

ADS-Guided Design of Planar Thinned Phased Arrays Through Genetic Optimization

M. Salucci, G. Gottardi, N. Anselmi, and G. Oliveri

Abstract

This work presents an innovative hybrid approach for synthesizing thinned planar phased arrays. The *a-priori* information provided by analytical almost difference sets (ADSs) is profitably exploited by a customized stochastic optimization approach based on genetic algorithms (GAs). Such an *ADSGA* approach is able to overcome the current limitations of state-of-the-art techniques based on ADSs. Some numerical results are shown in order to assess the potentialities, as well as the limitations, of the proposed design methodology.

1 Problem III - Definition of a General Purpose ADS Construction technique for Array Synthesis

With reference to the potential limitation outlined in the Introduction, the aim is now to find the explicit forms of ADS sequences (i.e., binary sequences with a three-level auto-correlation function) for arbitrary values of N . Towards this end, let us denote with $L\{\rho\}$ and $R\{\rho\}$ the number of levels of the auto-correlation function $\xi(\tau)$ of a trial solution ρ and the number of τ values for which $\xi(\tau)$ differ from 0. Then, the search for admissible (but not available in ADS repositories) ADS sequences is recast as the solution of the following problem

$$\text{Min}(F\{\rho\}) = \alpha[L\{\rho\} - 3] + \beta R\{\rho\}$$

subject to $N_x \neq N_{x-ADS}$ and $N_y \neq N_{y-ADS}$. The ADSGA within the *auto-correlation space* instead of in the *pattern space*, while the constraints are still on the set of parameters defining the ADS as well as the corresponding array arrangement.

- Initialization: Random

RESULTS: $P = 6$, $Q = 6$, $K = 32$

Setting Parameters of Algorithms

GA Parameters

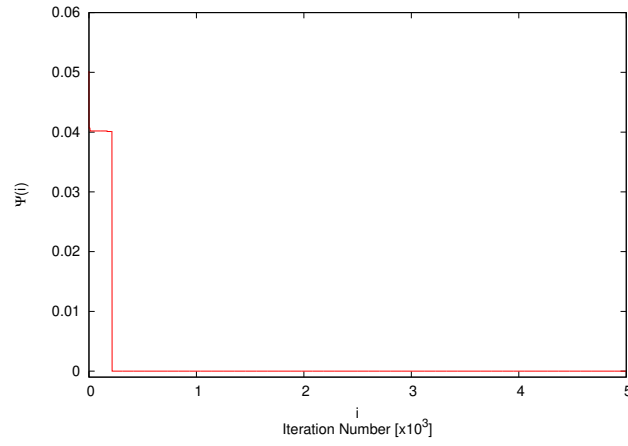
- Chromosome Dimension $C = 36$ bits
- Population Dimension $S = 10$
- Max Iteration number $K_{max} = 5000$

Array Parameters

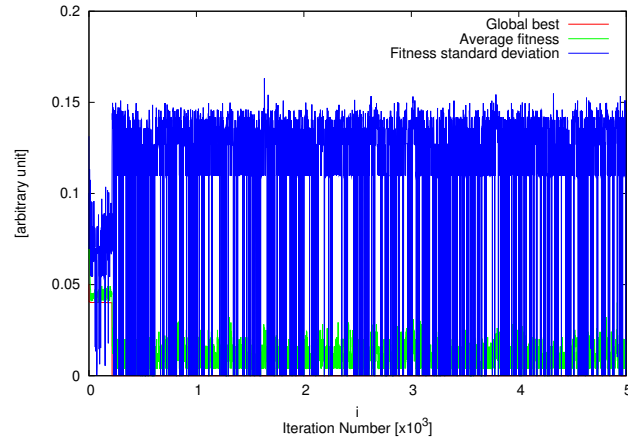
- Number of total cells $N = 32$
- Dimension X: 6
- Dimension Y: 6

Thinning

- $\nu = \frac{32}{36} = 88.9\%$

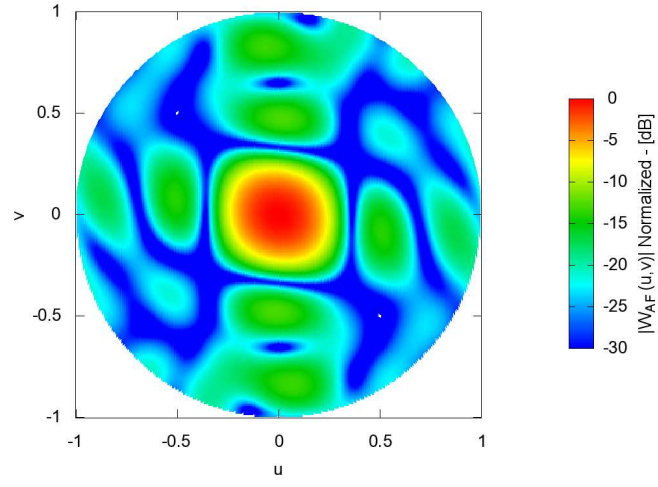


(a)

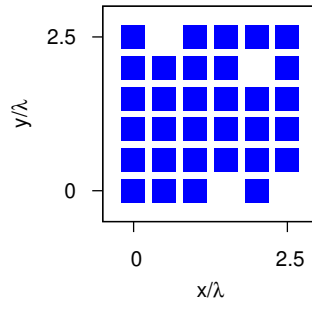


(b)

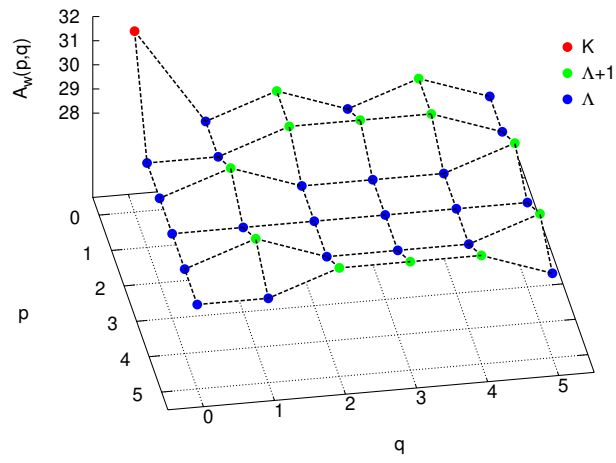
Figure 1.



(a)



(b)



(c)

Figure 2.

RESULTS: $P = 6$, $Q = 10$, $K = 6$

Setting Parameters of Algorithms

GA Parameters

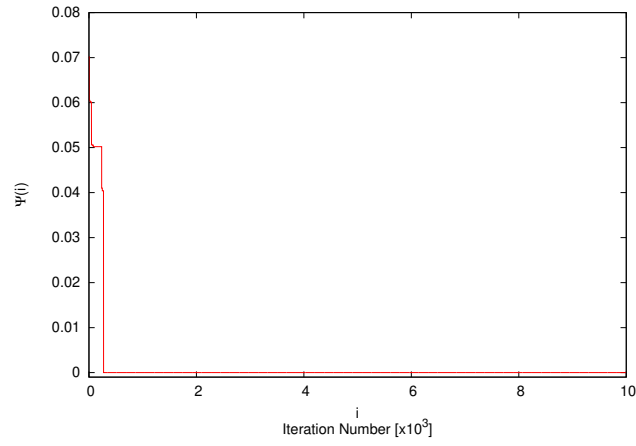
- Chromosome Dimension $C = 60$ bits
- Population Dimension $S = 30$
- Max Iteration number $K_{max} = 10000$

Array Parameters

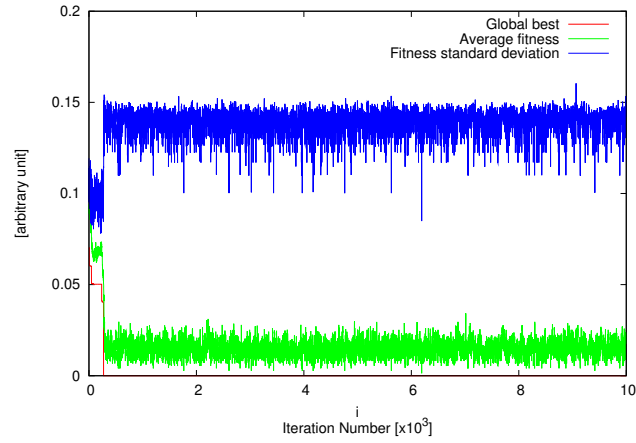
- Number of total cells $N = 60$
- Dimension X: 6
- Dimension Y: 10

Thinning

- $\nu = \frac{6}{60} = 0.10\%$



(a)



(b)

Figure 3.

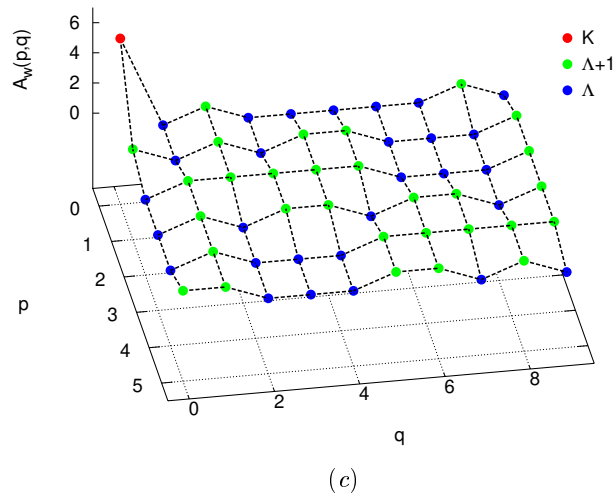
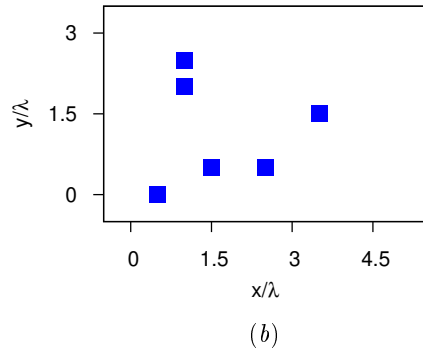
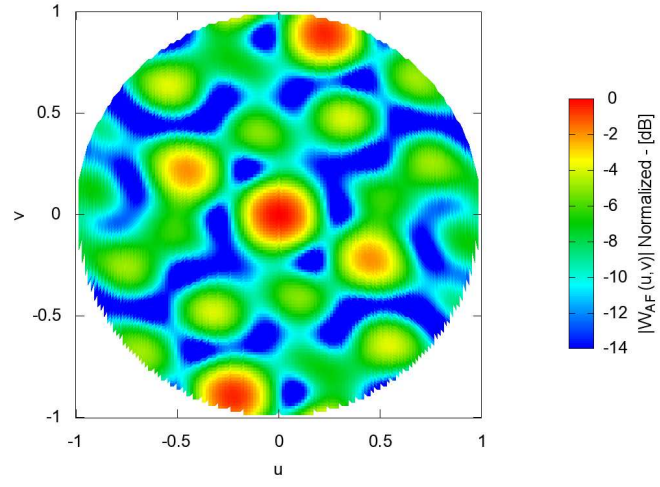


Figure 4.

RESULTS: $P = 10$, $Q = 10$, $K = 5$

Setting Parameters of Algorithms

GA Parameters

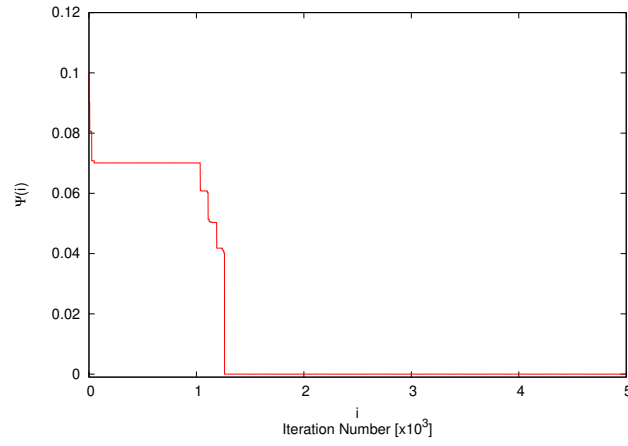
- Chromosome Dimension $C = 100$ bits
- Population Dimension $S = 10$
- Max Iteration number $K_{max} = 5000$

Array Parameters

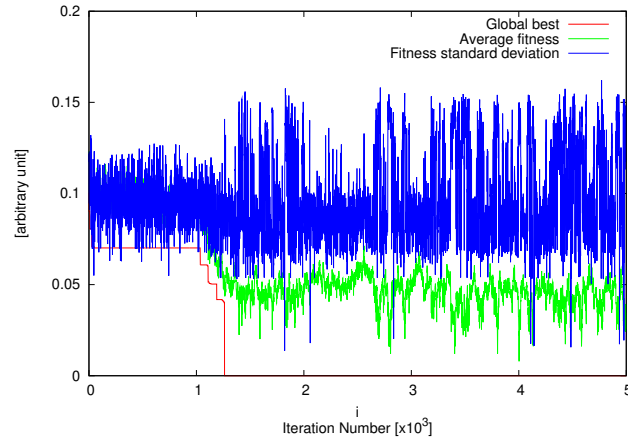
- Number of total cells $N = 100$
- Dimension X: 10
- Dimension Y: 10

Thinning

- $\nu = \frac{5}{100} = 5\%$

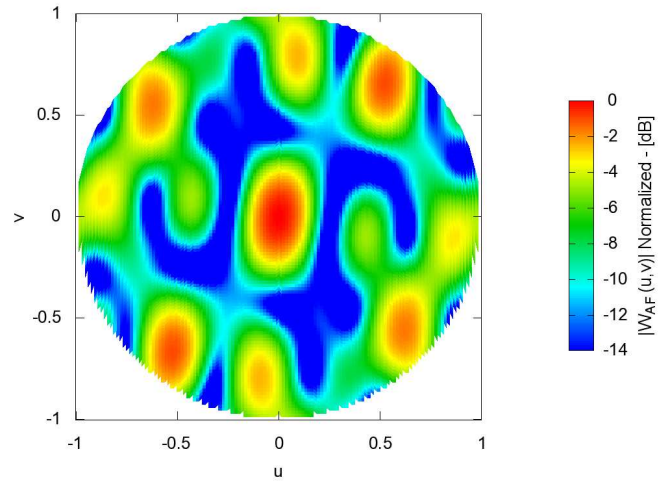


(a)

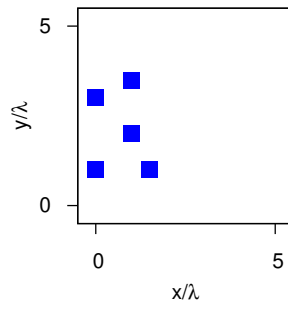


(b)

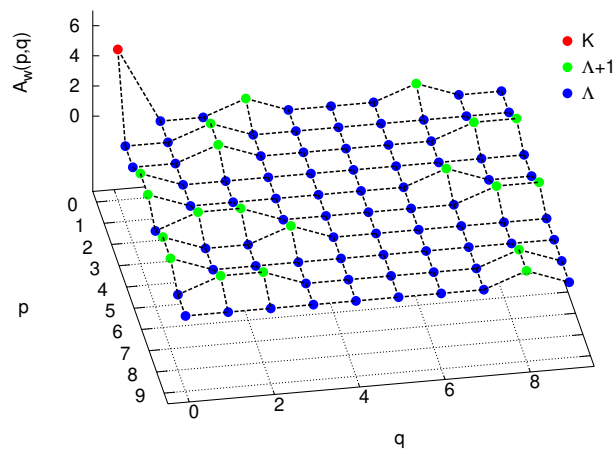
Figure 5.



(a)



(b)



(c)

Figure 6.

RESULTS: $P = 12$, $Q = 16$, $K = 184$

Setting Parameters of Algorithms

GA Parameters

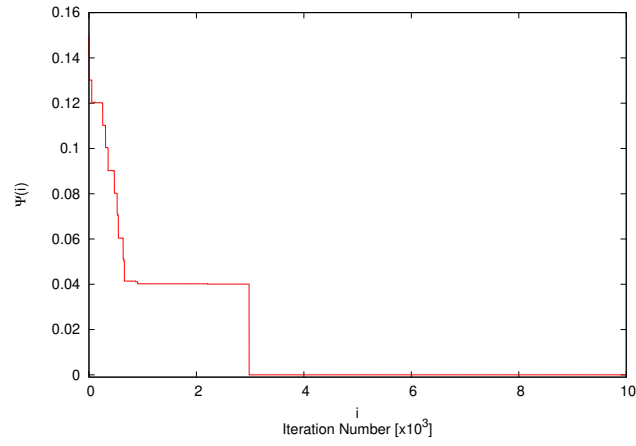
- Chromosome Dimension $C = 192$ bits
- Population Dimension $S = 50$
- Max Iteration number $K_{max} = 10000$

Array Parameters

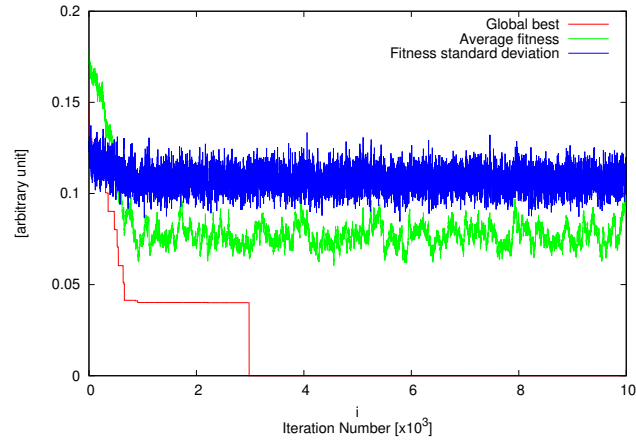
- Number of total cells $N = 192$
- Dimension X: 12
- Dimension Y: 16

Thinning

- $\nu = \frac{184}{192} = 95.83\%$

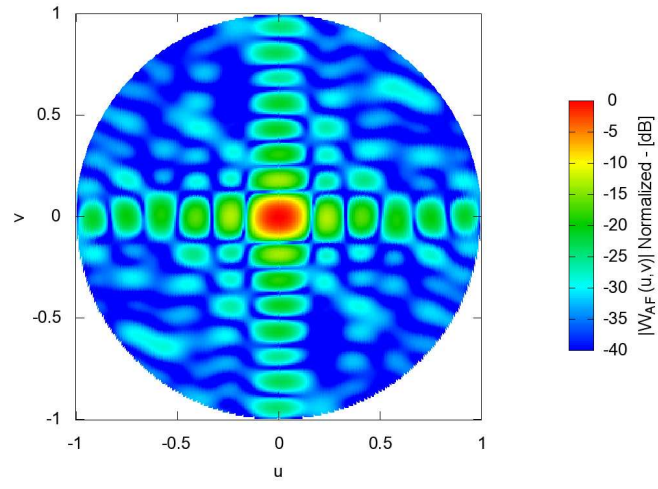


(a)

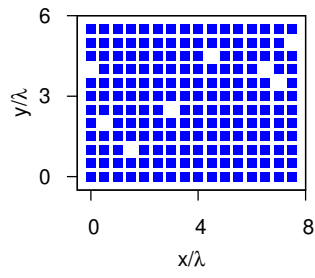


(b)

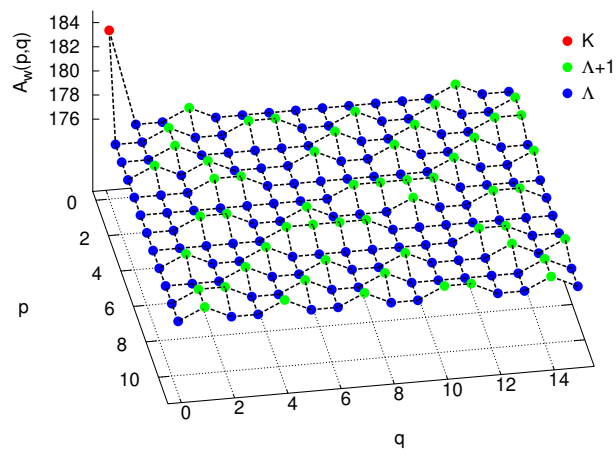
Figure 7.



(a)



(b)



(c)

Figure 8.

RESULTS: $P = 14$, $Q = 14$, $K = 7$

Setting Parameters of Algorithms

GA Parameters

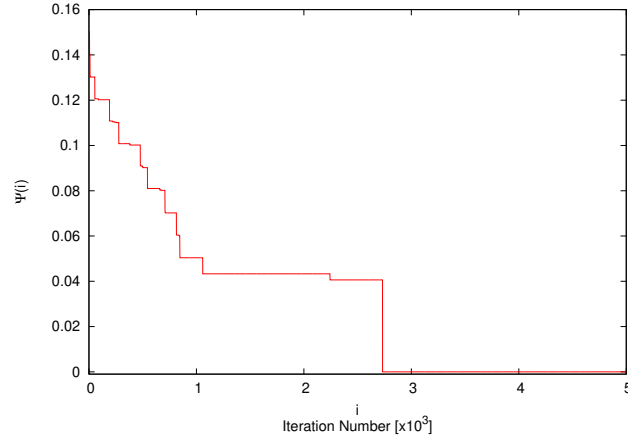
- Chromosome Dimension $C = 196$ bits
- Population Dimension $S = 40$
- Max Iteration number $K_{max} = 5000$

Array Parameters

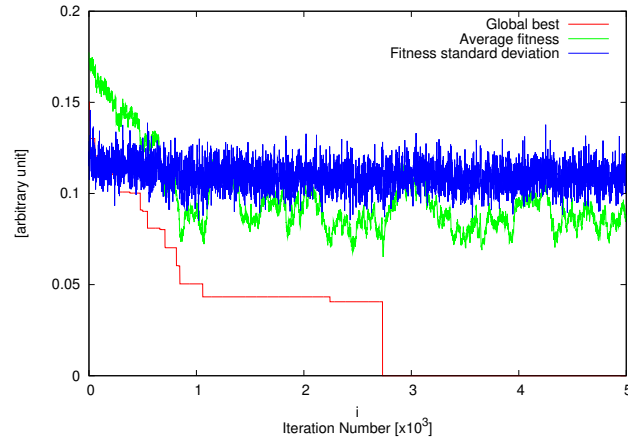
- Number of total cells $N = 196$
- Dimension X: 14
- Dimension Y: 14

Thinning

- $\nu = \frac{7}{196} = 3,57\%$

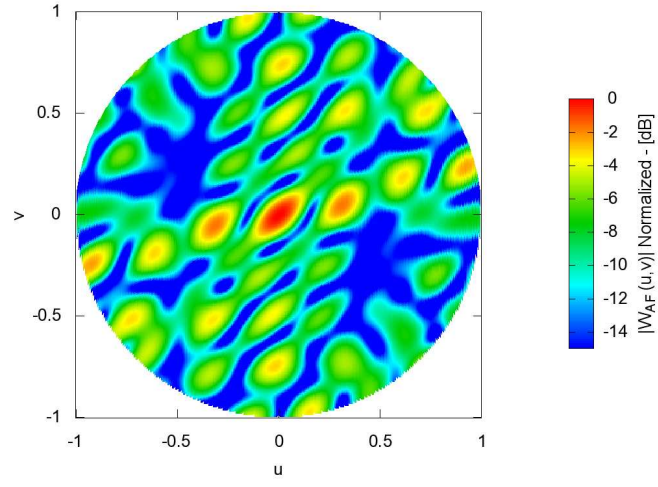


(a)

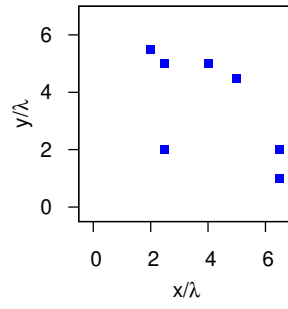


(b)

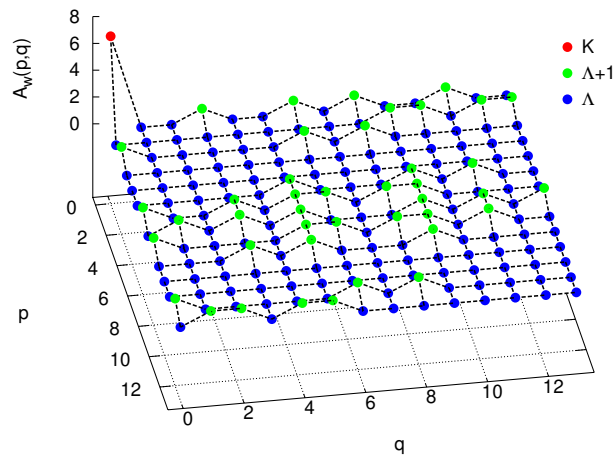
Figure 9.



(a)



(b)



(c)

Figure 10.

RESULTS: $P = 15$, $Q = 15$, $K = 8$

Setting Parameters of Algorithms

GA Parameters

- Chromosome Dimension $C = 225$ bits
- Population Dimension $S = 100$
- Max Iteration number $K_{max} = 30000$

Array Parameters

- Number of total cells $N = 225$
- Dimension X: 15
- Dimension Y: 15

Thinning

- $\nu = \frac{8}{225} = 3,55\%$

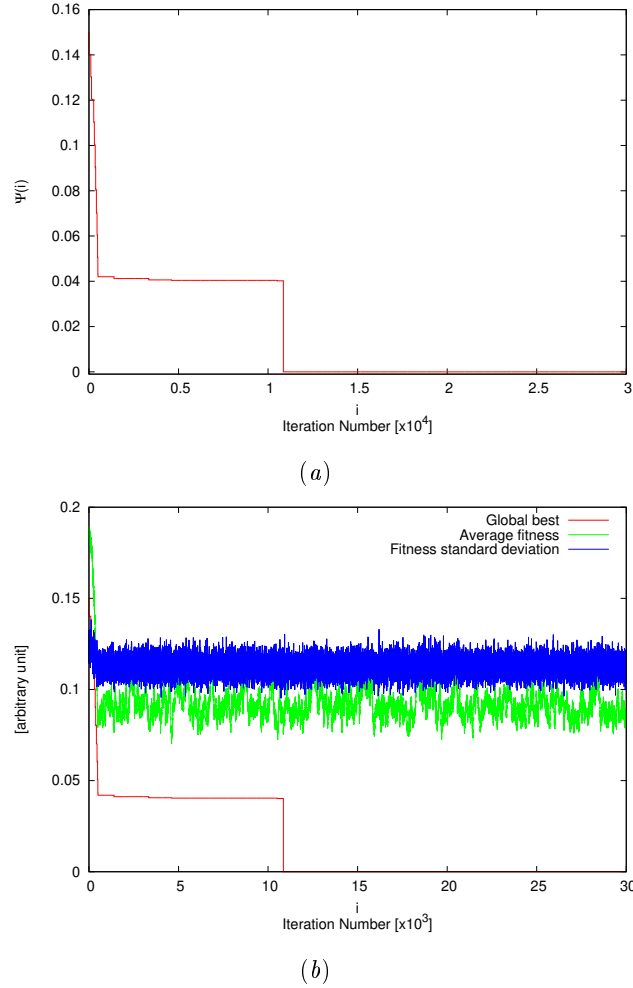
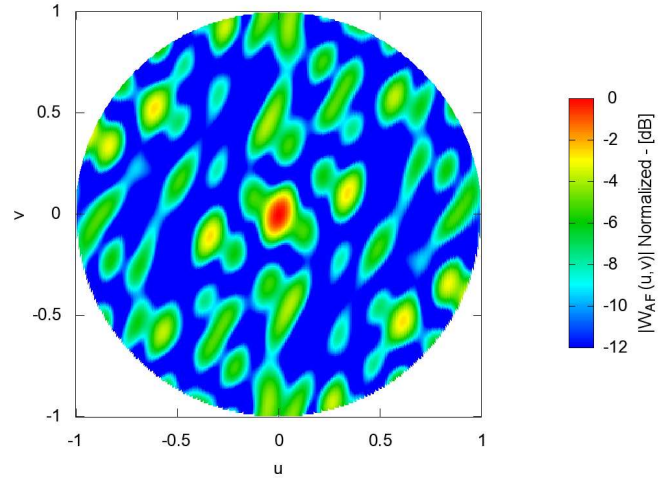
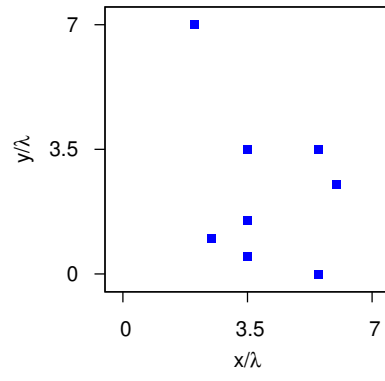


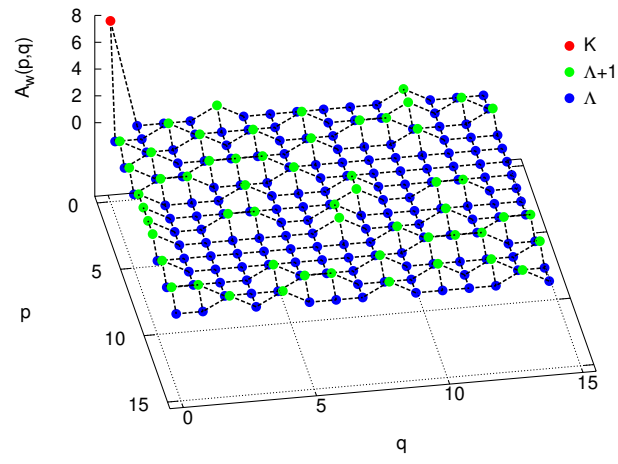
Figure 11.



(a)



(b)



(c)

Figure 12.

P	Q	K	$\nu[\%]$	Λ	$\#(\Lambda)$
6	6	32	88.8	28	23
6	10	6	10.0	0	29
8	8	59	92.1	54	43
10	10	5	5.0	0	79
12	12	137	95.1	130	101
12	14	184	95.8	176	135
14	14	7	3.5	0	153
15	15	8	3.5	0	168

Table I.

- **Table I:** Properties of the new ADS sequences

More information on the topics of this document can be found in the following list of references.

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