# Genetically-designed thinned ring arrays for effective pattern nulling with directivity control

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# Abstract

This document deals with a strategy for thinning the radiating elements of ring arrays in order to suppress interfering signals arriving on the antenna sidelobes. The on-off status of the array element is changed according to the optimized binary sequences determined through an evolutionary algorithm suitably customized to provide solutions with a controlled percentage of the number of active bits with respect to its total number. The proposed technique is validated with a set of experiments where arrays of different size haved been considered. **TEST CASE** - N = 37 -Configuration = 3rings - $\eta \in [0.6, 0.6]$  -  $N_I = 2$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 2 interferences and a constraint on the number of elements excited.

## Test Case Description

- Number of Elements N = 37
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 2$
- Interference Direction Of Arrival:  $\theta_1^i = 146^\circ, \ \phi_1^i = 80^\circ$
- Interference Direction Of Arrival:  $\theta_2^i=41^\circ,\,\phi_2^i=167^\circ$

- Number of Variables:  $X = 37 \ (\alpha_n, n = 1, ..., N)$
- Population: 18
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

GA - Multiple Interferences:  $\theta_1^i = 146^\circ, \ \phi_1^i = 80^\circ; \ \theta_2^i = 41^\circ, \ \phi_2^i = 167^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 15.16 Null Depths[dB]: [-47.58, -48.88] Number of Active Elements: 22

**TEST CASE** - N = 37 -Configuration = 3rings - $\eta \in [0.6, 0.6]$  -  $N_I = 3$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 3 interferences and a constraint on the number of elements excited.

#### Test Case Description

- Number of Elements N = 37
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 3$
- Interference Direction Of Arrival:  $\theta_1^i = 93^\circ, \, \phi_1^i = 166^\circ$

- Number of Variables:  $X = 37 \ (\alpha_n, n = 1, ..., N)$
- Population: 18
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - **Multiple Interferences:**  $\theta_1^i = 93^\circ$ ,  $\phi_1^i = 166^\circ$ ;  $\theta_2^i = 114^\circ$ ,  $\phi_2^i = 47^\circ$ ;  $\theta_3^i = 55^\circ$ ,  $\phi_3^i = 57^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 4.13 Null Depths[dB]: [-34.62, -45.58, -48.59] Number of Active Elements: 22

**TEST CASE** - N = 37 -Configuration = 3rings - $\eta \in [0.6, 0.6]$  -  $N_I = 4$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 4 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 37
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 4$
- Interference Direction Of Arrival:  $\theta_1^i=105^\circ,\,\phi_1^i=9^\circ$
- Interference Direction Of Arrival:  $\theta_3^i = 134^\circ, \ \phi_3^i = 85^\circ$
- Interference Direction Of Arrival:  $\theta_4^i = 130^\circ, \ \phi_4^i = 104^\circ$

- Number of Variables:  $X = 37 \ (\alpha_n, n = 1, ..., N)$
- Population: 18
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - Multiple Interferences:  $\theta_1^i = 105^\circ, \phi_1^i = 9^\circ$ ;  $\theta_2^i = 106^\circ, \phi_2^i = 20^\circ$ ;  $\theta_3^i = 134^\circ, \phi_3^i = 85^\circ$ ;  $\theta_4^i = 130^\circ, \phi_4^i = 104^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection



SINR[dB]: -2.8 Null Depths[dB]: [-30.86, -43.06, -32.15, -33.6] Number of Active Elements: 22

**TEST CASE** - N = 37 -Configuration = 3rings - $\eta \in [0.6, 0.6]$  -  $N_I = 5$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 5 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 37
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 5$
- Interference Direction Of Arrival:  $\theta^i_1=20^\circ,\,\phi^i_1=175^\circ$
- Interference Direction Of Arrival:  $\theta_3^i = 131^\circ, \ \phi_3^i = 90^\circ$
- Interference Direction Of Arrival:  $\theta_5^i = 129^\circ, \ \phi_5^i = 128^\circ$

- Number of Variables:  $X = 37 \ (\alpha_n, n = 1, ..., N)$
- Population: 18
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - **Multiple Interferences:**  $\theta_1^i = 20^\circ, \phi_1^i = 175^\circ; \theta_2^i = 133^\circ, \phi_2^i = 4^\circ; \theta_3^i = 131^\circ, \phi_3^i = 90^\circ; \theta_4^i = 86^\circ, \phi_4^i = 61^\circ; \theta_5^i = 129^\circ, \phi_5^i = 128^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection



 $\begin{aligned} \text{SINR[dB]:} & -8.62 \\ \text{Null Depths[dB]:} & [-27.67, -36.62, -26.42, -25.77, -33.55] \\ \text{Number of Active Elements:} & 22 \end{aligned}$ 

**TEST CASE** - N = 91 -Configuration = 5rings - $\eta \in [0.6, 0.6]$  -  $N_I = 2$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 2 interferences and a constraint on the number of elements excited.

## Test Case Description

- Number of Elements N = 91
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 2$
- Interference Direction Of Arrival:  $\theta_1^i = 46^\circ, \ \phi_1^i = 147^\circ$

- Number of Variables:  $X = 91 \ (\alpha_n, n = 1, ..., N)$
- Population: 46
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

GA - Multiple Interferences:  $\theta_1^i = 46^\circ, \ \phi_1^i = 147^\circ; \ \theta_2^i = 10^\circ, \ \phi_2^i = 178^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 32.3 Null Depths[dB]: [-67.46, -64.09] Number of Active Elements: 54

**TEST CASE** - N = 91 -Configuration = 5rings - $\eta \in [0.6, 0.6]$  -  $N_I = 3$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 3 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 91
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 3$
- Interference Direction Of Arrival:  $\theta_1^i = 96^\circ, \ \phi_1^i = 166^\circ$
- Interference Direction Of Arrival:  $\theta_2^i = 40^\circ, \, \phi_2^i = 170^\circ$

- Number of Variables:  $X = 91 \ (\alpha_n, n = 1, ..., N)$
- Population: 46
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - **Multiple Interferences:**  $\theta_1^i = 96^\circ$ ,  $\phi_1^i = 166^\circ$ ;  $\theta_2^i = 40^\circ$ ,  $\phi_2^i = 170^\circ$ ;  $\theta_3^i = 117^\circ$ ,  $\phi_3^i = 132^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 16 Null Depths[dB]: [-62.18, -51.58, -47.54] Number of Active Elements: 54

**TEST CASE** - N = 91 -Configuration = 5rings - $\eta \in [0.6, 0.6]$  -  $N_I = 4$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 4 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 91
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 4$
- Interference Direction Of Arrival:  $\theta_1^i = 33^\circ, \, \phi_1^i = 144^\circ$
- Interference Direction Of Arrival:  $\theta_2^i = 166^\circ, \ \phi_2^i = 165^\circ$
- Interference Direction Of Arrival:  $\theta_3^i = 60^\circ, \, \phi_3^i = 55^\circ$

- Number of Variables:  $X = 91 \ (\alpha_n, n = 1, ..., N)$
- Population: 46
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - **Multiple Interferences:**  $\theta_1^i = 33^\circ, \phi_1^i = 144^\circ; \ \theta_2^i = 166^\circ, \phi_2^i = 165^\circ; \ \theta_3^i = 60^\circ, \phi_3^i = 55^\circ; \ \theta_4^i = 92^\circ, \phi_4^i = 161^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection



SINR[dB]: 10.69 Null Depths[dB]: [-42.18, -47.58, -54.01, -54.86] Number of Active Elements: 54

**TEST CASE** - N = 91 -Configuration = 5rings - $\eta \in [0.6, 0.6]$  -  $N_I = 5$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 5 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 91
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power:  $0 \, dB$
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 5$
- Interference Direction Of Arrival:  $\theta_2^i=72^\circ,\,\phi_2^i=90^\circ$
- Interference Direction Of Arrival:  $\theta_4^i = 150^\circ, \ \phi_4^i = 66^\circ$
- Interference Direction Of Arrival:  $\theta_5^i = 118^\circ, \, \phi_5^i = 7^\circ$

- Number of Variables:  $X = 91 \ (\alpha_n, n = 1, ..., N)$
- Population: 46
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.6
- Maximum Thinning Coefficient: 0.6

**GA** - **Multiple Interferences:**  $\theta_1^i = 105^\circ, \phi_1^i = 137^\circ; \theta_2^i = 72^\circ, \phi_2^i = 90^\circ; \theta_3^i = 85^\circ, \phi_3^i = 40^\circ; \theta_4^i = 150^\circ, \phi_4^i = 66^\circ; \theta_5^i = 118^\circ, \phi_5^i = 7^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection



SINR[dB]: 6.07 Null Depths[dB]: [-54.75, -43.87, -38.7, -42.22, -51.05]Number of Active Elements:54

**TEST CASE** - N = 172 -Configuration = 7rings - $\eta \in [0.0, 1.0]$  -  $N_I = 1$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with a single interference.

#### Test Case Description

- Number of Elements N = 172
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \, \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 1$

- Number of Variables:  $X = 172 \ (\alpha_n, n = 1, ..., N)$
- Population: 86
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.0
- Maximum Thinning Coefficient: 1.0

GA - Single Interference:  $\theta^i_1=152^\circ, \, \phi^i_1=154^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection

Normalized Power Pattern, P(u,v) [dB]



SINR[dB]: 50.07 Null Depths[dB]: -106.93 Number of Active Elements: 102

**TEST CASE** - N = 172 -Configuration = 7rings - $\eta \in [0.59, 0.61]$  -  $N_I = 2$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 2 interferences and a constraint on the number of elements excited.

## Test Case Description

- Number of Elements N = 172
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 2$
- Interference Direction Of Arrival:  $\theta_1^i = 93^\circ, \, \phi_1^i = 73^\circ$
- Interference Direction Of Arrival:  $\theta_2^i=45^\circ,\,\phi_2^i=115^\circ$

- Number of Variables:  $X = 172 \ (\alpha_n, n = 1, ..., N)$
- Population: 86
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.59
- Maximum Thinning Coefficient: 0.61

GA - Multiple Interferences:  $\theta_1^i = 93^\circ$ ,  $\phi_1^i = 73^\circ$ ;  $\theta_2^i = 45^\circ$ ,  $\phi_2^i = 115^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection



SINR[dB]: 31.04 Null Depths[dB]: [-71.83, -61.48] Number of Active Elements: 101

**TEST CASE** - N = 172 -Configuration = 7rings - $\eta \in [0.59, 0.61]$  -  $N_I = 3$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 3 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 172
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 3$
- Interference Direction Of Arrival:  $\theta_1^i = 98^\circ, \ \phi_1^i = 64^\circ$
- Interference Direction Of Arrival:  $\theta_2^i=10^\circ,\,\phi_2^i=154^\circ$
- Interference Direction Of Arrival:  $\theta_3^i = 153^\circ, \ \phi_3^i = 156^\circ$

- Number of Variables:  $X = 172 \ (\alpha_n, n = 1, ..., N)$
- Population: 86
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.59
- Maximum Thinning Coefficient: 0.61

**GA** - **Multiple Interferences:**  $\theta_1^i = 98^\circ$ ,  $\phi_1^i = 64^\circ$ ;  $\theta_2^i = 10^\circ$ ,  $\phi_2^i = 154^\circ$ ;  $\theta_3^i = 153^\circ$ ,  $\phi_3^i = 156^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern

Fig.3 - Pattern projection

Normalized Power Pattern, P(u,v) [dB]



SINR[dB]: 19.37 Null Depths[dB]: [-55.93, -65.25, -50.61] Number of Active Elements: 102

**TEST CASE** - N = 172 -Configuration = 7rings - $\eta \in [0.59, 0.61]$  -  $N_I = 4$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 4 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 172
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power: 0 dB
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 4$
- Interference Direction Of Arrival:  $\theta_1^i = 88^\circ, \ \phi_1^i = 65^\circ$
- Interference Direction Of Arrival:  $\theta^i_3=52^\circ,\,\phi^i_3=16^\circ$
- Interference Direction Of Arrival:  $\theta_4^i = 165^\circ, \ \phi_4^i = 90^\circ$

- Number of Variables:  $X = 172 \ (\alpha_n, n = 1, ..., N)$
- Population: 86
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.59
- Maximum Thinning Coefficient: 0.61

**GA** - **Multiple Interferences:**  $\theta_1^i = 88^\circ, \phi_1^i = 65^\circ; \ \theta_2^i = 115^\circ, \phi_2^i = 143^\circ; \ \theta_3^i = 52^\circ, \phi_3^i = 16^\circ; \ \theta_4^i = 165^\circ, \phi_4^i = 90^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 10.78 Null Depths[dB]: [-52.50, -54.38, -43.75, -44.93] Number of Active Elements: 101

**TEST CASE** - N = 172 -Configuration = 7rings - $\eta \in [0.59, 0.61]$  -  $N_I = 5$ 

## Goal

Maximization of the SINR using genetic algorithms (GA) to determine the optimal thinned ring array configuration, considering a time-varying scenario with 5 interferences and a constraint on the number of elements excited.

#### **Test Case Description**

- Number of Elements N = 172
- Elements Spacing:  $d = 0.5\lambda$
- Max Gain Pattern Direction :  $\theta^d = 90^\circ, \ \phi^d = 90^\circ$
- Desired Signal Power:  $0 \, dB$
- Interference Power:  $30 \, dB$
- Noise Power:  $-30 \, dB$
- Number of Interferences:  $N_I = 5$
- Interference Direction Of Arrival:  $\theta_1^i = 105^\circ, \ \phi_1^i = 137^\circ$
- Interference Direction Of Arrival:  $\theta_2^i=72^\circ,\,\phi_2^i=90^\circ$
- Interference Direction Of Arrival:  $\theta_4^i = 150^\circ, \ \phi_4^i = 66^\circ$
- Interference Direction Of Arrival:  $\theta_5^i = 118^\circ, \, \phi_5^i = 7^\circ$

- Number of Variables:  $X = 172 \ (\alpha_n, n = 1, ..., N)$
- Population: 86
- Crossover Probability: 0.9
- Mutation Probability: 0.01
- Number of Generations: 200
- Minimum Thinning Coefficient: 0.59
- Maximum Thinning Coefficient: 0.61

**GA** - **Multiple Interferences:**  $\theta_1^i = 105^\circ, \phi_1^i = 137^\circ; \theta_2^i = 72^\circ, \phi_2^i = 90^\circ; \theta_3^i = 85^\circ, \phi_3^i = 40^\circ; \theta_4^i = 150^\circ, \phi_4^i = 66^\circ; \theta_5^i = 118^\circ, \phi_5^i = 7^\circ$ 



Fig.1 - Thinning Configuration



Fig.2 - Pattern



SINR[dB]: 6.17 Null Depths[dB]: [-40.22, -42.36, -56.60, -40.71, -58.15] Number of Active Elements:104

More information on the topics of this document can be found in the following list of references.

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