Optimization of Low-profile WAIM Superstrates over Patch Arrays

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1 Multi-frequency WAIM Analysis

In this section we will extend our analysis to the *multifrequency* case. We will optimize the WAIM layers in order to have an improvement in the performance not only at the working frequency f_0 , but also in a user-defined band of frequencies.

For this test case we will use a new substrate (FR - 4), having $\varepsilon^{sub} = 4.3$, $tan\delta = 0.025$ and the analysis will be performed on 3 frequency samples: $0.9f_0$, f_0 and $1.1f_0$.

1.1 Test Case #1 - Multi-frequency - 2 Isotropic WAIM Layers - $\varepsilon^1 = \varepsilon^2 = [1 : 35] + j0$ - Square Lattice - $\varepsilon^{sub} = 4.3 + j0.1075$ Tests

2 nd WAIM Layer		ε²: optimized	d ² : optimized
1 st WAIM Layer	Patch	ε^1 : optimized	d^2 : optimized
Substrate		$\varepsilon^{sub} = 4,3$	$d^{sub} = 0,06\lambda$
PEC			•



Simulation Parameters:

- Frequency: $f_0 = 10[GHz];$
- Frequency min: $f_{min} = 0.9 f_0;$
- Frequency max: $f_{max} = 1.1 f_0;$
- Frequency samples: F = 3;
- Patch dimensions: $w = 0.307, l = 0.2125 [\lambda];$
- Probe position: $x = 0.0705, y = 0.1535 [\lambda];$
- Substrate: $\varepsilon_x = 4.3 + j0.1075$, $\varepsilon_y = 4.3 + j0.1075$, $\varepsilon_z = 4.3 + j0.1075$, $d = 0.06[\lambda]$
- Floquet coefficient = 121;
- Lattice basis: $s_1 = (0.5, 0.0), s_2 = (0.0, 0.5) [\lambda];$

Analysis Parameters:

- Samples analysis (phi cuts): $\theta \in [0, 90]$ [deg], $\varphi \in [0, 90]$ [deg], $\theta_{samples} = 91$, $\varphi_{samples} = 3$;
- Samples analysis (3D plots): $\theta \in [-90, 90] [deg], \varphi \in [-90, 90] [deg], \theta_{samples} = 36, \varphi_{samples} = 21;$

PSO Synthesis Parameters:

- Number of WAIM Layers: N = 2;
- Unknowns: U = 4;
- Unknown ranges: $\varepsilon = [1 : 35] + j0, d = [0.033 : 0.5] [\lambda];$
- Swarm size: P = 12;
- Max iteration number: I = 200;
- Inertial weight = 0.4;
- Alpha= 0.4;
- Beta = 0.4;
- C1 = 2.0;
- C2=2.0;
- Random seeds = 6, 12, 26, 33, 46, 51, 65, 77, 98;
- No-WAIM case implemented by the first particle at the 1^{st} iteration;
- Samples synthesis (phi cuts): $\theta \in [0, 90] [deg], \varphi \in [0, 90] [deg], \theta_{samples} = 7, \varphi_{samples} = 3;$

Optimization Results



Figure 2: Fitness Dynamics.

 $Best \, result: \, Seed \, 46$

Simulation time (Truncated): 1223m 37s

Tool	Optimal Solution				Fitnes	sValue
	$\varepsilon_x^1 = \varepsilon_y^1 = \varepsilon_z^1$	$h^{1}\left[\lambda ight]$	$\varepsilon_x^2 = \varepsilon_y^2 = \varepsilon_z^2$	$h^{2}\left[\lambda ight]$	$\Phi_{i=0}$	$\Phi_{I=200}$
Truncated	1.002	0.083	3.051	0.496	20.303	15.244

Table 1: Seed 46 Optimal Solution.



Figure 3: Seed 46, Reflection Coefficient along φ cuts, 2 Layers WAIM.



Figure 4: Seed 46, Transmission Coefficient along φ cuts, 2 Layers WAIM.



Figure 5: Seed 46, 3D Reflection Coefficient.



(e) $|T|^2$, 2 Layers WAIM, $f = 1.1f_0$

(f) $|T|^2$, No-WAIM, $f = 1.1f_0$



Tool	Cost F	unction	Improvement Percentage
	Φ_0^{fine}	Φ^{fine}_{SbD}	
Truncated	551.31	592.82	7.53%

Table 2: Seed 46, Cost Function Improvement.

By analyzing also the results obtained from the other seeds, we can find a better solution than the one that minimizes the *Fitness Value*. In fact, the *Seed* 65 has a better overall *Cost Function* value with respect the considered *Seed* 46, even though, due to the low number of samples taken into account by the PSO that gives, it has an higher *Fitness Value*.

Tool		Fitnes	sValue		
	$\varepsilon_x^1 = \varepsilon_y^1 = \varepsilon_z^1$	$h^{1}\left[\lambda\right] \varepsilon_{x}^{2} = \varepsilon_{y}^{2} = \varepsilon_{z}^{2}$	$h^{2}\left[\lambda ight]$	$\Phi_{i=0}$	$\Phi_{I=200}$
Truncated	1.053	0.169 2.469	0.160	20.303	15.726



Table 3: Seed 65 Optimal Solution.

Figure 7: Seed 65, Reflection Coefficient along φ cuts, 2 Layers WAIM.



Figure 8: Seed 65, Transmission Coefficient along φ cuts, 2 Layers WAIM.



Figure 9: Seed 65, 3D Reflection Coefficient.



(e) $|T|^2$, 2 Layers WAIM, $f = 1.1 f_0$

(f) $|T|^2$, No-WAIM, $f = 1.1f_0$

Figure 10: $Seed\,65$, Transmission Coefficient.

Tool	Cost F	unction	Improvement Percentage
	Φ_0^{fine}	Φ^{fine}_{SbD}	
Truncated	551.31	454.61	-17.54%

Table 4: Seed 65, Cost Function Improvement.

From the results of both the analyzed solutions we can notice a non-physical behaviour of the Reflection Coefficient that, for some angles, has values $\Gamma > 1$. This causes out of bounds in the 2D plots and white regions in the 3D ones.

This phenomenon, that does not appear in other test cases in the previous sections, is be due to the fact that we are considering also the imaginary part of the substrate.

1.2 Test Case #2 - Multi frequency - 2 Isotropic WAIM Layers - $\varepsilon^1 = \varepsilon^2 = [1 : 35] + j0$ - Square Lattice - $\varepsilon^{sub} = 4.3 + j0$ Tests

We now repeat the previous test case, but this time we are considering an ideal substrate (imaginary component equal to 0).

2 nd WAIM Layer		ε^2 : optimized	d ² : optimized
1 st WAIM Layer	Patch	ε^1 : optimized	d ² : optimized
Substrate		$\varepsilon^{sub} = 4,3$	$d^{sub} = 0,06\lambda$
PEC			+



Simulation Parameters:

- Frequency: $f_0 = 10[GHz];$
- Frequency min: $f_{min} = 0.9 f_0;$
- Frequency max: $f_{max} = 1.1 f_0;$
- Frequency samples: F = 3;
- Patch dimensions: $w = 0.307, l = 0.2125 [\lambda];$
- Probe position: $x = 0.0705, y = 0.1535 [\lambda];$
- Substrate: $\varepsilon_x = 4.3 + j0$, $\varepsilon_y = 4.3 + j0$, $\varepsilon_z = 4.3 + j0$, $d = 0.06[\lambda]$
- Floquet coefficient = 121;
- Lattice basis: $s_1 = (0.5, 0.0), s_2 = (0.0, 0.5) [\lambda];$

Analysis Parameters:

- Samples analysis (phi cuts): $\theta \in [0, 90] [deg], \varphi \in [0, 90] [deg], \theta_{samples} = 91, \varphi_{samples} = 3;$
- Samples analysis (3D plots): $\theta \in [-90, 90] [deg], \varphi \in [-90, 90] [deg], \theta_{samples} = 36, \varphi_{samples} = 21;$

PSO Synthesis Parameters:

- Number of WAIM Layers: N = 2;
- Unknowns: U = 4;
- Unknown ranges: $\varepsilon = [1 : 35] + j0, d = [0.033 : 0.5] [\lambda];$
- Swarm size: P = 12;

- Max iteration number: I = 200;
- Inertial weight = 0.4;
- Alpha= 0.4;
- Bet a = 0.4;
- C1 = 2.0;
- C2=2.0;
- Random seeds = 6, 12, 26, 33, 46, 51, 65, 77, 98;
- No-WAIM case implemented by the first particle at the 1^{st} iteration;
- Samples synthesis (phi cuts): $\theta \in [0, 90] [deg], \varphi \in [0, 90] [deg], \theta_{samples} = 7, \varphi_{samples} = 3;$

Optimization Results



Figure 12: Fitness Dynamics.

 $Best\,result:\,Seed\,98$

 $Simulation time (Truncated): 1162m \, 38s$

Ta	pol		Fitnes	sValue			
		$\varepsilon_x^1 = \varepsilon_y^1 = \varepsilon_z^1$	$h^{1}\left[\lambda ight]$	$\varepsilon_x^2 = \varepsilon_y^2 = \varepsilon_z^2$	$h^2\left[\lambda ight]$	$\Phi_{i=0}$	$\Phi_{I=200}$
Trun	cated	5.894	0.033	32.933	0.033	31.703	21.672

Table 5: Seed 98 Optimal Solution.



Figure 13: Seed 98, Reflection Coefficient along φ cuts, 2 Layers WAIM.



Figure 14: Seed 98, Transmission Coefficient along φ cuts, 2 Layers WAIM.



Figure 15: Seed 98, 3D Reflection Coefficient.



(e) $|T|^2$, 2 Layers WAIM, $f = 1.1f_0$

(f) $|T|^2$, No-WAIM, $f = 1.1f_0$

Figure 16: Seed 98, Transmission Coefficient.

Tool	Cost F	unction	Improvement Percentage
	Φ_0^{fine}	Φ^{fine}_{SbD}	
Truncated	663.75	1202.34	81.14%

Table 6: Seed 98, Cost Function Improvement.

Once again, by analyzing also the results obtained from the other seeds, we can find a better solution in Seed 65.

Tool	Optimal Solution				Fitnes	sValue
	$\varepsilon_x^1 = \varepsilon_y^1 = \varepsilon_z^1$	$h^{1}\left[\lambda ight]$	$\varepsilon_x^2 = \varepsilon_y^2 = \varepsilon_z^2$	$h^2\left[\lambda ight]$	$\Phi_{i=0}$	$\Phi_{I=200}$
Truncated	1.198	0.033	3.102	0.034	31.703	24.971

Table 7: Seed 65 Optimal Solution.



Figure 17: Seed 65, Reflection Coefficient along φ cuts, 2 Layers WAIM.



Figure 18: Seed 65, Transmission Coefficient along φ cuts, 2 Layers WAIM.



Figure 19: Seed 65, 3D Reflection Coefficient.



(e) $|T|^2$, 2 Layers WAIM, $f = 1.1 f_0$

(f) $|T|^2$, No-WAIM, $f = 1.1f_0$

Figure 20: $Seed\,65$, Transmission Coefficient.

Tool	Cost F	unction	Improvement Percentage
	Φ_0^{fine}	Φ^{fine}_{SbD}	
Truncated	663.75	662.62	-0.17%

Table 8: Seed 65, Cost Function Improvement.

By considering an ideal substrate we solved the problem of non-physical behaviour of the Reflection Coefficient, that now has always values $\Gamma < 1$. However, both the covered and the non-covered *Cost Function* values $(\Phi_0^{fine} = 663.75 \text{ and } \Phi_{SbD}^{fine} = 662.62)$ are quite different and in general higher then the results obtained in 1.1 for a real substrate ($\Phi_0^{fine} = 551.31$ and $\Phi_{SbD}^{fine} = 454.61$). More information on the topics of this document can be found in the following list of references.

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