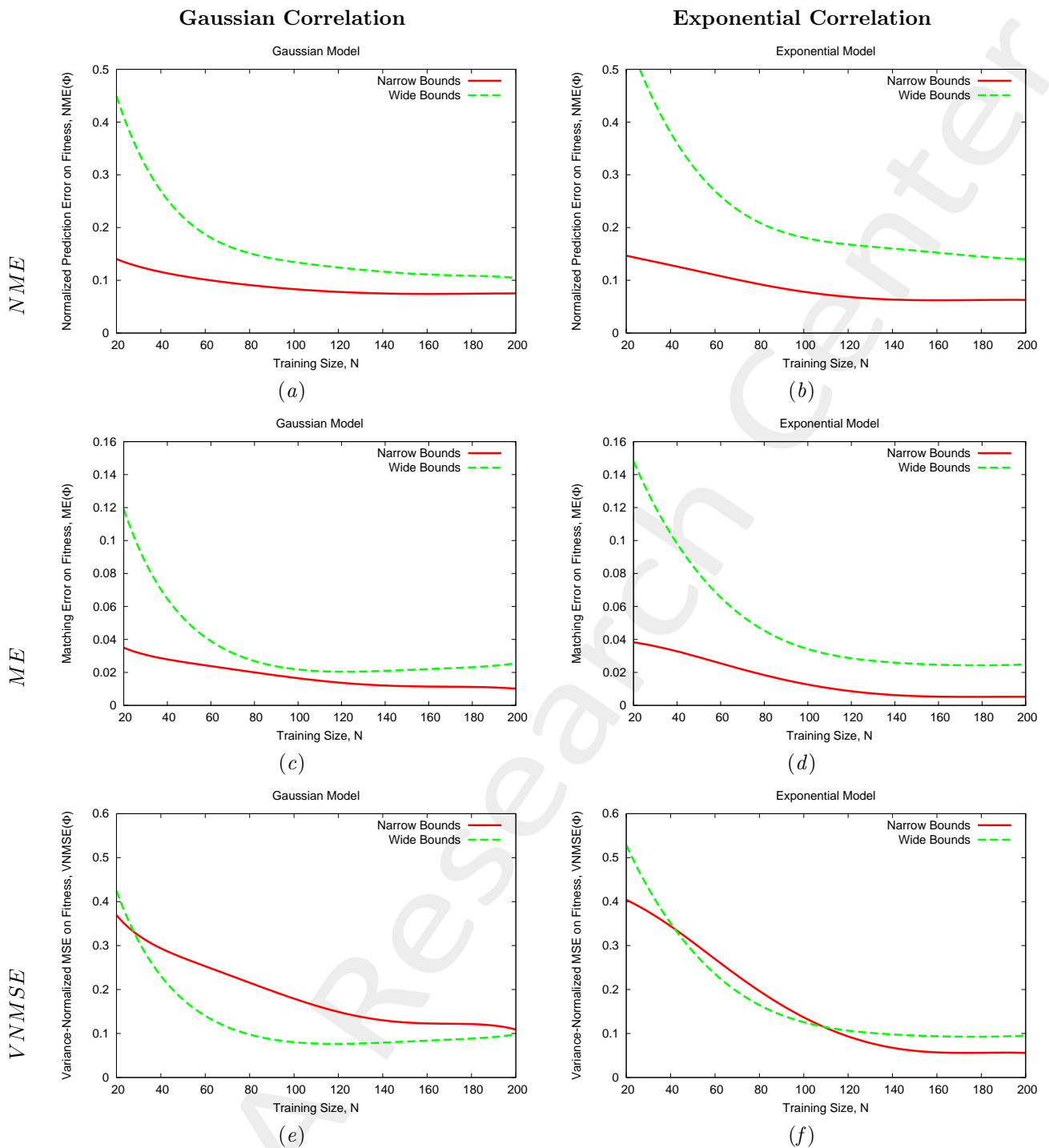


Figure 8: Plot of (a) Normalized Mean Error (NME) and (b) Matching Error (ME) vs training size (N) when considering an incremental training with random selection of N_l training samples from a set of S available simulations and testing the corresponding Kriging model on a test set made by the remaining $M_l = (S - N_l)$ simulations.

1.2 Optimization with PSO+Kriging (no update during optimization)

1.2.1 Parameters

Optimization targets

- Number of variables: $K = 5$;
- Frequency range:
 - Minimum frequency: $f_{min} = 10.75$ [GHz];
 - Maximum frequency: $f_{max} = 14.5$ [GHz];
 - Number of frequency steps: $N_f = 10$ ($\Delta f \simeq 0.42$ [GHz]);
 - Central frequency: $f_0 = \frac{f_{min} + f_{max}}{2} \simeq 12.63$ [GHz];
 - Free-space wavelength at the central frequency: $\lambda_0 = \frac{c}{f_0} = 2.38 \times 10^{-2}$ [m];
- Scanning angle range:
 - Minimum scanning angle: $\theta_{min} = 0$ [deg];
 - Maximum scanning angle: $\theta_{max} = 45$ [deg];
 - Number of angular steps: $N_\theta = 4$ ($\theta_1 = 0$ [deg], $\theta_2 = 15$ [deg], $\theta_3 = 30$ [deg], $\theta_4 = 45$ [deg]);

PSO parameters

- Population dimension: $P = 10$;
- Maximum number of iterations: $I_{max} = 200$;
- Fitness threshold: $\Phi^{th} = 10^{-20}$;
- Inertial weight: $w = 0.4$;
- Constant inertial velocity;
- Exploration coefficient: $c_1 = 2$;
- Exploitation coefficient: $c_2 = 2$;
- Random seed $S = 1, 2, \dots, 10$;

Kriging (Gaussian Process Regressor) parameters

- Regression model: constant (Ordinary Kriging);
- Correlation models:
 - Exponential ($p = 1$);

- Initial guess for hyper-parameters θ_h : $\theta_{h,0} = 0.5$, for $h = 1, \dots, K$;
- Lower bound for hyper-parameters θ_h : $\min \{\theta_h\} = 0.1$, for $h = 1, \dots, K$;
- Upper bound for hyper-parameters θ_h : $\max \{\theta_h\} = 20.0$, for $h = 1, \dots, K$;

Not-optimized (static) radome parameter

| Parameter | Description | Value |
|----------------|---|--|
| L | Length of the radome | $1.59 \times 10^{-1} [m] \simeq 6.69 \lambda_0$ |
| D | Base diameter of the radome | $1.27 \times 10^{-1} [m] \simeq 5.35 \lambda_0$ |
| t_0 | Thickness of the base and of the top of the radome | $8.20 \times 10^{-3} [m] \simeq \frac{\lambda_r}{2}$ |
| z_1 | z -coordinate of the spline control point 1 | $\frac{L-t_0}{6}$ |
| z_2 | z -coordinate of the spline control point 2 | $2\frac{L-t_0}{6}$ |
| z_3 | z -coordinate of the spline control point 3 | $3\frac{L-t_0}{6}$ |
| z_4 | z -coordinate of the spline control point 4 | $4\frac{L-t_0}{6}$ |
| z_5 | z -coordinate of the spline control point 5 | $5\frac{L-t_0}{6}$ |
| ν | External curvature of the radome ($\nu \in [1, 2]$) | 1.449 (tangent ogive) |
| ϵ_r | Permittivity of the radome material | 2.10 (Teflon) |
| $\tan\delta_r$ | Tangent delta of the radome material | $\tan\delta = 3.00 \times 10^{-4} @ 10.0 [GHz]$ (Teflon) |
| λ_r | Wavelength in the radome material | $\lambda_r \simeq \frac{c}{f_0\sqrt{\epsilon}} \simeq 1.64 \times 10^{-1}$ |

Table IV: List of non-optimized radome parameters.

Antenna Parameters

- Linear dipole array placed over circular ground plane (PEC)
- Number of array elements: $N_e = 8$
- Dipole length: $l_e = \frac{\lambda_0}{2}$
- Array elements spacing: $d_e = \lambda/2$
- Spacing between the array and the ground plane: $h_e = \frac{\lambda_0}{4}$

Parameters boundaries

| Parameter | Description | Min | Max |
|-----------|---|--|---|
| t_1 | Radome thickness at the quota $z = z_1$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_2 | Radome thickness at the quota $z = z_2$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_3 | Radome thickness at the quota $z = z_3$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_4 | Radome thickness at the quota $z = z_4$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |
| t_5 | Radome thickness at the quota $z = z_5$ | $3.28 \times 10^{-3} [m] (0.2\lambda_r)$ | $13.12 \times 10^{-3} [m] (0.8\lambda_r)$ |

Table V: List of all considered boundaries for the optimized radome descriptors.

1.2.2 Results of the optimization (seed 1,...,10) - Exponential Correlation Model

- Number of performed *PSO* iterations: $I_{tot} = I = 200$ for every seed.
- Total number of simulations: $E = \tau = 250$ (only for training).
- Final value of the fitness (predicted and actual):

| Seed | Predicted | | | Actual | |
|------|-------------------------|------------------------|--|--------------|--|
| | $\widehat{\Phi}^{init}$ | $\widehat{\Phi}^{opt}$ | $\frac{\widehat{\Phi}^{opt}}{\widehat{\Phi}^{init}}$ | Φ^{opt} | $100 \frac{\Phi_{train}^{opt} - \Phi^{opt}}{\Phi_{train}^{opt}}$ |
| 1 | 2.80 | -4.33×10^{-1} | 6.48 | 1.35 | -1.87×10^1 |
| 2 | 1.12 | -4.88×10^{-1} | 2.29 | 1.62 | -4.30×10^1 |
| 3 | 1.18 | -6.71×10^{-1} | 1.76 | 1.32 | -1.59×10^1 |
| 4 | 2.28 | -6.11×10^{-1} | 3.73 | 1.52 | -3.43×10^1 |
| 5 | 1.26 | -5.93×10^{-1} | 2.13 | 1.55 | -3.70×10^1 |
| 6 | 1.32 | -4.52×10^{-1} | 2.92 | 1.25 | -1.01×10^1 |
| 7 | 1.96 | -6.88×10^{-1} | 2.85 | 1.32 | -1.59×10^1 |
| 8 | 2.73 | -4.35×10^{-1} | 6.27 | 1.16 | -2.49 |
| 9 | 1.35 | -4.88×10^{-1} | 2.76 | 1.62 | -4.30×10^1 |
| 10 | 4.20 | -4.88×10^{-1} | 8.62 | 1.62 | -4.30×10^1 |

Table VI: Final value of the predicted and actual fitness values ($\widehat{\Phi}^{init}$ is the initial predicted fitness, $\widehat{\Phi}^{opt}$ is the optimal predicted fitness, Φ^{opt} is the optimal actual fitness and $\Phi_{train}^{opt} = 1.13$ is the best individual in the training set).

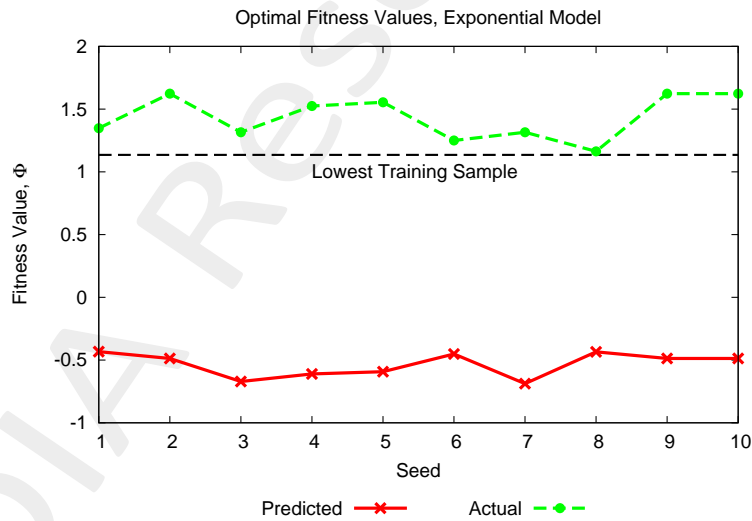


Figure 9: Predicted and actual fitness values vs seed. The lowest training sample is equal to $\Phi_{train}^{opt} = 1.13$.

1.2.3 Results of the optimization (seed 1,...,10) - Gaussian Correlation Model

- Number of performed *PSO* iterations: $I_{tot} = I = 200$ for every seed.
- Total number of simulations: $E = \tau = 250$ (only for training).
- Final value of the fitness (predicted and actual):

| Seed | Predicted | | | Actual | |
|------|-------------------------|------------------------|--|--------------|--|
| | $\widehat{\Phi}^{init}$ | $\widehat{\Phi}^{opt}$ | $\frac{\widehat{\Phi}^{opt}}{\widehat{\Phi}^{init}}$ | Φ^{opt} | $100 \frac{\Phi_{train}^{opt} - \Phi^{opt}}{\Phi_{train}^{opt}}$ |
| 1 | 3.05 | -6.32×10^{-1} | 2.07×10^{-1} | 1.19 | -4.70 |
| 2 | 1.91 | 5.40×10^{-2} | 2.83×10^{-2} | 2.07 | -8.25×10^1 |
| 3 | 2.26 | -6.32×10^{-1} | 2.80×10^{-1} | 1.18 | -4.20 |
| 4 | 1.67 | -6.32×10^{-1} | 3.77×10^{-1} | 1.18 | -4.37 |
| 5 | 1.50 | 5.40×10^{-2} | 3.60×10^{-2} | 2.07 | -8.25×10^1 |
| 6 | 1.82 | 5.40×10^{-2} | 2.97×10^{-2} | 2.07 | -8.25×10^1 |
| 7 | 2.24 | -6.32×10^{-1} | 2.82×10^{-1} | 1.19 | -4.72 |
| 8 | 3.49 | 5.40×10^{-2} | 1.55×10^{-2} | 2.07 | -8.25×10^1 |
| 9 | 1.58 | -6.32×10^{-1} | 3.99×10^1 | 1.19 | -4.82 |
| 10 | 3.29 | -6.32×10^{-1} | 1.92×10^{-1} | 1.19 | -4.82 |

Table VII: Final value of the predicted and actual fitness values ($\widehat{\Phi}^{init}$ is the initial predicted fitness, $\widehat{\Phi}^{opt}$ is the optimal predicted fitness, Φ^{opt} is the optimal actual fitness and $\Phi_{train}^{opt} = 1.13$ is the best individual in the training set).

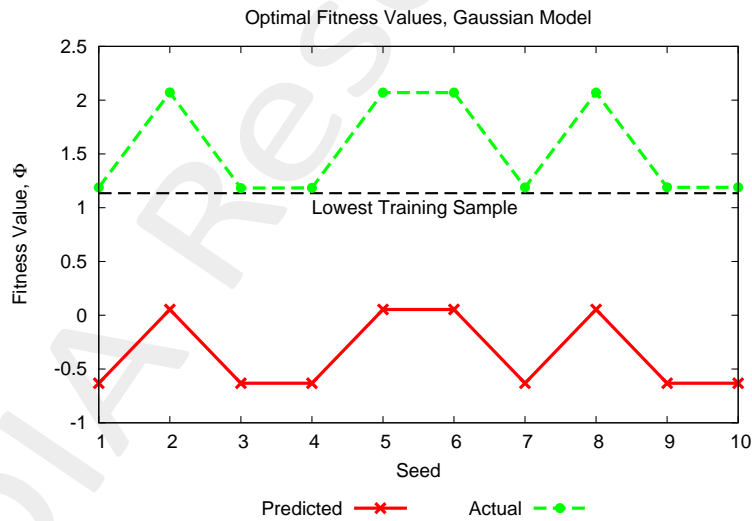


Figure 10: Predicted and actual fitness values vs seed. The lowest training sample is equal to $\Phi_{train}^{opt} = 1.13$.

1.2.4 Results of the optimization (seed 1,...,10) - Exponential Correlation Model (model re-trained in the locations where the the predicted fitness is negative)

The results reported in this section have been obtained by training the predictor using the actual solutions computed for the analysis in Sections (1.2.2) and (1.2.3). The objective of this additional training is to avoid negative predicted fitness values.

- Number of performed *PSO* iterations: $I_{tot} = I = 200$ for every seed.
- Total number of simulations: $E = \tau = 270$ (only for training).
- Final value of the fitness (predicted and actual):

| Seed | Predicted | | | Actual | |
|------|---------------------|-----------------------|--|-----------------------|--|
| | $\hat{\Phi}^{init}$ | $\hat{\Phi}^{opt}$ | $\frac{\hat{\Phi}^{opt}}{\hat{\Phi}^{init}}$ | Φ^{opt} | $100 \frac{\Phi_{train}^{opt} - \Phi^{opt}}{\Phi_{train}^{opt}}$ |
| 1 | 2.75 | 2.18×10^{-1} | 7.95×10^{-2} | 1.26 | -1.07×10^1 |
| 2 | 1.21 | 1.54×10^{-1} | 1.28×10^{-1} | 1.28 | -1.29×10^1 |
| 3 | 1.61 | 1.31×10^{-1} | 8.16×10^{-2} | 1.07 | 5.80 |
| 4 | 2.30 | 1.40×10^{-1} | 6.09×10^{-2} | 1.18 | -4.13 |
| 5 | 1.63 | 1.94×10^{-1} | 1.19×10^{-1} | 1.33 | -1.73×10^1 |
| 6 | 1.28 | 1.94×10^{-1} | 1.52×10^{-1} | 1.33 | -1.68×10^1 |
| 7 | 1.88 | 3.78×10^{-1} | 2.01×10^{-1} | 8.55×10^{-1} | 2.47×10^1 |
| 8 | 3.02 | 1.94×10^{-1} | 6.43×10^{-2} | 1.33 | -1.72×10^1 |
| 9 | 1.59 | 2.23×10^{-1} | 1.40×10^{-2} | 1.09 | 3.87 |
| 10 | 4.28 | 1.22×10^{-1} | 2.85×10^{-2} | 1.24 | -9.08 |

Table VIII: Final value of the predicted and actual fitness values ($\hat{\Phi}^{init}$ is the initial predicted fitness, $\hat{\Phi}^{opt}$ is the optimal predicted fitness, Φ^{opt} is the optimal actual fitness and $\Phi_{train}^{opt} = 1.13$ is the best individual in the training set).

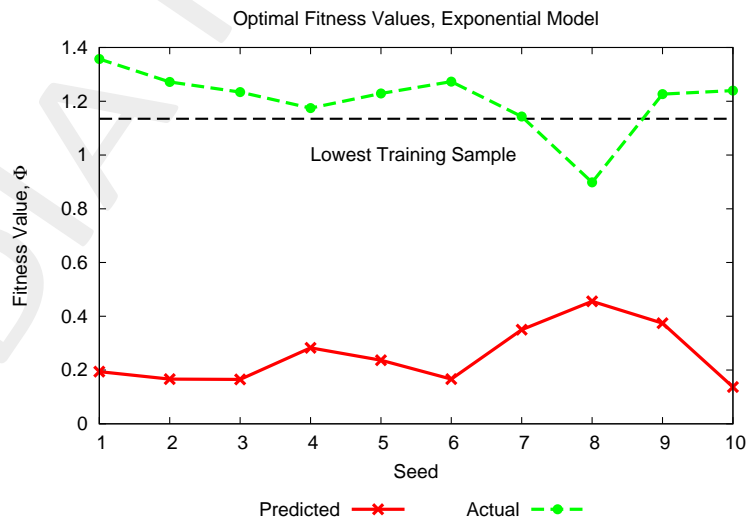


Figure 11: Predicted and actual fitness values vs seed. The lowest training sample is equal to $\Phi_{train}^{opt} = 1.13$.

More information on the topics of this document can be found in the following list of references.

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