# GPR Microwave Imaging Through An Innovative Multi-Frequency Deterministic Approach

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# Abstract

This work deals with the microwave imaging of the dielectric characteristics of buried targets in a lossy half-space. The solution of the arising inverse scattering (*IS*) problem is performed by processing wide-band ground penetrating radar (*GPR*) measurements through an innovative deterministic approach. More precisely, the developed *GPR-IS* technique is based on a multi-frequency (*MF*) scheme and integrates a conjugate-gradient (*CG*) solver within the iterative multi-scaling approach (*IMSA*). Some preliminary numerical results are shown in order to assess the effectiveness of the proposed methodology, as well as to compare it to a state-of-the-art deterministic approach based on a frequency hopping (*FH*) strategy.

# 1 Definitions

#### 1.1 Glossary

- $D_{inv}$ : investigation domain;
- $D_{obs}$ : observation domain;
- N: number of discretization cells in  $D_{ind}$ ;
- V: number of views;
- M: number of measurement points;
- F: number of frequencies considered for the inversion;
- $(x_v, y_v)$ : coordinates of the v-th source (v = 1, ..., V).
- $(x_m^v, y_m^v)$ : coordinates of the *m*-th measurement point for the *v*-th view *v*, (m = 1, ..., M);
- $\varepsilon_{ra} = \frac{\varepsilon_a}{\varepsilon_0}$ : relative electric permittivity for the upper half-space (y > 0);
- $\sigma_a$ : conductivity for the upper half-space (y > 0);
- $\varepsilon_{rb} = \frac{\varepsilon_b}{\varepsilon_0}$ : background relative electric permittivity;
- $\sigma_b$ : background conductivity;

# **1.2** Contrast function at frequency f

The contrast function at frequency f is defined as

$$\tau_{f}(x,y) = \frac{\varepsilon_{eq}(x,y) - \varepsilon_{eqb}}{\varepsilon_{0}} = \Re \left\{ \tau(x,y) \right\} + j\Im \left\{ \tau(x,y) \right\}$$

where

- $\Re \{\tau (x, y)\} = [\varepsilon_r (x, y) \varepsilon_{rb}];$
- $\Im \{\tau(x,y)\} = \left[\frac{\sigma_b \sigma(x,y)}{2\pi f \varepsilon_0}\right];$
- $\varepsilon_{eq}(x,y) = \varepsilon_0 \varepsilon_r(x,y) j \frac{\sigma(x,y)}{2\pi f};$
- $\varepsilon_{eqb} = \varepsilon_0 \varepsilon_{rb} j \frac{\sigma_b}{2\pi f};$
- $\varepsilon_r(x,y)$ : relative electric permittivity;
- $\sigma(x, y)$ : conductivity;

**NOTE:** we assume that  $\varepsilon_r(x, y)$  and  $\sigma(x, y)$  are **not frequency dependent** (non-dispersive mediums).

# **1.3** MF - CG: Contrast function and reference frequency $f_{ref}$

The contrast function at a generic frequency f can be expressed by means of the contrast function computed for a selected reference frequency

$$f = f_{ref}$$

as follows

$$\tau_f = \Re \left\{ \tau_{f_{ref}} \right\} + j \frac{f_{ref}}{f} \Im \left\{ \tau_{f_{ref}} \right\}$$

# **1.4** MF - CG: Fitness definition

The functional minimized by the MF - CG inversion algorithm is defined as

$$\Phi = \Phi_{state} + \Phi_{data}$$

where  $\Phi_{state}$  and  $\Phi_{data}$  are respectively the data and state terms of the cost function, defined as

$$\Phi_{state} = \frac{\sum_{f=1}^{F} \sum_{v=1}^{V} \sum_{n=1}^{N} \left| E_{inc}^{v,f}(x_n, y_n) - \tilde{E}_{inc}^{v,f}(x_n, y_n) \right|^2}{\sum_{f=1}^{F} \sum_{v=1}^{V} \sum_{n=1}^{N} \left| E_{inc}^{v,f}(x_n, y_n) \right|^2}$$
$$\Phi_{data} = \frac{\sum_{f=1}^{F} \sum_{v=1}^{V} \sum_{m=1}^{M} \left| E_{scatt}^{v,f}(x_m^v, y_m^v) - \tilde{E}_{scatt}^{v,f}(x_m^v, y_m^v) \right|^2}{\sum_{f=1}^{F} \sum_{v=1}^{V} \sum_{m=1}^{M} \left| E_{scatt}^{v,f}(x_m^v, y_m^v) \right|^2}$$

being

- $E_{inc}^{v,f}(x_n, y_n)$ : measured incident field inside the *n*-th cell, for the *v*-th view at frequency f;
- $\widetilde{E}_{inc}^{v,f}(x_n, y_n)$ : computed incident field inside the *n*-th cell, for the *v*-th view at frequency f;
- $E_{scatt}^{v,f}(x_m^v, y_m^v)$ : measured scattered by the *m*-th measurement point, for the *v*-th view at frequency f;
- $\widetilde{E}_{scatt}^{v,f}(x_m^v, y_m^v)$ : measured scattered by the *m*-th measurement point, for the *v*-th view at frequency *f*.

The unknowns of the inversion problem are

$$\mathbf{x} = \left\{ \tau^{f_{ref}} \left( x_n, y_n \right); E_{tot}^{v, f} \left( x_n, y_n \right) \right\} \qquad n = 1, ..., N; v = 1, ..., V; f = 1, ..., F$$

#### 1.5 Time-domain SNR definition on the external total field

Since data is collected through a GPR system in time-domain, a white Gaussian noise is applied to the measured total field in time domain.

The measured total field  $E_{tot}$  is corrupted in the time domain by the desired quantity of noise following this definition of SNR:

$$SNR = 10\log_{10} \frac{\sum_{v=1}^{V} \sum_{m=1}^{M} \int_{-\infty}^{\infty} |E_{tot}^{v} (x_{m}^{v}, y_{m}^{v}, t)|^{2} dt}{\sum_{v=1}^{V} \sum_{m=1}^{M} \int_{-\infty}^{\infty} |n^{v} (x_{m}^{v}, y_{m}^{v}, t)|^{2} dt}$$

where

- $E_{tot}^{v}(x_{m}^{v}, y_{m}^{v}, t)$  is the measured total field by the *m*-th probe under the *v*-th view, at time instant *t*;
- $n^v(x_m^v, y_m^v, t)$  is the noise component affecting the total field measured total field by the *m*-th probe under the *v*-th view, at time instant *t*;

# 1.5.1 Measuring the resulting SNR (on $E_{scatt}$ ) in the frequency domain

After the total measured field  $E_{tot}$  has been corrupted in time-domain by a given quantity of noise (following the above definition of SNR), the scattered field is obtained - in the frequency domain - as the difference between the transformed total and incident fields. The resulting SNR at a given frequency f on the external scattered field can be estimated as the average SNR measured over all the views v = 1, ..., V:

$$SNR\left\{E_{scatt}\left(f\right)\right\} = \frac{1}{V}\sum_{v=1}^{V}SNR\left\{E_{scatt}^{v}\left(f\right)\right\}$$

where  $SNR\{E_{scatt}^{v}(f)\}$  represents the Signal-To-Noise Ratio measured on the scattered field in frequency domain for a given view v (v = 1, ..., V) and it can be measured as:

$$SNR\left\{E_{scatt}^{v}\left(f\right)\right\} = 10log_{10}\left\{\frac{\sum_{m=1}^{M} \left|E_{scatt}^{v,noiseless}\left(x_{m}^{v}, y_{m}^{v}; f\right)\right|^{2}}{\sum_{m=1}^{M} \left|n^{v}\left(x_{m}^{v}, y_{m}^{v}; f\right)\right|^{2}}\right\}$$

where the noise component  $n^v(x_m, y_m; f)$  on a given measurement point m is computed as the difference between the noisy and the noiseless realizations of the scattered field measured on that point (for a given view index v):

$$n^{v}(x_{m}, y_{m}; f) = E_{scatt}^{v}(x_{m}^{v}, y_{m}^{v}; f) - E_{scatt}^{v, noiseless}(x_{m}^{v}, y_{m}^{v}; f)$$

# **1.6** Reconstruction errors

The following integral error is defined

$$\Xi_{reg} = \frac{1}{N_{reg}} \sum_{n=1}^{N_{reg}} \frac{|\tau_n^{act} - \tau_n^{rec}|}{|\tau_n^{act} + 1|}$$

where reg indicates if the error computation covers

- the overall investigation domain  $(reg \Rightarrow tot)$ ,
- the actual scatterer's support (reg  $\Rightarrow int),$
- or the background region  $(reg \Rightarrow ext)$ .

# 2 IMSA - MF - CG: stopping criteria

The IMSA - MF - CG iterative process is stopped at step s-th (which becomes  $s^{best}$ ) if one of the following conditions holds true:

1. The side of the zoomed reconstruction domain for the next step  $(L_{(s+1)})$  is such that:

$$\frac{\left|L_{(s+1)} - L_{(s)}\right|}{L_{(s)}} < \eta_{th}$$

being  $L_{(s)}$  the side of the reconstruction domain at step s-th and  $\eta_{th}$  a proper threshold, with  $0 < \eta_{th} < 1$ ;

2. The maximum number of IMSA - MF - CG steps has been reached (s = S).

Two parameters will thus determine when the IMSA - MF - CG iterative process should be stopped at each intermediate frequency step:

- the threshold:  $\eta_{th}$ ;
- the maximum number of MF IMSA CG steps: S.

#### A note on the threshold $\eta_{th}$

The following considerations should be taken into consideration when setting the threshold  $\eta_{th}$ :

- 1. If  $\eta_{th}$  is large, the condition  $\frac{|L_{(s+1)}-L_{(s)}|}{L_{(s)}} < \eta_{th}$  will stop the IMSA MF CG after few steps. In fact, if  $\eta_{th}$  is set to a very high value (e.g.,  $\eta_{th} = 0.9$ ), probably no IMSA MF CG steps will be performed after the first one (and therefore  $s^{best} = 1$  for each frequency step).
- 2. If  $\eta_{th}$  is small, the condition  $\frac{|L_{(s+1)}-L_{(s)}|}{L_{(s)}} < \eta_{th}$  will stop the IMSA MF CG after a lot of steps. In fact, if  $\eta_{th}$  is set to a very low value (e.g.,  $\eta_{th} = 0.001$ ), IMSA MF CG will always iterate until the maximum number of steps (S) is reached (and therefore  $s^{best} = S$  for each frequency step).

# 3 Multi-Frequency (MF) vs. Frequency-Hopping (FH)

# 3.1 Goal of this section

The goal of this section is to perform a numerical comparison on a selected test case between

### 1. Frequency-Hopping approaches

- (a) FH BARE CG;
- (b) FH IMSA CG;

# 2. Multi-Frequency approaches

- (a) BARE MF CG;
- (b) IMSA MF CG.

#### 3.2 Parameters

#### Background

Inhomogeneous and nonmagnetic background composed by two half spaces

- Upper half space (y > 0 air):  $\varepsilon_{ra} = 1.0, \sigma_a = 0.0;$
- Lower half space (y < 0 soil):  $\varepsilon_{rb} = 4.0, \, \sigma_b = 10^{-3} [\text{S/m}];$

#### Investigation domain $(D_{inv})$

- Side:  $L_{D_{inv}} = 0.8 \text{ [m]};$
- Barycenter:  $\left(x_{bar}^{D_{inv}}, y_{bar}^{D_{inv}}\right) = (0.00, -0.4) \text{ [m]};$

#### FDTD Direct solver parameters (GPRMax2D)

- Side of the simulated domain: L = 6 [m];
- Number of cells:  $N^{FDTD} = 750 \times 750 = 5.625 \times 10^5$ ;
- Side of the FDTD cells  $l^{FDTD} = 0.008$  [m];
- Simulation time window:  $T^{FDTD} = 20 \times 10^{-9}$  [sec];
- Time step:  $\Delta t^{FDTD} = 1.89 \times 10^{-11}$  [sec];
- Number of time samples:  $N_t^{FDTD} = 1060;$
- Boundary conditions: Perfectly matched layer (PML);
- Source type: Gaussian mono-cycle (first Gaussian pulse derivative, called "Ricker" in GPRMax2D)
  - Central frequency:  $f_0 = 300 \, [\text{MHz}];$
  - Source amplitude: A = 1.0 [A];



Figure 1: GPRMax2D excitation signal. (a) Time behavior, (b) normalized frequency spectrum.

#### **Frequency parameters**

- Frequency range:  $f \in [f_{min}, f_{max}] = [200.0, 600.0] [MHz];$
- Considered frequencies:

f [MHz]	$\lambda_a [m]$	$\lambda_b [m]$	f [MHz]
200.0	1.50	0.75	200.5
300.0	1.00	0.50	297.6
400.0	0.75	0.37	401.1
500.0	0.60	0.30	498.1
600.0	0.50	0.25	601.6

Table 1: Considered frequencies and corresponding wavelength in the upper medium ( $\lambda_a$ , free space) and in the lower medium ( $\lambda_b$ , soil).  $f^*$  is the nearest frequency sample available from transformed time-domain data, and represents the real frequency considered by the inversion algorithm.

#### Scatterer

- Barycenter:  $(x_{obj}, y_{obj}) = (-0.08, -0.24)$  [m];
- Side:  $L_{obj,x} = L_{obj,y} = 0.16$  [m];
- Electromagnetic properties:  $\varepsilon_{r,obj} = 5.0$ ,  $\sigma_{obj} = 10^{-3} [\text{S/m}] (\sigma_{obj} = \sigma_b)$ ;
- Contrast function:  $\tau = 1.0 + j0.0$



Figure 2: Actual object: offset square cylinder  $\tau = 1.0$ .

#### Measurement setup

- Number of views (sources): V = 20;
  - $-\min\{x_v\} = -0.564 \text{ [m]}, \max\{x_v\} = 0.5 \text{ [m]};$
  - height:  $y_v = 0.1 \, [m], \, \forall v = 1, \dots, V;$
- Number of measurement points: M = 19;
  - $-\min\{x_m\} = -0.564 \text{ [m]}, \max\{x_m\} = 0.5 \text{ [m]};$
  - height:  $y_m = 0.1 \, [m], \, \forall m = 1, \dots, M;$



Figure 3: Location of the measurement points (M = 19) and of the sources (V = 20). Only one source is active for each view.

#### Inverse solver parameters

- Shared parameters
  - Weight of the state term of the functional: 1.0;
  - Weight of the data term of the functional: 1.0;
  - Weight of the penalty term of the functional: 0.0;
  - Convergence threshold:  $10^{-8}$ ;
  - Maximum number of iterations:  $I_{max} = 400;$
  - Variable ranges:
    - \*  $\varepsilon_r \in [4.0, 6.0], \ \sigma \in [0.0, 0.002] \ \text{S/m};$
    - \*  $\Re \{E_{tot}^{int}\} \in [-25, 25], \Im \{E_{tot}^{int}\} \in [-25, 25];$

#### • Frequency Hopping (FH) approaches

#### - FH - BARE - CG (FH-FULL) parameters

- \* Number of cells:  $N = 20 \times 20 = 400$ ;
- \* Side of the cells:  $l = 0.04 \text{ [m]} \rightarrow \sim \lambda_b/10 \text{ discretization} @ f_{central} = 400 \text{ [MHz]};$

#### - FH - IMSA - CG (FH-FULL Area-Based) parameters

- \* Maximum number of IMSA steps: S = 6;
- \* Side ratio threshold:  $\eta_{th} = 0.2;$
- \* Degrees of freedom:
  - $\cdot$  Considered frequency:  $f_{central} = 400$  [MHz],  $\lambda_b = 0.37$  [m];

$$\cdot \ \#DOF = \frac{(2ka)^2}{2} = \frac{\left(2 \times \frac{2\pi}{\lambda_b} \times \frac{L\sqrt{2}}{2}\right)^2}{2} = 4\pi^2 \left(\frac{L}{\lambda_b}\right)^2 = 4\pi^2 \left(\frac{0.8}{0.37}\right)^2 \simeq 184.4.$$

- Number of cells:  $N = 196 = 14 \times 14$ ;
- Number of cells for each side:  $N_L = 14;$
- Side of the cells: l = 0.057 [m];
- \* Zoom Factor = 0.1;

#### • Multi-Frequency (MF) approaches

#### -BARE - MF - CG parameters

- \* Number of cells:  $N = 20 \times 20 = 400$ ;
- \* Side of the cells:  $l = 0.04 \text{ [m]} \rightarrow \sim \lambda_b/10 \text{ discretization } @ f_{central} = 400 \text{ [MHz]};$
- \* Reference frequency:  $f_{ref} = f_{central} = 400 \text{ [MHz]};$
- IMSA MF CG parameters
  - \* Maximum number of IMSA steps: S = 6;
  - \* Side ratio threshold:  $\eta_{th} = 0.2$ ;
  - \* Degrees of freedom:
    - · Considered frequency:  $f_{central} = 400 \text{ [MHz]}, \lambda_b = 0.37 \text{ [m]};$
    - $\cdot \ \#DOF = \frac{(2ka)^2}{2} = \frac{\left(2 \times \frac{2\pi}{\lambda_b} \times \frac{L\sqrt{2}}{2}\right)^2}{2} = 4\pi^2 \left(\frac{L}{\lambda_b}\right)^2 = 4\pi^2 \left(\frac{0.8}{0.37}\right)^2 \simeq 184.4.$
    - Number of cells:  $N = 196 = 14 \times 14$ ;
    - Number of cells for each side:  $N_L = 14;$
    - Side of the cells: l = 0.057 [m];
  - \* Zoom Factor = 0.1;

#### Signal to noise ratio on $E_{tot}(t)$ :

- Noiseless Data;
- $SNR = 40 \text{ [dB]} (SNR_{average} \{E_{scatt} (f)\} \simeq 23 \text{ [dB]}).$

# 3.3 Retrieved contrast

# 3.3.1 Noiseless Data



Figure 4: Noiseless Data - (a) Actual and retrieved contrast by (b)(c) FH techniques (last frequency step) and by (d)(e) MF techniques.



Figure 5: Noisy Data (SNR = 40 [dB]) - (a) Actual and retrieved contrast by (b)(c) FH techniques (last frequency step) and by (d)(e) MF techniques.

# 3.4.1 Noiseless Data



Figure 6: Noiseless Data - (a) Actual and (b)(f) retrieved contrast by IMSA - MF - CG at each intermediate step.



Figure 7: Noisy Data (SNR = 40 [dB]) - (a) Actual and (b)(f) retrieved contrast by IMSA - MF - CG at each intermediate step.

# 3.4.3 Intermediate reconstruction errors



Figure 8: IMSA - MF - CG - Reconstruction errors at each intermediate IMSA step  $(s = 1, ..., s^{best})$ .

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